

# Systematic Transfer Plan (STP)

Please read instructions overleaf before filling the form

I/We hereby apply to the Trustees of Invesco Mutual Fund for Systematic Transfer Plan (STP) enrollment under the following scheme and we agree to abide by the terms and conditions of the Plan

Key Partner / Agent Inforr	nation																				
Distributor / Broker ARI ARN - ARN:30862	N	Sub-B ARN -	roker ARN Co	Internal Sub-Broker/Employee Code					Employee Unique Identification No. (EUIN) (Of Ind: E:046606 Relationship manager / James retison of the Distributor)							istered	d Investm	nent Adv	visor Code		
For details on transaction charges payable to distributors, please refer to KIN I/We hereby confirm that the EUIN box has been intentionally left blanl executed without any interaction or advice by the employee/relationsl above distributor/sub broker or notwithstanding the advice of in-appropiemployee/relationship manager/sales person of the distributor/sub broke						t by me/us as this transaction is nip manager/sales person of the riateness, if any, provided by the						gn Here Sign Her pplicant/Guardian Second App									
Upfront commission shall be paid o	directly b	by the inves	tor to the AMFI	registere	ed distribu'	tors bas	sed o	n the invest	ors' ass	essment o	various fa	ctors, in	cludin	g the ser	vice rende	ered b	y the distri	butor.			
Folio Number :										Applica	ation Nur	mber :									
1. Applicant's Personal	Detai	ils																			
FIRST / SOLE APPLICANT													P	AN/KRN							
Name Mr. / Ms. / M/s.																			<u>'</u>		
2. Systematic Transfer (Refer Instructions) (Investo				ı must n	nention "[	)irect"	in th	e box provi	ided be	low.)											
STP Type ( A / B / C)	<b>A.</b> [	Fix	ed Option								В	•	Ap	preciat	tion Op	tion					
Frequency (✓ Any One)	Γ	Dai	Daily											nthly (L			3rd		10 <sup>th</sup>		15 <sup>th</sup> (Default)
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		( <b>/</b>	Any One)		20 <sup>th</sup>		25	ō <sup>th</sup>		State Any of your cl											
	<b>c.</b> [	FLE	EX STP (Appl	icable to	o Growth (	Option	of Ta	rget Schem	e only)												
	Γ	I	nthly (Default	)			 ¬		¬								Ctata Any D				
	L		Any One)	L	3rd		10	<u> </u>	_	(Default)		20 <sup>th</sup>		25 <sup>th</sup>		(	State Any Do of your cho	ice			
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From Source Scheme (from where you wish	Invesco India				Scheme Name									Plan Option							
to transfer) To Target Scheme	Invesco India Scheme Name Plan							Option													
(to where you wish to transfer)													L								
Period of Enrollment	From (	(1st Installi	ment)	IV	И М У У	ΥY		To (L	ast Ins	tallment)		M M	ΥY	YY							
Transfer Amount (Per installment)		₹ In Words ₹ In Figures																			
							able	for Appred	ciation	Option)											
No. of Installments				Total	Transfer	(Rs.)						(	Amt.	per insta	allment x	No. 0	f installme	ents)			
3. Applicant's Signatur	e																				
Sole / First	Applic	cant / Gua	rdian / POA		Æ	S			ond Ap	plicant /	POA			Z	5		Third	Applic	ant / PO	А	
Please note : Sign	nature(:	(s) should	be as it app	ears on	the App	olicatio	n Fo	rm and in	the s	ame orde	er. In cas	e the m	ode	of holdi	ng is joi	nt, a	II Unit ho	lders a	re requi	red to s	sign
Data D.D. M	M V V	/ V V			Place																



## Systematic Transfer Plan (STP)

#### Features and Terms & Conditions of Systematic Transfer Plan (STP)

- New Investors who wish to enroll for STP should fill this enrollment form in addition to the application form
- Existing investors need to provide the Folio Number and STP details only.
- Unitholders are advised to consult their tax consultant with regard to the treatment of the transfer of units from the tax point of view.
- Investors are advised to read the Scheme Information Document of the Target scheme carefully before investing. The Scheme Information Document and the Key Information Memorandum of the respective schemes are available with the Investor Service Centers of Invesco Mutual Fund and are also displayed on the website: www.invescomutualfund.com
- 5. STP offers Unit holders Fixed option, Appreciation option and Flex STP. Investors can opt for any of the facility. In case the investor has not specified any option at the time of enrolment, the Fund will register the STP under Fixed
- In case of monthly and quarterly frequency, 29th, 30th and 31st of each month / quarter will not be available for STP transactions.
- Eligible Source (Transferor) Schemes: Invesco India Liquid Fund, Invesco India Ultra Short Term Fund, Invesco India Short Term Fund, Invesco India Active Income Fund, Invesco India Monthly Income Plan (MIP) Plus, Invesco 7. India Credit Opportunities Fund, Invesco India Medium Term Bond Fund, Invesco India India Arbitrage Fund, Invesco India Bank Debt Fund and Invesco India Corporate Bond Opportunities Fund
- Eligible Target (Transferee) Schemes: Invesco India Dynamic Equity Fund, Invesco India Mid Cap Fund, Invesco India Contra Fund, Invesco India Growth Fund, Invesco India Banking Fund, Invesco India Arbitrage Fund, Invesco India Business Leaders Fund, Invesco India PSU Equity Fund, Invesco India Infrastructure Fund, Invesco India Mid N Small Cap Fund, Invesco India Pan European Equity Fund, Invesco India Global Equity Income Fund, Invesco India Monthly Income Plan (MIP) Plus, Invesco India Gold Fund, Invesco India Bank Debt Fund and Invesco India Corporate Bond Opportunities Fund.
- The above list of Source and Target schemes is subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of Invesco Mutual Fund for updated list.

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Particulars	Daily	Weekly	Fortnightly	Monthly	Quarterly (Jan/April/July/Oct		
Minimum balance in the source scheme at the time of enrolment	Rs. 6,000/-	Rs. 6,000/-	Rs. 6,000/-	Rs. 6,000/-	Rs. 6,000/-		
STP Dates Offered	Daily	Monday to Friday	1st or 16th of the month	3rd or 10th or 15th or 20th or 25th or any date of every month	3rd or 10th or 15th or 20th or 25th or any date of every designated month		
Minimum amount for STP installment (Rs. 500/- and in multiples of Rs. 500/- for Invesco India Tax Plan)	Rs. 500/- & in multiples of Re. 1/- thereafter	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,500/- & in multiples of Re. 1/- thereafter		
Minimum Number of Installments	12	6	6	6	4		

Minimum number of installments for Invesco India Tax Plan should be 12.

- In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case of weekly frequency, Monday will be considered as default frequency. In case the STP date is not specified or in case of ambiguity, the STP transaction will be processed on 15th of month / quarter. If the start month is not specified, the STP application would be processed from the succeeding month on the date specified by the investor at the time of enrolment, provided the condition for minimum number of installments is met. If the end month is not specified, the Fund would continue the STP till it receives termination notice from the investor. In case the start month and end month both are not specified, the STP application would be registered for the minimum number of installments.

- In case the date specified is not a Business Day or falls during a book closure period, the transaction will be effected on the next Business Day.

  Load Structure: For details on load structure, please refer respective SID / KIM.

  Load Structure in the Transferee Scheme (target scheme) prevailing at the time of submission of STP application (whether for fresh enrolment or extension) will be applicable for all the investments through STP specified in the application.
- The transaction through STP will be subject to applicable exit load in the source (transferor) scheme.
- In case the investor purchases additional Units in the source (transferor) scheme, the STP facility would be extended to such additional units also (not applicable for flex STP)
- Units marked under lien or pledge in the source scheme will not be eligible for STP.
- The unit holder who has opted for STP under a specific scheme can also redeem or switch his units to any other eligible scheme provided he has sufficient balance in his account on the date of such a request. STP (in) and SWP cannot be simultaneously registered for a folio for the same scheme. 17
- 18
- In case the unit balance in the source (transferor) scheme is lesser than amount specified by the Unit holders for STP, the AMC will transfer remaining unit balance to target (transferee) scheme.
- The facility will be automatically terminated if the units under the source (transferor) scheme are pledged or upon receipt of intimation of death of the Unit holder.
- The application for start of STP should be submitted to Official Point(s) of Acceptance at least 7 days and not more than 60 days before the date of commencement / start date of STP. Unit holder may change the amount (but not below the minimum specified) / frequency by giving written notice to any of the Official Point(s) of Acceptance at least 7 days prior to next transfer/ STP execution date.
- Unit holder can discontinue STP facility at any time by sending a written notice to any of the Official Point(s) of Acceptance, at least 7 days prior to next transfer / STP execution date
- Unit holders details and mode of holding in the target (transferee) scheme will be as per the existing folio in the source (transferor) scheme. Units in the transferee scheme will be allotted in the same folio.

#### **Appreciation Option**

- Appreciation option offers transfer facility at Monthly and Quarterly Intervals. If no frequency is chosen, Monthly Frequency shall be treated as default frequency.
- The unit holder can transfer Rs.500 or above on monthly/quarterly (April/ July/ Oct/ Jan) basis by transfer of appreciation, if any, in the value of Units. In appreciation option, if, on the date of transfer, there is no appreciation or appreciation is less than Rs. 500, then redemption / transfer will not be done
- Capital appreciation, if any, will be calculated from the enrolment date of the STP, till first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous STP date (where STP has been processed and transferred) and the current STP date.
- Appreciation option will be applicable for all schemes except Invesco India Tax Plan as target scheme.

#### Flex STP

- Flex STP is a facility wherein an investor under any of the existing designated open ended debt / liquid Scheme(s) ("Transferor Scheme") of the Fund can opt to transfer variable amount linked to value of his investments, on predetermined date to "Growth Option" of designated open-ended equity Scheme(s) ("Transferee Scheme") except Invesco India Tax Plan.
- Under the Flex STP Option, the amount to be transferred in the Transferee (Target) Scheme on the date of transfer shall be higher of:

Fixed amount to be transferred per installment as specified by the investor;

Or The amount determined by using following formula:

Fixed amount to be transferred per installment as specified by the investor X number of installments (including the current installment) minus market value of investments through Flex STP.

In case of Flex STP if the amount (as specified by the formula) to be transferred under STP is not available in the Transferor Scheme in the unit holder's account, the residual amount will be transferred to the Transferee

- If the NAV falls continuously during the Flex STP Option period, number of actual installments may be less than those mentioned in the Flex STP Option enrolment form.
- The total amount invested in the Transferee (Target) Scheme through Flex STP Option shall not exceed the total amount of investment specified by the investor at the time of enrolment of Flex STP Option i.e. amount per instalment x number of enrolled instalments.
- If there is any other Financial Transaction like Purchase, Redemption, Switch etc. (all special products as well) processed in the target scheme in the same folio during the tenure of Flex STP, then Flex STP will be processed fixed STP for the rest of the installments for the fixed amount

### Illustration: Flex STP will work as follows:

Installment amount to be transferred	Rs.3,000/-
No. of Installments	12
STP period	September 2016 - August 2017
STP Start Date	3rd of the month

#### Calculation of Flex STP installment amount on the date of the fifth installment i.e. January 3, 2017.

- Total units allotted up to the date of last (fourth) instalment i.e. December 3, 2016 is assumed as 823;
- ii. NAV as on January 3, 2017 of Growth option of Transferee Scheme is assumed as Rs. 12/- per unit;
  iii. Hence the market value of investment in the Transferee Scheme on the date of transfer of fifth instalment i.e. January 3, 2017 will be Rs 9,876/- (823\*12). The installment amount for fifth installment will be calculated as follows:

  a. Fixed amount to be transferred per installment: Rs. 3,000/-. Or

  b. As determined by the formula: (3,000\*5) - 9,876 = Rs. 5,124/-.

  a. Or b. whichever is **higher**:

- Hence, the installment amount to be transferred in the Transferee Scheme on January 3, 2017 will be Rs. 5,124/-.
- The first Flex STP installment will be processed for the fixed installment amount specified by the investor at the time of enrolment. From the second Flex STP installment onwards, the transfer amount shall be computed as per formula stated above
- Flex STP is available for Monthly and Quarterly frequencies.
- Flex STP is available only in 'Growth' option of the Target Scheme.
- A single Flex STP enrolment form can be filled for transfer into one Scheme/Plan/Option only.
- 10. All other terms and condition of Systematic Transfer Plan are also applicable to Flex STP