
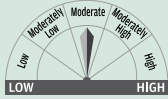










# KEY INFORMATION MEMORANDUM AND COMMON APPLICATION FORMS

## ONGOING OFFER OF UNITS AT NAV BASED PRICES

### DEBT SCHEMES

| Scheme Name   | This product is suitable for investors who are seeking*:   | Riskometer  |
|---|--|---|
| <b>BNP PARIBAS MONTHLY INCOME PLAN</b><br>(An open-ended Income Scheme. Monthly Income is not assured and is subject to the availability of distributable surplus)          | <ul style="list-style-type: none"> <li>Regular income and capital appreciation in long term</li> <li>Investments primarily in Debt and Money Market Instruments for regular returns &amp; in equity and equity related securities for capital appreciation.</li> </ul> |  <p>Investors understand that their principal will be at moderate risk</p>         |
| <b>BNP PARIBAS FLEXI DEBT FUND</b><br>(An open-ended Income Scheme)   | <ul style="list-style-type: none"> <li>Regular income in long term</li> <li>Investments in debt and money market Instruments.</li> </ul>   |  <p>Investors understand that their principal will be at moderate risk</p>         |
| <b>BNP PARIBAS GOVERNMENT SECURITIES FUND</b><br>(An open-ended debt scheme)  | <ul style="list-style-type: none"> <li>Capital appreciation and regular income in long term</li> <li>Investments in portfolio of government securities.</li> </ul>   |  <p>Investors understand that their principal will be at moderately low risk</p>   |
| <b>BNP PARIBAS MONEY PLUS FUND</b><br>(An open-ended Income Scheme)   | <ul style="list-style-type: none"> <li>Regular income in short term</li> <li>Investments in portfolio comprising of debt &amp; money market instruments and derivatives.</li> </ul>  |  <p>Investors understand that their principal will be at low risk</p>             |
| <b>BNP PARIBAS SHORT TERM INCOME FUND</b><br>(An open-ended Income Scheme)  | <ul style="list-style-type: none"> <li>Regular income in short term</li> <li>Investments in debt &amp; money market instruments.</li> </ul>  |  <p>Investors understand that their principal will be at moderately low risk</p> |
| <b>BNP PARIBAS CORPORATE BOND FUND<sup>^</sup></b><br>(An open-ended Income Scheme)<br><sup>^</sup> (erstwhile BNP Paribas Bond Fund, Name changed w.e.f November 09, 2016) | <ul style="list-style-type: none"> <li>Capital appreciation and regular income in long term</li> <li>Investments in debt and money market Instruments.</li> </ul>  |  <p>Investors understand that their principal will be at moderate risk</p>       |
| <b>BNP PARIBAS MEDIUM TERM INCOME FUND</b><br>(An Open-ended Debt Scheme)   | <ul style="list-style-type: none"> <li>Regular income in medium term</li> <li>Investments in a portfolio of debt and money market instruments with portfolio weighted average maturity ranging from 3 to 7 years.</li> </ul>   |  <p>Investors understand that their principal will be at moderate risk</p>       |
| <b>BNP PARIBAS OVERNIGHT FUND</b><br>(An open-ended Liquid Scheme)  | <ul style="list-style-type: none"> <li>Regular income in short term</li> <li>Investments in debt and money market instruments with maturity upto 91 days.</li> </ul>   |  <p>Investors understand that their principal will be at low risk</p>            |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

|   |   |   |
|---|---|---|
| <b>Sponsor</b><br><b>BNP Paribas Investment Partners Asia Limited</b><br>Registered Office: 30/F, Three Exchange Square, 8 Connaught Place, Central, Hong Kong. | <b>Asset Management Company</b><br><b>BNP Paribas Asset Management India Private Limited</b><br>Corporate Identity Number (CIN) : U65991MH2003PTC142972<br>Registered Office: BNP Paribas House, 1 North Avenue, Maker Maxity, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051, Maharashtra, India. | <b>Trustee Company</b><br><b>BNP Paribas Trustee India Private Limited</b><br>Corporate Identity Number (CIN) : U65991MH2003PTC142971<br>Registered Office: BNP Paribas House, 1 North Avenue, Maker Maxity, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051, Maharashtra, India. |
| <b>Name of Mutual Fund (Mutual Fund) : BNP Paribas Mutual Fund</b>  |   | Website of the entity : <a href="http://www.bnpparibasmf.in">www.bnpparibasmf.in</a>  |

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the schemes/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document of the respective scheme and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.bnpparibasmf.in](http://www.bnpparibasmf.in)

The Schemes particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This KIM is dated February 27, 2017.

| <b>BNP PARIBAS MONTHLY INCOME PLAN (BNPPMIP)</b>                    |  |   |   |  |
|---|--|---|---|--|
| <b>Date of Inception</b>  | September 23, 2004   |   |   |  |
| <b>Type of the Scheme</b>   | An open-ended Income scheme. Monthly Income is not assured and is subject to the availability of distributable surplus.  |   |   |  |
| <b>Investment Objective</b>   | The primary objective of the Scheme is to generate regular returns through investments primarily in Debt and Money Market Instruments. The secondary objective of the Scheme is to generate long-term capital appreciation by investing a portion of the Scheme's assets in equity and equity related securities. However, there can be no assurance that the investment objectives of the Scheme will be realized. The Scheme do not guarantee / indicate any returns.  |   |   |  |
| <b>Asset Allocation Pattern</b>                                     | <b>Type of Instruments</b>   | <b>Normal Allocation (% of Net Assets)</b>                  | <b>Maximum Allocation (% of Net Assets)</b> | <b>Risk Profile</b>  |
|   | Debt Instruments* & Money Market Instruments (including cash / call money)   | 85  | 100   | Low to Medium  |
|   | Equities & Equity** Related Securities   | 15  | 20  | Medium to High   |
|   | * Debt instruments may include securitised debt upto 60% of the net assets.<br>** Exposure to derivative Instruments not more than 50% of the net assets.  |   |   |  |
| <b>Investment Strategies</b>  | <p>The net assets of the Scheme will be invested in debt and money market instruments. The Debt portion of the portfolio will be actively managed based on the AMC's view on interest rates. The price of fixed income instruments varies with changes in interest rates. As interest rates decline, the value of fixed income securities rise and as interest rates rise, their value declines. The AMC forms views on the likely direction of interest rates and the portfolio is structured consistent with these views.</p> <p>Individual instruments are bought and sold based on the conformity with the interest rate view and the instrument specific factors (credit risk, exposure). By actively managing the portfolio, the scheme attempts to achieve its objective through both interest yield and capital appreciation. As such, the scheme may not invest solely based on the best available yields in the market at all points of time.</p> <p>Some portion of the scheme's assets will be invested in equity and equity related securities to fulfil the secondary objective of the scheme.</p> <p>The equity portion of the portfolio will aim to outperform the benchmark and to provide long-term capital growth through a diversified and actively managed portfolio of equity and equity related securities. The scheme will invest in a range of companies, with a bias towards large and medium market capitalization companies.</p> |   |   |  |
| <b>Risk Profile and Risk Control Measures of the Scheme</b>         | Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document carefully for details on Risk Factors before investment. Scheme specific risk factors and risk control measures are summarised on page 15-17.   |   |   |  |
| <b>Plans and Options</b><br>(Refer page no. 20 for further details) | BNP Paribas Monthly Income Plan and BNP Paribas Monthly Income Plan - Direct Plan, each plan offers Growth Option, Monthly Dividend Option and Quarterly Dividend Option. The Dividend Options offer Dividend Payout and Dividend Re-investment facilities.  |   |   |  |
| <b>Applicable NAV</b>   | Please refer to point C on page 20 for details.  |   |   |  |
| <b>Minimum Application Amount / Number of Units</b>                 | <b>Options</b>   | <b>Minimum Amount for Purchase</b>                          | <b>Additional Amount for Purchase</b>       | <b>Minimum Amount / Units for Redemption</b>   |
|   | Growth, Monthly & Quarterly Dividend   | ₹ 1,000 per application and in multiples of ₹ 1 thereafter. | ₹ 500 and in multiples of ₹ 1 thereafter.   | ₹ 1,000 & in multiples of ₹ 1 thereafter or minimum 100 Units (unless redemption request is for all units) |
| <b>SIP / STP / SWP</b>  | Available (For more details, refer to respective Application Forms)  |   |   |  |
| <b>Despatch of Repurchase (Redemption) Request</b>                  | As per the SEBI Regulations, the Mutual Fund shall despatch redemption proceeds within 10 business days of receiving the redemption / repurchase request at any of the Official Points of Acceptance of Transactions (OPAT). A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, will be paid in case the redemption proceeds are not despatched within 10 Business Days of the date of redemption request.   |   |   |  |
| <b>Benchmark Index</b>  | CRISIL MIP Blended Index   |   |   |  |
| <b>Dividend Policy</b>  | Please refer to point E on page 21 for details.  |   |   |  |
| <b>Name of the Fund Manager</b>                                     | For Debt portion: Mr. Puneet Pal (managing fund since June 10, 2015 i.e. 1.72 years) and For Equity Portion: Mr. Karthikraj Lakshmanan (managing fund since October 07, 2016, i.e. 0.39 years) and Mr. Abhijeet Dey (managing fund since November 16, 2016, i.e. 0.28 years)   |   |   |  |
| <b>Name of the Trustee Company</b>                                  | BNP Paribas Trustee India Private Limited  |   |   |  |
| <b>Performance of the Scheme (as on January 31, 2017)</b>           | Please refer to page 18 for details.   |   |   |  |
| <b>Expenses of the Scheme:</b>                                      | <b>Entry Load : Nil</b>  |   |   |  |
| <b>i) Load Structure</b>  | <p><b>Exit Load :</b> ● 1% if redeemed or switched-out within 6 months from the date of allotment of units. ● Nil if redeemed or switched-out after 6 months from the date of allotment of units.</p> <p>The above load shall also be applicable for switches between the schemes of the Fund and Systematic Investment Plans, Systematic Transfer Plans, Systematic Withdrawal Plans. No load will be charged on dividend re-investment and bonus units.</p> <p>In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.</p> <p><b>For details of exit load on switches to / from Direct Plan please refer to point H on page 22.</b></p>   |   |   |  |
| <b>ii) Recurring Expenses</b>                                       | <b>Estimated annual recurring expenses [% per annum of daily net assets]</b>   |   |   |  |
|   | First ₹ 100 crs.   | Next ₹ 300 crs.   | Next ₹ 300 crs.                             | Balance  |
|   | 2.25   | 2.00  | 1.75  | 1.50   |
|   | <p><b>Actual expenses for the financial year 2015-16</b></p> <p>Total recurring expense as a % to daily net assets :</p> <p>Distributor Plan : 2.75%</p> <p>Direct Plan : 0.66%</p> <p>Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of units will be paid / charged under Direct Plan.</p> <p>For details on Total Expense Ratio (TER), please refer to point F page no. 21.</p>   |   |   |  |

| <b>BNP PARIBAS MONTHLY INCOME PLAN (BNPPMIP)</b>   |   |
|--|---|
| <b>Waiver of Load for Direct Applications</b>  | Not Applicable. Please refer to point I on page 23 for details.   |
| <b>Tax treatment for the Investors (Unitholders)</b>   | Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.           |
| <b>Daily Net Asset Value (NAV) Publication</b>   | Please refer to point D on page 21 for details.   |
| <b>For Investor Grievances please contact</b>  | Please refer to point L on page 23 for details.   |
| <b>Unitholders' Information</b>  | Please refer to point J on page 23 for details.   |
| <b>Portfolio Details (as on January 31, 2017)</b>  | For Scheme's portfolio holdings viz. Top 10 holdings by issuer and fund allocation towards various sectors refer to point M on page 24 for details. |
| <b>Transaction charges</b><br>(For Lumpsum Purchases and SIP Investments routed through distributor / agent) | Please refer to point G on page 22 for details.   |
| <b>Compliance with Foreign Accounts Tax Compliance Act ("FATCA")</b>   | Please refer to point K on page 23 for details.   |

| <b>BNP PARIBAS FLEXI DEBT FUND (BNPPDF)</b>                          |   |  |   |  |
|--|---|--|---|--|
| <b>Date of Inception</b>   | September 23, 2004  |  |   |  |
| <b>Type of the Scheme</b>  | An open-ended Income scheme   |  |   |  |
| <b>Investment Objective</b>  | The primary objective of the Scheme is to generate income through investments in a range of Debt and Money Market Instruments of various maturities with a view to maximising income while maintaining an optimum balance between yield, safety and liquidity. However, there can be no assurance that the investment objectives of the Scheme will be realized. The Scheme do not guarantee / indicate any returns.  |  |   |  |
| <b>Asset Allocation Pattern</b>                                      | <b>Type of Instruments</b>  | <b>Normal Allocation (% of Net Assets)</b>                 | <b>Maximum Allocation (% of Net Assets)</b> | <b>Risk Profile</b>  |
|  | Debt Instruments* with maturity of more than 1 year   | 70   | 90  | Low to Medium  |
|  | Money Market Instruments** (including cash / call money & Reverse Repo) and debentures with maturity of less than 1 year  | 30   | 100   | Low  |
|  | * Debt instruments may include securitised debt upto 60% of the net assets.<br>** Exposure to derivative Instruments not more than 50% of the net assets.   |  |   |  |
| <b>Investment Strategies</b>   | <p>The net assets of the Scheme will be invested in debt and money market instruments. The debt portion of the portfolio will be actively managed based on the AMC's view on interest rates. The price of fixed income instruments varies with changes in interest rates. As interest rates decline, the value of fixed income securities rise and as interest rates rise, their value declines. The AMC forms views on the likely direction of interest rates and the portfolio is structured consistent with these views. This may involve active duration management to take advantage of any opportunities that may arise on account of interest rate movements. The portfolio shall be thus actively managed to capture the spread and duration gains with a strong focus on credit quality of instruments. By actively managing the portfolio, the Scheme attempts to achieve its objective through both interest yield and capital appreciation. As such, the Scheme may not invest solely based on the best available yields in the market at all points of time. The Scheme aims to provide investors with an actively managed portfolio of debt &amp; money market instruments.</p> <p>Interest rate movements in the Indian debt markets can be volatile leading to the possibility of large price movements up or down in debt and money market securities and thereby to possibly large movements in the valuation of Portfolios. The risk of loss on account of such active and dynamic management can thus be substantial. The extent of change in price shall depend on the rating, tenor to maturity, coupon, liquidity, and the extent of fall or rise in interest rates. Individual instruments are bought and sold based on the conformity with the interest rate view and the instrument specific factors (credit risk, exposure, etc.). The Government securities carry zero credit risk, but they carry interest rate risk like any other Fixed Income Securities. The portfolio may also include other liquid assets and other short maturity assets, especially in times of rising interest rates.</p> |  |   |  |
| <b>Risk Profile and Risk Control Measures of the Scheme</b>          | Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document carefully for details on Risk Factors before investment. Scheme specific risk factors and risk control measures are summarised on page 15-17.  |  |   |  |
| <b>Plans and Options</b><br>(Refer page no. 20 for further details.) | BNP Paribas Flexi Debt Fund and BNP Paribas Flexi Debt Fund - Direct Plan, each plan offers Growth Option, Daily Dividend Option with compulsory Dividend Re-investment, Weekly Dividend Option with compulsory Dividend Re-investment, Monthly Dividend Option, Quarterly Dividend Option and Half-Yearly Dividend Option. The Monthly Dividend, Quarterly Dividend and Half-Yearly Dividend Options offer Dividend Payout and Dividend Re-investment facilities.  |  |   |  |
| <b>Applicable NAV</b>  | Please refer to point C on page 20 for details.   |  |   |  |
| <b>Minimum Application Amount / Number of Units</b>                  | <b>Options</b>  | <b>Minimum Amount for Purchase</b>                         | <b>Additional Amount for Purchase</b>       | <b>Minimum Amount / Units for Redemption / Switch out</b>  |
|  | Growth, Daily Dividend, Weekly Dividend, Monthly Dividend, Quarterly Dividend and Half Yearly Dividend Options  | ₹ 5,000 per application and in multiples of ₹ 1 thereafter | ₹ 1,000 and in multiples of ₹ 1 thereafter  | ₹ 1,000 & in multiples of ₹ 1 thereafter or minimum 100 Units. (unless redemption request is for all units.) |
| <b>SIP / STP / SWP</b>   | Available (For more details, refer to respective Application Forms).  |  |   |  |
| <b>Despatch of Repurchase (Redemption) Request</b>                   | As per the SEBI Regulations, the Mutual Fund shall despatch redemption proceeds within 10 business days of receiving the (Redemption) Request redemption / repurchase request at any of the Official Points of Acceptance of Transactions (OPAT). A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, will be paid in case the redemption proceeds are not despatched within 10 Business Days of the date of redemption request  |  |   |  |

| <b>BNP PARIBAS FLEXI DEBT FUND (BNPPDF)</b>  |   |                 |                 |   |
|--|---|-----------------|-----------------|---|
| <b>Benchmark Index</b>   | CRISIL Composite Bond Fund Index  |                 |                 |   |
| <b>Dividend Policy</b>   | Please refer to point E on page 21 for details.   |                 |                 |   |
| <b>Name of the Fund Manager</b>  | Mr. Puneet Pal (managing fund since February 16, 2012 i.e. 5.04 years)  |                 |                 |   |
| <b>Name of the Trustee Company</b>   | BNP Paribas Trustee India Private Limited   |                 |                 |   |
| <b>Performance of the Scheme (as on January 31, 2017)</b>  | Please refer to page 18 for details.  |                 |                 |   |
| <b>Expenses of the Scheme:</b>   | <b>Entry Load :</b> Nil   |                 |                 |   |
| <b>i) Load Structure</b>   | <b>Exit Load :</b> ● 0.75% if redeemed or Switched-out upto 6 months from the date of allotment of units. ● Nil if redeemed or Switched-out after 6 months.<br>The above load shall also be applicable for switches between the schemes of the Fund and Systematic Investment Plans, Systematic Transfer Plans, Systematic Withdrawal Plans. No load will be charged on dividend re-investment and bonus units. In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.<br><b>For details of exit load on switches to / from Direct Plan please refer to point H on page 22.</b> |                 |                 |   |
| <b>ii) Recurring Expenses</b>  | <b>Estimated annual recurring expenses [% per annum of daily net assets]</b>  |                 |                 | <b>Actual expenses for the financial year 2015-16</b> |
|  | First ₹ 100 crs.  | Next ₹ 300 crs. | Next ₹ 300 crs. | Balance   |
|  | 2.25  | 2.00            | 1.75            | 1.50  |
|  | Total recurring expense as a % to daily net assets :<br>Distributor Plan : 1.90%      Direct Plan : 1.14%   |                 |                 |   |
|  | Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of units will be paid / charged under Direct Plan.<br>For details on Total Expense Ratio (TER), please refer to point F page no. 21.   |                 |                 |   |
| <b>Waiver of Load for Direct Applications</b>  | Not Applicable. Please refer to point I on page 23 for details.   |                 |                 |   |
| <b>Tax treatment for the Investors (Unitholders)</b>   | Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.   |                 |                 |   |
| <b>Daily Net Asset Value (NAV) Publication</b>   | Please refer to point D on page 21 for details.   |                 |                 |   |
| <b>For Investor Grievances please contact</b>  | Please refer to point L on page 23 for details.   |                 |                 |   |
| <b>Unitholders' Information</b>  | Please refer to point J on page 23 for details.   |                 |                 |   |
| <b>Portfolio Details (as on January 31, 2017)</b>  | For Scheme's portfolio holdings viz. Top 10 holdings by issuer and fund allocation towards various sectors refer to point M on page 24 for details.   |                 |                 |   |
| <b>Transaction charges</b><br>(For Lumpsum Purchases and SIP Investments routed through distributor / agent) | Please refer to point G on page 22 for details.   |                 |                 |   |
| <b>Compliance with Foreign Accounts Tax Compliance Act ("FATCA")</b>   | Please refer to point K on page 23 for details.   |                 |                 |   |

| <b>BNP PARIBAS GOVERNMENT SECURITIES FUND (BNPPGSF)</b>  |   |   |                |                     |
|--|---|---|----------------|---------------------|
| <b>Date of Inception</b>   | April 30, 2013  |   |                |                     |
| <b>Type of the Scheme</b>  | An open-ended debt scheme   |   |                |                     |
| <b>Investment Objective</b>  | The objective of the scheme is to seek to generate income and capital appreciation by investing in a portfolio of government securities of various maturities issued by Central & State Government. However, there can be no assurance that the investment objective of the scheme will be achieved. The scheme does not guarantee / indicate any returns.  |   |                |                     |
| <b>Asset Allocation Pattern</b>  | The asset allocation of the scheme under normal circumstances would be:   |   |                |                     |
|  | <b>Instruments</b>  | <b>Indicative allocations (% of total assets)</b> |                | <b>Risk Profile</b> |
|  |   | <b>Minimum</b>                                    | <b>Maximum</b> |                     |
|  | Government Securities issued by Central / State government and Treasury Bills,  | 65  | 100            | Low to Medium       |
| Reverse repos in Government Securities and CBLO. Units of liquid funds and units of schemes predominantly investing in Government Securities | 0   | 35  | Low            |                     |
|  | The cumulative gross exposure through government securities, treasury bills and derivatives will not exceed 100% of the net assets of the scheme.<br>The investments in units of liquid funds and units of schemes predominantly investing in Government Securities shall not exceed 5% of the net assets of the scheme. The scheme will not invest in securitised debt. Exposure to debt derivative instruments shall not be more than 30% of the net assets and shall be used only for hedging and portfolio balancing. The scheme will not invest in foreign securities including foreign securitised debt. The scheme will not invest in equity, equity related securities and foreign equity securities including ADR / GDR. The scheme will not indulge in short selling and securities lending and borrowing. It may be noted that AMC has to adhere to the asset allocation pattern indicated in the SID under normal circumstances. The modified duration of the portfolio can go up to 10. Accordingly, such higher portfolio duration can possibly lead to large price movements up or down in debt and money market securities and thereby to possibly large movements in the valuation of portfolios. The risk of loss on account of such dynamic duration management can thus be substantial. |   |                |                     |

## BNP PARIBAS GOVERNMENT SECURITIES FUND (BNPPGSF)

|  |   |   |  |
|--|---|---|--|
| <b>Investment Strategies</b>   | The scheme will invest in Government Securities issued by Central / State Government, repos / reverse repos in Central / State Government Securities as may be permitted by RBI. The fund management team will take an active view of the interest rate environment by keeping a close watch on various parameters of the Indian economy like, Government's borrowing program, level of liquidity in the banking system, inflation levels and overall macro economic growth of the country. It will take into account the various variables affecting the interest rate scenario, relative valuation of the securities, quality of instruments, maturity profile of the instruments and liquidity of the securities. As the fund is largely going to invest primarily in government securities and can employ active duration strategy the portfolio turnover can be higher relative to other debt strategies. Interest rate movements in the Indian debt markets can be volatile leading to the possibility of large price movements up or down in debt and money market securities and thereby to possibly large movements in the valuation of Portfolios. The risk of loss on account of such active and dynamic management can thus be substantial. The extent of change in price shall depend on the tenor to maturity, coupon, liquidity, and the extent of fall or rise in interest rates. The AMC will endeavour to meet the investment objective of scheme while maintaining a balance between safety, liquidity and return on investment. |   |  |
| <b>Risk Profile and Risk Control Measures of the Scheme</b>  | Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document carefully for details on Risk Factors before investment. Scheme specific risk factors and risk control measures are summarised on page 15-17.  |   |  |
| <b>Plans and Options</b><br>(Refer page no. 20 for further details)  | BNP Paribas Government Securities Fund and BNP Paribas Government Securities Fund - Direct Plan. Each plan has two options Growth option & Dividend option with Monthly dividend option, Calendar quarterly dividend option, Half yearly dividend option and Annual dividend option.<br><br>All the above dividend option shall have dividend payout and dividend reinvestment facilities.  |   |  |
| <b>Applicable NAV</b>  | Please refer to point C on page 20 for details.   |   |  |
| <b>Minimum Application Amount / Number of Units</b>  | <b>Purchase</b>   | <b>Additional Purchase</b>                | <b>Redemption / Switch Out</b>   |
|  | <b>Lumpsum investment:</b> ₹ 5,000 and in multiples of ₹ 1 thereafter.<br><b>Systematic Investment Plan:</b> ₹ 500 and in multiples of ₹ 1 thereafter.  | 1,000 and in multiples of ₹ 1 thereafter. | ₹ 1,000 and in multiples of ₹ 1 thereafter or a minimum of 100 units (unless redemption request is for all units). |
|  | There is no upper limit on the amount for application.<br><i>The AMC reserves the right to change the minimum application and minimum additional application amount from time to time.</i>  |   |  |
| <b>SIP / STP / SWP</b>   | Available (For more details, refer to respective Application Forms).  |   |  |
| <b>Despatch of Repurchase (Redemption) Request</b>   | As per the SEBI Regulations, the Mutual Fund shall despatch redemption proceeds within 10 business days of receiving the redemption / repurchase request at any of the Official Points of Acceptance of Transactions (OPAT). A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, will be paid in case the redemption proceeds are not despatched within 10 Business Days of the date of redemption request   |   |  |
| <b>Benchmark Index</b>   | I Sec Composite Gilt Index  |   |  |
| <b>Dividend Policy</b>   | Please refer to point E on page 21 for details.   |   |  |
| <b>Name of the Fund Manager</b>  | Mr. Puneet Pal (managing fund since inception of the scheme i.e. 3.83 years)  |   |  |
| <b>Name of the Trustee Company</b>   | BNP Paribas Trustee India Private Limited   |   |  |
| <b>Performance of the Scheme (as on January 31, 2017)</b>  | Please refer to page 18 for details.  |   |  |
| <b>Expenses of the Scheme:</b>   | <b>Entry Load : Nil      Exit Load : Nil</b>  |   |  |
| <b>i) Load Structure</b>   | The above load shall also be applicable for switches between the schemes of the Fund and Systematic Investment Plans, Systematic Transfer Plans, Systematic Withdrawal Plans. No load will be charged on dividend re-investment and bonus units. In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.<br><b>For details of exit load on switches to / from Direct Plan please refer to point H on page 22.</b>  |   |  |
| <b>ii) Recurring Expenses</b>  | <b>Estimated annual recurring expenses [% per annum of daily net assets]</b>  |   | <b>Actual expenses for the financial year 2015-16</b>  |
|  | First ₹ 100 crs.  | Next ₹ 300 crs.                           | Distributor Plan : 1.06%   |
|  | 2.25  | 2.00                                      | Direct Plan : 0.35%  |
|  | Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of units will be paid / charged under Direct Plan. For details on Total Expense Ratio (TER), please refer to point F page no. 21.  |   |  |
| <b>Waiver of Load for Direct Applications</b>  | Not Applicable. Please refer to point I on page 23 for details.   |   |  |
| <b>Tax treatment for the Investors (Unitholders)</b>   | Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.   |   |  |
| <b>Daily Net Asset Value (NAV) Publication</b>   | Please refer to point D on page 21 for details.   |   |  |
| <b>For Investor Grievances please contact</b>  | Please refer to point L on page 23 for details.   |   |  |
| <b>Unitholders' Information</b>  | Please refer to point J on page 23 for details.   |   |  |
| <b>Portfolio Details (as on January 31, 2017)</b>  | For Scheme's portfolio holdings viz. Top 10 holdings by issuer and fund allocation towards various sectors refer to point M on page 24 for details.   |   |  |
| <b>Transaction charges</b><br>(For Lumpsum Purchases and SIP Investments routed through distributor / agent) | Please refer to point G on page 22 for details.   |   |  |
| <b>Compliance with Foreign Accounts Tax Compliance Act ("FATCA")</b>   | Please refer to point K on page 23 for details.   |   |  |

| <b>BNP PARIBAS MONEY PLUS FUND (BNPPMPF)</b>                        |   |  |   |  |                     |
|---|---|--|---|--|---------------------|
| <b>Date of Inception</b>  | October 21, 2005  |  |   |  |                     |
| <b>Type of the Scheme</b>   | An open-ended Income scheme   |  |   |  |                     |
| <b>Investment Objective</b>   | The primary objective of the Scheme is to provide income consistent with the prudent risk from a portfolio comprising of floating rate debt instruments, fixed rate debt instruments, money market instruments and derivatives. However, there can be no assurance that the investment objective of the Scheme will be achieved. The Scheme do not guarantee / indicate any returns.  |  |   |  |                     |
| <b>Asset Allocation Pattern</b>                                     | <b>Type of Securities</b>   | <b>Normal Allocation (% of Net Assets)</b>                 | <b>Minimum Allocation (% of Net Assets)</b> | <b>Maximum Allocation (% of Net Assets)</b>  | <b>Risk Profile</b> |
|   | Floating Rate Debt Instruments (including Money Market Instruments, Debt Instruments of residual maturity less than one year as also Fixed Rate Debt Instruments* swapped for floating rate returns)#   | 75   | 65  | 100  | Low to Medium       |
|   | Fixed Rate Debt Instruments* (including Money Market Instruments & Floating Rate Debt Instruments swapped for fixed rate returns)*  | 25   | 0   | 35   | Low to Medium       |
|   | * Debt instruments may include securitised debt upto 60% of the net assets. # Exposure in interest rate derivative upto 50% of the net assets.  |  |   |  |                     |
| <b>Investment Strategies</b>  | <p>The net assets of the scheme will be predominantly invested in floating rate debt instruments, fixed rate debt instruments swapped for floating rate return, fixed rate debt securities, money market instruments and government securities in accordance with the investment pattern table.</p> <p>The objective is to generate stable returns linked to the prevalent rates of interest in the market. The yield on floating rate instruments increase with market interest rates in contrast to fixed interest securities that pay a fixed coupon irrespective of current interest rates. Thus floating rate instruments generate returns linked to market rates of interest. In the absence of floating rate debt instruments, synthetic floating rate instruments can be created with a combination of fixed rate instruments and derivatives such as Interest Rate Swaps and Forward Rate Agreements.</p> <p>Floating rate instruments are typically linked to a benchmark such as MIBOR (overnight to three months), Government Bond Yield (one year and above), etc. The scheme may invest in floating rate instruments with longer duration benchmarks (e.g. 5-year government security yield) as well as short duration benchmarks (e.g. MIBOR).</p> <p>The mix of floating and fixed rate instruments is decided based on the view on interest rates. If the fund manager believes that interest rates are headed higher, the proportion of floating rate instruments is increased. Conversely if the fund manager believes that interest rates are likely to decline, the proportion of fixed rate instruments is increased. The fund manager may use derivatives to create synthetic fixed rate instruments.</p> <p>Individual instruments are selected based on yield, credit risk of issuer, exposure to issuer, etc.</p> |  |   |  |                     |
| <b>Risk Profile and Risk Control Measures of the Scheme</b>         | Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document carefully for details on Risk Factors before investment. Scheme specific risk factors and risk control measures are summarised on page 15-17.  |  |   |  |                     |
| <b>Plans and Options</b><br>(Refer page no. 20 for further details) | <p>BNP Paribas Money Plus Fund &amp; BNP Paribas Money Plus Fund - Direct Plan, Each plan offers Growth Option, Daily Dividend Option with compulsory Dividend Re-investment, Weekly Dividend Option and Monthly Dividend Option. The Weekly Dividend Option and Monthly Dividend Option offer Dividend Payout and Dividend Re-investment facilities.</p> <p>Investors are further informed that in case the dividend payable for the week under the Weekly Dividend Option is less than ₹ 20,000/- at a folio level, automatic re-investment of dividend will take place.</p>  |  |   |  |                     |
| <b>Applicable NAV</b>   | Please refer to point C on page 20 for details.   |  |   |  |                     |
| <b>Minimum Application Amount / Number of Units</b>                 | <b>Options</b>  | <b>Minimum Amount for Purchase</b>                         | <b>Additional Amount for Purchase</b>       | <b>Minimum Amount / Units for Redemption / Switch out</b>  |                     |
|   | Growth, Daily, Weekly and Monthly Dividend Option   | ₹ 5,000 per application and in multiples of ₹ 1 thereafter | ₹ 1,000 and in multiples of ₹ 1 thereafter. | ₹ 1,000 & in multiples of ₹ 1 thereafter or minimum 100 Units (unless redemption request is for all units) |                     |
| <b>SIP / STP / SWP</b>  | Available (For more details, refer to respective Application Forms).  |  |   |  |                     |
| <b>Despatch of Repurchase (Redemption) Request</b>                  | As per the SEBI Regulations, the Mutual Fund shall despatch redemption proceeds within 10 business days of receiving the redemption / repurchase request at any of the Official Points of Acceptance of Transactions (OPAT). A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, will be paid in case the redemption proceeds are not despatched within 10 Business Days of the date of redemption request.  |  |   |  |                     |
| <b>Benchmark Index</b>  | CRISIL Liquid Fund Index  |  |   |  |                     |
| <b>Dividend Policy</b>  | Please refer to point E on page 21 for details.   |  |   |  |                     |
| <b>Name of the Fund Manager</b>                                     | Mr. Puneet Pal (managing fund since February 16, 2012 i.e. 5.03 years)  |  |   |  |                     |
| <b>Name of the Trustee Company</b>                                  | BNP Paribas Trustee India Private Limited   |  |   |  |                     |
| <b>Performance of the Scheme (as on January 31, 2017)</b>           | Please refer to page 18 for details.  |  |   |  |                     |
| <b>Expenses of the Scheme:</b><br><b>i) Load Structure</b>          | <p><b>Entry Load : Nil    Exit Load : Nil</b></p> <p>The above load shall also be applicable for switches between the schemes of the Fund and Systematic Investment Plans, Systematic Transfer Plans, Systematic Withdrawal Plans. No load will be charged on dividend re-investment and bonus units.</p> <p>In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.</p> <p><b>For details of exit load on switches to / from Direct Plan please refer to point H on page 22.</b></p>  |  |   |  |                     |

| <b>BNP PARIBAS MONEY PLUS FUND (BNPPMPF)</b>   |   |                 |                 |         |  |  |
|--|---|-----------------|-----------------|---------|--|--|
| Recurring Expenses   | Estimated annual recurring expenses [% per annum of daily net assets]   |                 |                 |         | Actual expenses for the financial year 2015-16       |  |
|  | First ₹ 100 crs.  | Next ₹ 300 crs. | Next ₹ 300 crs. | Balance | Total recurring expense as a % to daily net assets : |  |
|  | 2.25  | 2.00            | 1.75            | 1.50    | Distributor Plan : 1.09%<br>Direct Plan : 0.35%      |  |
| Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of units will be paid / charged under Direct Plan. For details on Total Expense Ratio (TER), please refer to point F page no. 21. |   |                 |                 |         |  |  |
| Waiver of Load for Direct Applications   | Not Applicable. Please refer to point I on page 23 for details.   |                 |                 |         |  |  |
| Tax treatment for the Investors (Unitholders)  | Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.           |                 |                 |         |  |  |
| Daily Net Asset Value (NAV) Publication  | Please refer to point D on page 21 for details.   |                 |                 |         |  |  |
| For Investor Grievances please contact   | Please refer to point L on page 23 for details.   |                 |                 |         |  |  |
| Unitholders' Information   | Please refer to point J on page 23 for details.   |                 |                 |         |  |  |
| Portfolio Details (as on January 31, 2017)   | For Scheme's portfolio holdings viz. Top 10 holdings by issuer and fund allocation towards various sectors refer to point M on page 24 for details. |                 |                 |         |  |  |
| Transaction charges (For Lumpsum Purchases and SIP Investments routed through distributor / agent)   | Please refer to point G on page 22 for details.   |                 |                 |         |  |  |
| Compliance with Foreign Accounts Tax Compliance Act ("FATCA")  | Please refer to point K on page 23 for details.   |                 |                 |         |  |  |

| <b>BNP PARIBAS SHORT TERM INCOME FUND (BNPPSTIF)</b>   |  |  |  |  |
|--|--|--|--|--|
| Date of Inception  | September 13, 2004   |  |  |  |
| Type of the Scheme   | An open-ended Income scheme  |  |  |  |
| Investment Objective   | The primary objective of the Scheme is to provide income consistent with the prudent risk from a portfolio comprising various debt and money market instruments. However, there can be no assurance that the investment objective of the Scheme will be achieved. The Scheme / Plan(s) do not guarantee / indicate any returns.  |  |  |  |
| Asset Allocation Pattern   | Type of Securities   | Minimum Allocation (% of Net Assets)                       | Maximum Allocation (% of Net Assets)       | Risk Profile   |
|  | Debt and Money Market Instruments with maturity upto 1 year* including Floating Rate Instruments with interest reset upto 1 year#  | 50   | 100  | Low  |
|  | Debt Instruments with maturity above 1 year* including Floating Rate Instruments with interest reset above 1 year#   | 0  | 50   | Low to Medium  |
| * Debt instruments may include securitised debt upto 60% of the net assets. # Exposure in interest rate derivative upto 50% of the net assets. |  |  |  |  |
| Investment Strategies  | The Scheme may invest in debt & money market instruments that are listed / unlisted and / or rated / unrated including commercial paper, certificates of deposit, permitted securities under a repo agreement etc., Gilts / Government securities, securities issued / guaranteed by the Central / State Governments, securities issued by public / private sector companies / corporations, financial institutions, securitized debts including mortgage backed securities within the parameters of above mentioned investment pattern and also within the parameters prescribed by the regulations. Investments may be made in instruments, which, in the opinion of the Fund Manager, are of acceptable credit risk. The Fund Manager is generally guided, but not restrained, by the ratings announced by various rating agencies on the assets in the portfolio. The maturity profile of debt instruments may be selected in accordance with the Fund Manager's view regarding market conditions, interest rate outlook, stability of rating and the liquidity requirement of the Scheme. The Scheme may underwrite primary issuances of securities as permitted under the Regulations. |  |  |  |
| Risk Profile and Risk Control Measures of the Scheme   | Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document carefully for details on Risk Factors before investment. Scheme specific risk factors and risk control measures are summarised on page 15-17.   |  |  |  |
| Plans and Options (Refer page no. 20 for further details)  | BNP Paribas Short Term Income Fund and BNP Paribas Short Term Income Fund - Direct Plan, each plan offers Growth Option, Daily Dividend Option with compulsory Dividend Re-Investment, Weekly Dividend Option with compulsory Dividend Re-investment, Monthly Dividend Option and Quarterly Dividend Option.<br><br>The Monthly Dividend and Quarterly Dividend Option offers Dividend Payout and Dividend Re-investment facilities.   |  |  |  |
| Applicable NAV   | Please refer to point C on page 20 for details.  |  |  |  |
| Minimum Application Amount / Number of Units   | Options  | Minimum Amount for Purchase                                | Additional Amount for Purchase             | Minimum Amount / Units for Redemption / Switch out   |
|  | Growth, Daily, Weekly, Monthly and Quarterly Dividend Option   | ₹ 5,000 per application and in multiples of ₹ 1 thereafter | ₹ 1,000 and in multiples of ₹ 1 thereafter | ₹ 1,000 & in multiples of ₹ 1 thereafter or minimum 100 Units (unless redemption request is for all units) |
| SIP / STP / SWP  | Available (For more details, refer to respective Application Forms).   |  |  |  |

| <b>BNP PARIBAS SHORT TERM INCOME FUND (BNPPSTIF)</b>  |  |                 |                 |   |
|---|--|-----------------|-----------------|---|
| <b>Despatch of Repurchase (Redemption) Request</b>  | As per the SEBI Regulations, the Mutual Fund shall despatch redemption proceeds within 10 business days of receiving the redemption / repurchase request at any of the Official Points of Acceptance of Transactions (OPAT). A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, will be paid in case the redemption proceeds are not despatched within 10 Business Days of the date of redemption request.   |                 |                 |   |
| <b>Benchmark Index</b>  | CRISIL Short Term Bond Fund Index  |                 |                 |   |
| <b>Dividend Policy</b>  | Please refer to point E on page 21 for details.  |                 |                 |   |
| <b>Name of the Fund Manager</b>   | Mr. Mayank Prakash (managing fund since September 21, 2015 i.e. 1.44 years) and Mr. Puneet Pal (managing fund since February 07, 2015 i.e. 2.06 years)   |                 |                 |   |
| <b>Name of the Trustee Company</b>  | BNP Paribas Trustee India Private Limited  |                 |                 |   |
| <b>Performance of the Scheme (as on January 31, 2017)</b>   | Please refer to page 19 for details.   |                 |                 |   |
| <b>Expenses of the Scheme:</b>  | <b>Entry Load : Nil</b>  |                 |                 |   |
| <b>i) Load Structure</b>  | <b>Exit Load : Nil</b>   |                 |                 |   |
|   | The above load shall also be applicable for switches between the schemes of the Fund and Systematic Investment Plans, Systematic Transfer Plans, Systematic Withdrawal Plans. No load will be charged on dividend re-investment and bonus units. In terms of SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. <b>For details of exit load on switches to / from Direct Plan please refer to point H on page 22.</b> |                 |                 |   |
| <b>ii) Recurring Expenses</b>   | <b>Estimated annual recurring expenses [% per annum of daily net assets]</b>   |                 |                 | <b>Actual expenses for the financial year 2015-16</b> |
|   | First ₹ 100 crs.   | Next ₹ 300 crs. | Next ₹ 300 crs. | Balance   |
|   | 2.25   | 2.00            | 1.75            | 1.50  |
|   | Total recurring expense as a % to daily net assets :<br>Distributor Plan : 1.16%<br>Direct Plan : 0.41%  |                 |                 |   |
|   | Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of units will be paid / charged under Direct Plan. For details on Total Expense Ratio (TER), please refer to point F page no. 21.   |                 |                 |   |
| <b>Waiver of Load for Direct Applications</b>   | Not Applicable. Please refer to point I on page 23 for details.  |                 |                 |   |
| <b>Tax treatment for the Investors (Unitholders)</b>  | Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.  |                 |                 |   |
| <b>Daily Net Asset Value (NAV) Publication</b>  | Please refer to point D on page 21 for details.  |                 |                 |   |
| <b>For Investor Grievances please contact</b>   | Please refer to point L on page 23 for details.  |                 |                 |   |
| <b>Unitholders' Information</b>   | Please refer to point J on page 23 for details.  |                 |                 |   |
| <b>Portfolio Details (as on January 31, 2017)</b>   | For Scheme's portfolio holdings viz. Top 10 holdings by issuer and fund allocation towards various sectors refer to point M on page 25 for details.  |                 |                 |   |
| <b>Transaction charges (For Lumpsum Purchases and SIP Investments routed through distributor / agent)</b> | Please refer to point G on page 22 for details.  |                 |                 |   |
| <b>Compliance with Foreign Accounts Tax Compliance Act ("FATCA")</b>                                      | Please refer to point K on page 23 for details.  |                 |                 |   |

| <b>BNP PARIBAS CORPORATE BOND FUND (BNPPCBF)</b><br>[erstwhile BNP Paribas Bond Fund, name changed w.e.f. November 09, 2016] |  |   |   |                     |
|--|--|---|---|---------------------|
| <b>Date of Inception</b>   | November 8, 2008   |   |   |                     |
| <b>Type of the Scheme</b>  | An open-ended Income scheme  |   |   |                     |
| <b>Investment Objective</b>  | The primary objective of the Scheme is to generate income and capital gains through investments in a portfolio of debt and money market instruments. However, there can be no assurance that the investment objective of the Scheme will be achieved. The Scheme / Plan(s) do not guarantee / indicate any returns.  |   |   |                     |
| <b>Asset Allocation Pattern</b>  | <b>Type of Instruments</b>   | <b>Minimum Allocation (% of Net Assets)</b> | <b>Maximum Allocation (% of Net Assets)</b> | <b>Risk Profile</b> |
|  | Corporate Bonds/ Securitised Debt*   | 80  | 100   | Low to Medium       |
|  | Other Debt Instruments including but not limited to money market instruments, Government Securities, SDL, T-Bills.   | 0   | 20  | Low to Medium       |
|  | *The Scheme may invest in securitised debt upto 50% of the net assets & exposure in debt derivatives upto maximum permissible under SEBI regulations i.e. 100%.<br>The Scheme shall limit its exposure to investment in Foreign Debt Securities upto a maximum of 10% of its net assets including investment in Overseas Debt Exchange Traded Fund.<br>The Scheme will not invest in equity and equity related securities and foreign securitised debt.<br>It may be noted that AMC has to adhere to the asset allocation pattern indicated in the Scheme Information Document under normal circumstances. |   |   |                     |



| <b>BNP PARIBAS CORPORATE BOND FUND (BNPPCBF)</b><br>[erstwhile BNP Paribas Bond Fund, name changed w.e.f. November 09, 2016]  |   |  |   |  |
|---|---|--|---|--|
| <b>Investment Strategies</b>  | The objective of the Scheme is to generate income and capital gains through investments in a portfolio of debt and money market instruments. The Fixed Income Process aims to deliver superior returns through an active management process comprising of Research Analysis, Portfolio Construction and Monitoring.<br>Research Analysis aims at generating alpha. The key dimensions of Alpha Generation in the Indian Market are Interest Rates and Credit.   |  |   |  |
| <b>Risk Profile and Risk Control Measures of the Scheme</b>   | Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document carefully for details on Risk Factors before investment. Scheme specific risk factors and risk control measures are summarised on page 15-17.  |  |   |  |
| <b>Plans and Options</b><br>(Refer page no. 20 for further details)   | BNP Paribas Corporate Bond Fund and BNP Paribas Corporate Bond Fund - Direct Plan, each plan offers two Options, Growth Option and Dividend Option.<br>The Dividend Option offers Monthly Dividend Option, Quarterly Dividend Option and Annual Dividend Option. The Dividend Option offers Dividend Payout and Dividend Re-investment facilities. In case the dividend amount is of or less than ₹ 500, the dividend shall be automatically reinvested.  |  |   |  |
| <b>Applicable NAV</b>   | Please refer to point C on page 20 for details.   |  |   |  |
| <b>Minimum Application Amount / Number of Units</b>   | <b>Options</b>  | <b>Minimum Amount for Purchase</b>                         | <b>Additional Amount for Purchase</b>       | <b>Minimum Amount / Units for Redemption / Switch out</b>  |
|   | Growth, Monthly Quarterly and Annual Dividend Option  | ₹ 5,000 per application and in multiples of ₹ 1 thereafter | ₹ 1,000 and in multiples of ₹ 1 thereafter. | ₹ 1,000 & in multiples of ₹ 1 thereafter or minimum 100 Units.<br>(unless redemption request is for all units) |
| <b>SIP / STP / SWP</b>  | Available (For more details, refer to respective Application Forms).  |  |   |  |
| <b>Despatch of Repurchase (Redemption) Request</b>  | As per the SEBI Regulations, the Mutual Fund shall despatch redemption proceeds within 10 business days of receiving the redemption / repurchase request at any of the Official Points of Acceptance of Transactions (OPAT). A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, will be paid in case the redemption proceeds are not despatched within 10 Business Days of the date of redemption request.  |  |   |  |
| <b>Benchmark Index</b>  | CRISIL Composite Bond Fund Index  |  |   |  |
| <b>Dividend Policy</b>  | Please refer to point E on page 21 for details.   |  |   |  |
| <b>Name of the Fund Manager</b>   | Mr. Puneet Pal (managing fund since February 16, 2012 i.e. 5.04 years)  |  |   |  |
| <b>Name of the Trustee Company</b>  | BNP Paribas Trustee India Private Limited   |  |   |  |
| <b>Performance of the Scheme (as on January 31, 2017)</b>   | Please refer to page 19 for details.  |  |   |  |
| <b>Expenses of the Scheme:</b>  | <b>Entry Load</b> : Nil   |  |   |  |
| <b>i) Load Structure</b>  | <b>Exit Load</b> : ● 0.25% if redeemed / switched out upto 15 days from the date of allotment of units. ● Nil if redeemed or switched-out after 15 days.<br>The above load shall also be applicable for switches between the schemes of the Fund and Systematic Investment Plans, Systematic Transfer Plans, Systematic Withdrawal Plans. No load will be charged on dividend re-investment and bonus units.<br>In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.<br><b>For details of exit load on switches to / from Direct Plan please refer to point H on page 22.</b> |  |   |  |
| <b>ii) Recurring Expenses</b>   | <b>Estimated annual recurring expenses [% per annum of daily net assets]</b>  |  |   | <b>Actual expenses for the financial year 2015-16</b>  |
|   | First ₹ 100 crs.  | Next ₹ 300 crs.  | Next ₹ 300 crs.                             | Total recurring expense as a % to daily net assets :<br>Distributor Plan : 1.41%<br>Direct Plan : 0.35%        |
|   | 2.25  | 2.00   | 1.75  |  |
| Balance : 1.50<br>Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of units will be paid / charged under Direct Plan.<br>For details on Total Expense Ratio (TER), please refer to point F page no. 21. |   |  |   |  |
| <b>Waiver of Load for Direct Applications</b>   | Not Applicable. Please refer to point I on page 23 for details.   |  |   |  |
| <b>Tax treatment for the Investors (Unitholders)</b>  | Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.   |  |   |  |
| <b>Daily Net Asset Value (NAV) Publication</b>  | Please refer to point D on page 21 for details.   |  |   |  |
| <b>For Investor Grievances please contact</b>   | Please refer to point L on page 23 for details.   |  |   |  |
| <b>Unitholders' Information</b>   | Please refer to point J on page 23 for details.   |  |   |  |
| <b>Portfolio Details (as on January 31, 2017)</b>   | For Scheme's portfolio holdings viz. Top 10 holdings by issuer and fund allocation towards various sectors refer to point M on page 25 for details.   |  |   |  |
| <b>Transaction charges</b><br>(For Lumpsum Purchases and SIP Investments routed through distributor / agent)  | Please refer to point G on page 22 for details.   |  |   |  |
| <b>Compliance with Foreign Accounts Tax Compliance Act ("FATCA")</b>  | Please refer to point K on page 23 for details.   |  |   |  |

| <b>BNP PARIBAS OVERNIGHT FUND (BNPPONF)</b>  |   |  |   |   |
|--|---|--|---|---|
| <b>Date of Inception</b>   | September 2, 2004   |  |   |   |
| <b>Type of the Scheme</b>  | An open-ended Liquid scheme   |  |   |   |
| <b>Investment Objective</b>  | The primary investment objective of the Scheme is to generate regular returns in line with the overnight rates. However, there can be no assurance that the investment objective of the Plans / Scheme will be realised.  |  |   |   |
| <b>Asset Allocation Pattern</b>  | <b>Type of Instruments</b>  | <b>Minimum Allocation (% of Net Assets)</b>                | <b>Maximum Allocation (% of Net Assets)</b> | <b>Risk Profile</b>   |
|  | Debt Securities* and Money Market Instruments with maturity upto 91 days only   | 0  | 100   | Low   |
|  | * Debt instruments may include securitized debt upto 50% of the net assets<br># Exposure in interest rate derivatives upto 50% of the net assets  |  |   |   |
| <b>Investment Strategies</b>   | The primary investment objective of the scheme is to generate regular returns in line with the overnight rates. Investments would be made normally in short term instruments including CBLO / Reverse repos, debt instruments with overnight maturity / liquidity. Price volatility of a fixed interest security increases with the residual maturity of the security. The scheme intends to hold short-term securities to minimise price volatility. Individual instruments are selected based on yield, liquidity, credit risk of issuer, exposure to issuer, etc.  |  |   |   |
| <b>Risk Profile and Risk Control Measures of the Scheme</b>  | Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document carefully for details on Risk Factors before investment. Scheme specific risk factors and risk control measures are summarised on page 15-17.  |  |   |   |
| <b>Plans and Options</b><br>(Refer page no. 20 for further details)  | BNP Paribas Overnight Fund and BNP Paribas Overnight Fund - Direct Plan, each plan offers a Growth Option, Daily Dividend Option with compulsory Dividend Re-Investment, Weekly Dividend Option with compulsory Dividend Re-investment and Monthly Dividend Option. The Monthly Dividend Option offers Dividend Payout and Dividend Re-investment facility.<br>The Scheme also offers Special Unclaimed Red 36A Plan <sup>^</sup> , Special Unclaimed Red 36B Plan <sup>^</sup> , Special Unclaimed Div 36A Plan <sup>^</sup> and Special Unclaimed Div 36B Plan. All these Special Unclaimed Plans shall offer Growth Option only.<br><sup>^</sup> these Special Unclaimed Plans have been launched in terms of SEBI/HO/IMD/DF2/CIR/P/2016/37 dated February 25, 2016 for deployment of unclaimed redemption and unclaimed dividend amounts only. Hence, units under the said plans are not available for subscription/purchase for any other investor/class of investors. |  |   |   |
| <b>Applicable NAV</b>  | Please refer to point C on page 20 for details.   |  |   |   |
| <b>Minimum Application Amount / Number of Units</b>  | <b>Options</b>  | <b>Minimum Amount for Purchase#</b>                        | <b>Additional Amount for Purchase#</b>      | <b>Minimum Amount / Units for Redemption / Switch out#</b>  |
|  | Growth, Daily, Weekly and Monthly Dividend Option   | ₹ 5,000 per application and in multiples of ₹ 1 thereafter | ₹ 1,000 and in multiples of ₹ 1 thereafter  | ₹ 1,000 & in multiples of ₹ 1 thereafter (unless redemption request is for all units)   |
|  | #However, for Special Unclaimed Plans: Rs. 0.01 and in multiples of Re. 0.01/- thereafter.  |  |   |   |
| <b>SIP</b>   | Not Available   |  |   |   |
| <b>STP / SWP</b>   | Available (For more details, refer to respective Application Forms).  |  |   |   |
| <b>Despatch of Repurchase (Redemption) Request</b>   | As per the SEBI Regulations, the Mutual Fund shall despatch redemption proceeds within 10 business days of receiving the redemption / repurchase request at any of the Official Points of Acceptance of Transactions (OPAT). A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, will be paid in case the redemption proceeds are not despatched within 10 Business Days of the date of redemption request.  |  |   |   |
| <b>Benchmark Index</b>   | CRISIL Liquid Fund Index  |  |   |   |
| <b>Dividend Policy</b>   | Please refer to point E on page 21 for details.   |  |   |   |
| <b>Name of the Fund Manager</b>  | Mr. Mayank Prakash (managing fund since September 21, 2015 i.e. 1.44 years) and Mr. Puneet Pal (managing fund since November 01, 2014, i.e. 2.33 years)   |  |   |   |
| <b>Name of the Trustee Company</b>   | BNP Paribas Trustee India Private Limited   |  |   |   |
| <b>Performance of the Scheme (as on January 31, 2017)</b>  | Please refer to page 19 for details.  |  |   |   |
| <b>Expenses of the Scheme:</b>   | <b>Entry Load : Nil    Exit Load : Nil</b>  |  |   |   |
| <b>i) Load Structure</b>   | The above load shall also be applicable for switches between the schemes of the Fund and Systematic Transfer Plans, Systematic Withdrawal Plans. No load will be charged on dividend re-investment and bonus units.<br>In terms of SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.<br><b>For details of exit load on switches to / from Direct Plan please refer to point H on page 22.</b>   |  |   |   |
| <b>ii) Recurring Expenses</b>  | <b>Estimated annual recurring expenses [% per annum of daily net assets]</b>  |  |   | <b>Actual expenses for the financial year 2015-16</b>   |
|  | First ₹ 100 crs.  | Next ₹ 300 crs.  | Next ₹ 300 crs.                             | Total recurring expense as a % to daily net assets :<br>Distributor Plan : 0.16%          Direct Plan : 0.07%<br>Special Unclaimed Plans - NA <sup>^</sup> (as the plans were introduced effective July 11, 2016) |
|  | 2.25  | 2.00   | 1.75  |   |
| Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of units will be paid / charged under Direct Plan.<br><sup>^</sup> Total Expense Ratio (TER) of such Special Unclaimed Plans shall be capped at 50 bps.<br>For details on Total Expense Ratio (TER), please refer to point F page no. 21. |   |  |   |   |
| <b>Waiver of Load for Direct Applications</b>  | Not Applicable. Please refer to point I on page 23 for details.   |  |   |   |

| <b>BNP PARIBAS OVERNIGHT FUND (BNPPONF)</b>  |   |
|--|---|
| <b>Tax treatment for the Investors (Unitholders)</b>   | Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.           |
| <b>Daily Net Asset Value (NAV) Publication</b>   | Please refer to point D on page 21 for details.   |
| <b>For Investor Grievances please contact</b>  | Please refer to point L on page 23 for details.   |
| <b>Unitholders' Information</b>  | Please refer to point J on page 23 for details.   |
| <b>Portfolio Details (as on January 31, 2017)</b>  | For Scheme's portfolio holdings viz. Top 10 holdings by issuer and fund allocation towards various sectors refer to point M on page 25 for details. |
| <b>Transaction charges</b><br>(For Lumpsum Purchases and SIP Investments routed through distributor / agent) | Please refer to point G on page 22 for details.   |
| <b>Compliance with Foreign Accounts Tax Compliance Act ("FATCA")</b>   | Please refer to point K on page 23 for details.   |

| <b>BNP PARIBAS MEDIUM TERM INCOME FUND (BNPPMTIF)</b>               |   |  |   |  |
|---|---|--|---|--|
| <b>Date of Inception</b>  | March 5, 2014   |  |   |  |
| <b>Type of the Scheme</b>   | An open-ended Debt scheme   |  |   |  |
| <b>Investment Objective</b>   | The investment objective of the Scheme is to seek to optimize returns by investing in a portfolio of debt and money market instruments with portfolio weighted average maturity ranging from 3 to 7 years. However, there can be no assurance that the investment objective of the Scheme will be achieved. The Scheme does not guarantee / indicate any returns.   |  |   |  |
| <b>Asset Allocation Pattern</b>                                     | <b>Type of Instruments</b>  | <b>Minimum Allocation (% of Net Assets)</b>                | <b>Maximum Allocation (% of Net Assets)</b> | <b>Risk Profile</b>  |
|   | Debt instruments  | 55   | 100   | Low to Medium  |
|   | Money Market Instruments  | 0  | 45  | Low  |
|   | * Debt instruments may include securitized debt upto 30% of the debt net assets<br># Exposure in the debt derivatives instruments shall not be more than 50% of the net assets  |  |   |  |
| <b>Investment Strategies</b>  | The scheme will invest in debt and money market securities with an intention to optimize risk adjusted returns. The portfolio's weighted average maturity shall range from 3 to 7 years. The fund management team will take an active view of the interest rate environment by keeping a close watch on various parameters of the Indian economy. It will take into account the various variables affecting the interest rate scenario, relative valuation of the securities, quality of instruments, maturity profile of the instruments and liquidity of the securities. In depth credit evaluation by the investment team of the AMC will be done. This evaluation is driven by internal and external research. The credit evaluation process includes analyzing operating environment, management, business profile, financials and expected future performance of the issuers. |  |   |  |
| <b>Risk Profile and Risk Control Measures of the Scheme</b>         | Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document carefully for details on Risk Factors before investment. Scheme specific risk factors and risk control measures are summarised on page 15-17.  |  |   |  |
| <b>Plans and Options</b><br>(Refer page no. 20 for further details) | BNP Paribas Medium Term Income Fund and BNP Paribas Medium Term Income Fund - Direct Plan, each plan offers a Growth Option and Dividend Option, Dividend Option offers Monthly Dividend Option, Calendar Quarterly Dividend Option, Half Yearly Dividend Option and Annual Dividend Option. All the above dividend options shall have dividend payout and dividend reinvestment facilities.  |  |   |  |
| <b>Applicable NAV</b>   | Please refer to point C on page 20 for details.   |  |   |  |
| <b>Minimum Application Amount / Number of Units</b>                 | <b>Options</b>  | <b>Minimum Amount for Purchase</b>                         | <b>Additional Amount for Purchase</b>       | <b>Minimum Amount / Units for Redemption / Switch out</b>  |
|   | Growth, Monthly Dividend, Calendar Quarterly Dividend, Half Yearly Dividend, and Annual Dividend Option   | ₹ 5,000 per application and in multiples of ₹ 1 thereafter | ₹ 1,000 and in multiples of ₹ 1 thereafter  | ₹ 1,000 & in multiples of ₹ 1 thereafter or minimum 100 Units (unless redemption request is for all units) |
| <b>SIP</b>  | ₹ 500 and in multiples of ₹ 1 thereafter.   |  |   |  |
| <b>STP / SWP</b>  | Available (For more details, refer to respective Application Forms).  |  |   |  |
| <b>Despatch of Repurchase (Redemption) Request</b>                  | As per the SEBI Regulations, the Mutual Fund shall despatch redemption proceeds within 10 business days of receiving the redemption / repurchase request at any of the Official Points of Acceptance of Transactions (OPAT). A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, will be paid in case the redemption proceeds are not despatched within 10 Business Days of the date of redemption request.  |  |   |  |
| <b>Benchmark Index</b>  | CRISIL Composite Bond Fund Index  |  |   |  |
| <b>Dividend Policy</b>  | Please refer to point E on page 21 for details.   |  |   |  |
| <b>Name of the Fund Manager</b>                                     | Mr. Puneet Pal (managing fund since inception of the scheme i.e. 2.99 years)  |  |   |  |
| <b>Name of the Trustee Company</b>                                  | BNP Paribas Trustee India Private Limited   |  |   |  |
| <b>Performance of the Scheme (as on January 31, 2017)</b>           | Please refer to page 19 for details.  |  |   |  |

**BNP PARIBAS MEDIUM TERM INCOME FUND (BNPPMTIF)**

|  |  |                 |                 |         |   |
|--|--|-----------------|-----------------|---------|---|
| <b>Expenses of the Scheme:</b>   | <b>Entry Load :</b> Nil  |                 |                 |         |   |
| <b>i) Load Structure</b>   | <p><b>Exit Load :</b> ● 1% if redeemed / switched out upto 12 months from the date of allotment of units. ● Nil if redeemed or switched-out after 12 months</p> <p>The above load shall also be applicable for switches between the schemes of the Fund and Systematic Investment Plans, Systematic Transfer Plans, Systematic Withdrawal Plans. No load will be charged on dividend re-investment and bonus units.</p> <p>In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.</p> <p><b>For details of exit load on switches to / from Direct Plan please refer to point H on page 22.</b></p> |                 |                 |         |   |
| <b>ii) Recurring Expenses</b>  | <b>Estimated annual recurring expenses [% per annum of daily net assets]</b>   |                 |                 |         | <b>Actual expenses for the financial year 2015-16</b> |
|  | First ₹ 100 crs.   | Next ₹ 300 crs. | Next ₹ 300 crs. | Balance | Distributor Plan : 1.46%                              |
|  | 2.25   | 2.00            | 1.75            | 1.50    | Direct Plan : 0.46%                                   |
|  | <p>Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of units will be paid / charged under Direct Plan.</p> <p>For details on Total Expense Ratio (TER), please refer to point F page no. 21.</p>   |                 |                 |         |   |
| <b>Waiver of Load for Direct Applications</b>  | Not Applicable. Please refer to point I on page 23 for details.  |                 |                 |         |   |
| <b>Tax treatment for the Investors (Unitholders)</b>   | Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.  |                 |                 |         |   |
| <b>Daily Net Asset Value (NAV) Publication</b>   | Please refer to point D on page 21 for details.  |                 |                 |         |   |
| <b>For Investor Grievances please contact</b>  | Please refer to point L on page 23 for details.  |                 |                 |         |   |
| <b>Unitholders' Information</b>  | Please refer to point J on page 23 for details.  |                 |                 |         |   |
| <b>Portfolio Details (as on January 31, 2017)</b>  | For Scheme's portfolio holdings viz. Top 10 holdings by issuer and fund allocation towards various sectors refer to point M on page 25 for details.  |                 |                 |         |   |
| <b>Transaction charges</b><br>(For Lumpsum Purchases and SIP Investments routed through distributor / agent) | Please refer to point G on page 22 for details.  |                 |                 |         |   |
| <b>Compliance with Foreign Accounts Tax Compliance Act ("FATCA")</b>   | Please refer to point K on page 23 for details.  |                 |                 |         |   |

**COMPARISON OF EXISTING OPEN ENDED DEBT SCHEMES OF BNP PARIBAS MUTUAL FUND**

| Name of the Scheme                            | Investment Objective   | Differentiation - Investment Pattern   | AUM as on January 31, 2017 (₹ in crores) | Number of Folios as on January 31, 2017 |
|---|--|--|--|---|
| <b>BNP Paribas Monthly Income Plan</b>        | The primary objective of the Scheme is to generate regular returns through investment primarily in Debt and Money Market Instruments. The secondary objective of the Scheme is to generate long-term capital appreciation by investing a portion of the Scheme's assets in equity and equity related securities. | The net assets of the Scheme will be invested in debt and money market instruments. The Debt portion of the portfolio will be actively managed based on the AMC's view on interest rates. Some portion of the Scheme's assets will be invested in equity and equity related securities to fulfill the secondary objective of the Scheme.<br><br>The Scheme will invest upto 100% in debt instruments & money market instruments and upto 20% in equity & equity related securities normally.   | 225.00                                   | 5,084                                   |
| <b>BNP Paribas Flexi Debt Fund</b>            | The primary objective of the Scheme is to generate income through investments in a range of Debt and Money Market Instruments of various maturities with a view to maximize income while maintaining an optimum balance between yield, safety and liquidity.   | This Fund invests in an optimum combination of debt instruments having maturities of more than 1 year, money market instruments and debentures with maturity of less than 1 year, thereby ensuring a portfolio containing securities having diverse maturities.<br><br>The Scheme will invest upto 90% in debt instruments with maturity of more than 1 year and upto 100% in money market instruments & debentures with maturity of less than 1 year normally.  | 421.84                                   | 2,154                                   |
| <b>BNP Paribas Government Securities Fund</b> | The objective of the scheme is to seek to generate income and capital appreciation by investing in a portfolio of government securities of various maturities issued by Central & State Government.  | The scheme will invest in Government Securities issued by Central / State Government, repos / reverse repos in Central / State Government Securities as may be permitted by RBI.<br><br>The scheme will invest 65 to 100% in Government Securities issued by Central / State government and Treasury Bills. Further, 0 to 35% shall be invested in Reverse repos in Government Securities and CBLO. Units of liquid funds and units of schemes predominantly investing in Government Securities.   | 37.79                                    | 256                                     |
| <b>BNP Paribas Money Plus Fund</b>            | The primary objective of the Scheme is to provide income Consistent with the prudent risk from a portfolio comprising of floating rate debt instruments, fixed rate debt instruments, money market instruments and derivatives.  | This Fund majorly invests in Floating Rate Debt Instruments (including Money Market Instruments, Debt Instruments of residual maturity less than one year and also Fixed Rate Debt Instruments swapped for floating rate returns). The Fund may also invest in Fixed Rate Debt instruments including money market instruments and Floating Rate Debt instruments swapped for fixed rate returns.<br><br>The Scheme will invest 65-100% in Floating Rate Debt Instruments (including Money Market Instruments, Debt Instruments of residual maturity less than one year as also Fixed Rate Debt Instruments swapped for floating rate returns) and 0-35% in Fixed Rate Debt Instruments (including Money Market Instruments & Floating Rate Debt instruments swapped for fixed rate returns). | 328.33                                   | 2,664                                   |
| <b>BNP Paribas Short Term Income Fund</b>     | The primary objective of the Scheme is to provide income consistent with the prudent risk from a portfolio comprising various debt and money market instruments.   | The Fund will invest in debt and money market instruments with maturity upto or above 1 year including Floating Rate instruments with interest reset upto or above 1 year. The maturity profile of debt instruments may be selected in accordance with the Fund Manager's view regarding market conditions, interest rate outlook, stability of rating and the liquidity requirement of the Scheme.<br><br>The Scheme will invest 50-100% in Debt and Money Market Instruments with maturity upto 1 year including Floating Rate Instruments with interest reset upto 1 year & 0-50% in Debt Instruments with maturity above 1 year including Floating Rate Instruments with interest reset above 1 year.  | 252.57                                   | 1,409                                   |

**COMPARISON OF EXISTING OPEN ENDED DEBT SCHEMES OF BNP PARIBAS MUTUAL FUND**

| Name of the Scheme  | Investment Objective  | Differentiation - Investment Pattern  | AUM as on January 31, 2017 (₹ in crores) | Number of Folios as on January 31, 2017 |
|---|---|---|--|---|
| <b>BNP Paribas Corporate Bond Fund</b><br>(erstwhile BNP Paribas Bond Fund. Name changed w.e.f November 09, 2016) | The investment objective of the Scheme is to generate income and capital gains through investments in a portfolio of debt and money market instruments.   | The Fixed Income Process aims to deliver superior returns through an active management process comprising of Research Analysis, Portfolio Construction and Monitoring. The Scheme will invest 80-100% in Corporate Bonds (with permissible limit of upto 50% in securitized debt) and 0-20% in other Debt Instruments including but not limited to money market instruments, Government Securities, SDL, T-Bills.   | 149.71                                   | 453                                     |
| <b>BNP Paribas Overnight Fund</b>   | The primary investment objective of the Scheme is to generate regular returns in line with the overnight rates.   | This Fund is a liquid fund and hence, it invests in Debt securities and Money Market instruments with maturity upto 91 days only.   | 1,020.91                                 | 2,773                                   |
| <b>BNP Paribas Medium Term Income Fund</b>  | The investment objective of the scheme is to seek to optimize returns by investing in a portfolio of debt and money market instruments with portfolio weighted average maturity ranging from 3 to 7 years. However, there can be no assurance that the investment objective of the scheme will be achieved. The scheme does not guarantee / indicate any returns. | The scheme will invest in debt and money market securities with an intention to optimize risk adjusted returns. The portfolio's weighted average maturity shall range from 3 to 7 years.<br><br>The scheme will invest 55% - 100% in debt instruments that may include securitised debt upto 30% of the debt net assets. Exposure to debt derivative instruments shall not be more than 50% of the net assets only for hedging and portfolio balancing. Further, 0 - 45% shall be invested in money market instruments. | 487.51                                   | 784                                     |

Please refer to asset allocation pattern of respective Scheme for details.

## DEBT SCHEMES - RISK FACTORS

### STANDARD RISK FACTORS:

- (i) Investments in mutual fund units involves investment risks such as market risk, credit & default risk, liquidity risk, trading volumes, settlement risk, including the possible loss of principal.
- (ii) As the price / value / interest rates of the securities including ETFs in which the scheme invests fluctuate, the value of your investment in the schemes may go up or down. The various factors which impact the value of the scheme's investments include, but are not limited to, fluctuations in the bond markets, fluctuations in interest rates, fluctuations in gold prices, prevailing political and economic environment, changes in government policy, factors specific to the issuer of the securities, tax laws, liquidity of the underlying instruments, settlement periods, trading volumes, etc.
- (iii) Past performance of the Sponsor / AMC / Mutual Fund does not guarantee future performance of the schemes.
- (iv) BNP Paribas Monthly Income Plan, BNP Paribas Flexi Debt Fund, BNP Paribas Short Term Income Fund, BNP Paribas Corporate Bond Fund, BNP Paribas Money Plus Fund, BNP Paribas Overnight Fund, BNP Paribas Government Securities Fund and BNP Paribas Medium Term Income Fund are the names of the schemes and do not in any manner indicate either the quality of the schemes or its future prospects and returns.
- (v) The sponsor / associates are not responsible or liable for any loss resulting from the operation of the schemes beyond the initial contribution of ₹ 1,00,000 (Rupees One lakh only) to the corpus of the Mutual Fund made by it towards setting up the Fund.
- (vi) The present schemes are not guaranteed or assured return schemes.

### SCHEMES SPECIFIC RISK FACTORS:

#### (i) Market Risk:

All mutual funds and securities investments are subject to market risk and there can be no assurance / guarantee that the scheme's objectives will be achieved. The securities that the schemes invests in would be exposed to price changes on a day-to-day basis. These price changes may occur due to instrument-specific factors as well as general macroeconomic conditions.

Markets are volatile and can decline significantly in response to adverse issuer, political, regulatory, market, or economic developments. The schemes may be subject to price volatility due to factors such as interest sensitivity, market perception, and creditworthiness of issuer and market liquidity.

Different parts of the market can react differently to these developments. The value of an individual security or particular type of security can be more volatile than the market as a whole and can perform differently from the value of the market as a whole.

#### (ii) Concentration Risk:

The schemes may pursue only a limited degree of diversification. It may invest a greater proportion of assets in the securities of very few issuers (within the limits permitted by regulation) or be concentrated on a few market sectors. This could have implications on the performance of the schemes. The schemes may be more sensitive to economic, business, political or other changes and this may lead to sizeable fluctuation in the Net Asset Value of the schemes.

#### (iii) Risks associated with schemes investing in fixed income securities:

- **Credit and Counterparty Risk:** Credit risk or default risk refers to the risk that an issuer of a fixed income security may default (i.e. will be unable to make timely principal and interest payments on the security or honor its contractual obligations).

Counterparty risk refers to the counterparty's inability to honor its commitments (payment, delivery, repayment, etc.) and to risk of default. This risk relates to the quality of the counterparty on which the schemes has exposures. Losses can occur in particular for the settlement / delivery of financial instruments or the conclusion of financial derivatives contracts.

The value of a fixed income security and OTC derivatives will fluctuate depending upon the changes in the perceived level of credit and counterparty risk as well as any actual event of default.

If the credit rating of an issue, issuer or counterparty is downgraded this may cause the value of the related debt securities or OTC derivatives in which the Fund has invested to fall. This Fund might hold large or all amount of its assets to securities with credit rating BBB, or lower in case of downgrading. This could present a much higher than average risk.

The severity of the risk varies depending on the quality of the securities and derivatives in the Fund. To the extent that the Fund invests in high-yield bonds, this presents a higher than average risk. There are different types of debentures available in the market. Some of them could be more risky. Lower-quality debt securities and certain types of securities involve greater risk of default or price changes due to changes in the credit quality of the issuer. They are not in the first rank of debts in case of default. The value of lower-

quality debt securities and certain types of other securities can be more volatile due to increased sensitivity to adverse issuer, political, regulatory, market or economic developments.

- **Liquidity Risk:** The liquidity of the scheme's investment is inherently restricted by trading volumes in the securities in which the schemes invests.

A lower level of liquidity affecting an individual security or derivative or an entire market at the same time, may have an adverse bearing on the value of the scheme's assets. More importantly, this may affect the scheme's ability to sell particular securities and derivatives quickly enough to minimize impact cost, as and when necessary to meet requirements of liquidity or to sell securities in response to triggers such as a specific economic / corporate event.

Trading volumes, settlement periods and transfer procedures may restrict the liquidity of a few or all of the investments and may affect the liquidity of the investments of the schemes. Transactions in ETFs can also become restricted.

The schemes may be unable to implement purchase or sale decisions when the markets turn illiquid, missing some investment opportunities or limiting ability to face redemptions. The lack of liquidity could also lead to the risk that the sale price of a security could be substantially lower than the fair value of the security.

Treatment and disposal of illiquid securities / NPAs at the time of closure of the Scheme: Such securities will be valued in good faith in accordance with the Valuation Policy. Further SEBI regulations / provisions (vide SEBI Circular - MFD/CIR/05/432/2002 dated June 20, 2002) shall apply for such securities. Accordingly any amount realized by the mutual fund after the winding up of the schemes from such NPAs and illiquid securities, if substantial and realized within two years shall be distributed back to the investors. In case the amount is not substantial or it is realized after two years, it may be transferred to the Investor Education Fund maintained as specified in SEBI circular MFD/CIR/9/120/2000 dated November 24, 2000. The decision as to the determination of substantial amount shall be taken by the trustees of mutual fund after considering the relevant factors.

- **Interest Rate Risk & Re-investment Risk:** The value of an investment may be affected by interest rate fluctuations. Interest rates may be influenced by several elements or events, such as monetary policy, the discount rate, inflation, etc.

The value of debt and fixed income securities held by the schemes generally will vary inversely with the changes in prevailing interest rates. In general, price of debt and fixed income securities go up when interest rates fall, and vice versa.

Securities of any issuer that has higher duration could be more risky in terms of price movements relative to those with lower duration. Thus any impact of interest rate changes would be higher on securities with higher duration irrespective of the status of the issuer of the security.

The investments made by the schemes are subject to reinvestment risk. This risk refers to the interest rate levels at which cash flows received from the securities in the schemes are reinvested. The additional income from reinvestment is the "interest on interest" component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.

There have been times in the past, when settlements have been unable to keep pace with the volume of securities transactions, making it difficult to conduct further transactions. Delays or other problems in settlement of transactions could result in temporary periods when the assets of the schemes are not invested and no return is earned thereon.

- **Sovereign Risk:** The Central Government of India is the issuer of the local currency debt in India. The Government raises money to meet its capital and revenue expenditure by issuing debt or discounted securities. Since payment of interest and principal amount has a sovereign status implying least probability of a default, such securities are known as securities with sovereign credit. It also implies that the credit risk on such Government securities is even lower than that on non-government securities with "AAA" rating and hence yields on government securities are even lower than yields on non-government securities with "AAA" rating.
- **Risks associated with investing in liquid funds (Not applicable for BNPPONF):** To the extent of the investments in liquid mutual funds, the risks associated with investing in liquid funds like market risk, credit & default risk, liquidity risk, redemption risk including the possible loss of principal; etc. will exist.

#### (iv) Risk associated with investment in unrated instruments:

The schemes may invest in lower rated / unrated instruments considering the overall risk of the portfolio. This may increase the absolute level of risk of the portfolio. The credit risk & liquidity risk will be relatively higher for lower / unrated instruments as compared to the instruments that have been assigned investment grade ratings. Lower rated / unrated securities also tend to be more sensitive to economic conditions than instruments that have been assigned investment grade ratings.

## DEBT SCHEMES - RISK FACTORS (Contd..)

**(v) Risks associated with Securities Lending:**

The risks in lending portfolios securities as with other extensions of credit consist of the failure of another party, in this case the approved intermediary, to comply with the terms of agreement entered into between the lender of securities i.e. the Schemes and the approved intermediary. Such failure to comply can result in the possible loss of rights in the collateral put up by the borrower of the securities, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of any corporate benefits accruing to the lender from the securities deposited with the approved intermediary. The Mutual Fund may not be able to sell such lent securities and this can lead to temporary illiquidity.

**(vi) Risks associated with investing in securitised debt:**

The Schemes may invest in domestic securitized debt such as asset backed securities (ABS) or mortgage backed securities (MBS). ABS means securitized debts wherein the underlying assets are receivables arising from personal loans, automobile loans, etc. MBS means securitized debts wherein the underlying assets are receivables arising from loans backed by mortgage of properties which can be residential or commercial in nature. ABS / MBS instruments reflect the undivided interest in the underlying of assets and do not represent the obligation of the issuer of ABS / MBS or the originator of the underlying receivables. The ABS / MBS holders have a limited recourse to the extent of credit enhancement provided. Securitised debt may suffer credit losses in the event of the delinquencies and credit losses in the underlying pool exceeding the credit enhancement provided. As compared to the normal corporate or sovereign debt, securitized debt is normally exposed to a higher level of reinvestment risk.

Pass through Certificate (PTC) (Pay through or other Participation Certificates) represents beneficial interest in an underlying pool of cash flows. These cash flows represent dues against single or multiple loans originated by the sellers of these loans. These loans are given by banks or financial institutions to corporates. PTCs may be backed, but not exclusively, by receivables of personal loans, car loans, two wheeler loans and other assets subject to applicable regulations.

**How the risk profile of securitized debt fits into the risk appetite of the schemes**

Securitised debt is a form of conversion of normally non-tradable loans to transferable securities. This is done by assigning the loans to a special purpose vehicle (a trust), which in turn issues Pass-Through-Certificates (PTCs). These PTCs are transferable securities with fixed income like characteristics. The risk of investing in securitized debt is similar to investing in debt securities. In addition, securitized debt may also carry prepayment risk and has a relatively higher liquidity risk (the same are explained in the sections that follow). However, if the fund manager evaluates that the additional risks are suitably compensated by the higher returns, he may invest in securitized debt up to the limits specified in the asset allocation table. The investment shall be in securitized instruments that are top rated (AAA/ A1+) or its equivalent, by a recognised credit rating agency for the retail pool, and for single loan securitization, limits will be assigned as per the internal credit policy of the Fund.

**Policy relating to originators**

The originator is the person who has initially given the loan. The originator is also usually responsible for servicing the loan (i.e. collecting the interest and principal payments). An analysis of the originator is especially important in case of retail loans as this affects the credit quality and servicing of the PTC. Originators may be: Banks, Non Banking Finance Companies, Housing Finance Companies, etc. The fund manager's evaluation will be based on the track record of the originator, delinquencies in the pool and the seasoning of the pool. Other factors that will be considered are loan type, size of the loan, average original maturity of the pool, Loan to Value Ratio, geographical distribution, liquid facility, default rate distribution, credit enhancement facility and structure of the pool.

**(vii) Risk associated with each kind of originator:**

- (a) **Prepayment Risk:** MBS and ABS are subject to prepayment risk. When the underlying loans are paid off by the borrower prior to their respective due dates, this is known as a prepayment. It could be triggered on account of various factors particularly in periods of declining interest rates. The possibility of such prepayment may require the schemes to reinvest the proceeds of such investments in securities offering lower yields, thereby reducing the scheme's interest income.
- (b) **Interest Rate Risk:** MBS carry interest rate risk. Home loan borrowers are provided the facility of refinancing their loans at the prevailing interest rates. A lowering of interest rates could induce a borrower to pay his loan off earlier than the scheduled tenure, whereas if the interest rates move upward, the borrower would tend to hold on to his loan for a longer period, thus increasing the maturity of the bond. The maturity of the bond could therefore shorten or lengthen, depending on the prevailing interest rates.
- (c) **Credit Risk / Default Risk:** MBS and ABS also carry credit or default risk. MBS and structures carry built-in credit enhancement in different

forms. However, any delinquencies would result in reduction of the principal amount if the amount available in the credit enhancement facility is not enough to cover the shortfall. Historically, housing loans have had lower default rates than other forms of credit.

- (d) **Price Risk / Liquidity Risk:** MBS and ABS are subject to prepayment risk. Limited volumes of trading in securitized paper in secondary market could restrict or affect the ability of the schemes to re-sell them. Thus these trades may take place at a discount, depending on the prevailing interest rates.

**(viii) Risk associated with derivatives:**

The schemes may use various derivative instruments and techniques, permitted within SEBI Regulations from time to time only for portfolio balancing and hedging purpose, which may increase the volatility of scheme's performance. Usage of derivatives will expose the Fund to certain risks inherent to such derivatives.

Derivative products are specialized instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will always be able to execute such strategies profitably. The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.

The use of a derivative requires an understanding not only of the underlying instrument but also of the derivative itself. There is a possibility that a loss may be sustained by the Schemes as a result of the failure of another party (usually referred to as the "counterparty") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mispricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, interest rates and indices. Even a small price movement in the underlying instrument could have a large impact on their value. This could increase the volatility of the Scheme's performance.

In case of hedge, it is possible that derivative positions may not be perfectly in line with the underlying assets they are hedging. As a consequence the derivative cannot be expected to perfectly hedge the risk of the underlying assets. This also increases the volatility of the Scheme's performance.

**Some of the risks inherent to derivatives investments include:**

- (a) **Price Risk:** Despite the risk mitigation provided by various derivative instruments, there remains an inherent price risk which may result in losses exceeding actual underlying.
- (b) **Default Risk:** This is the risk that losses will be incurred due to default by counter party. This is also known as credit risk or counterparty risk.
- (c) **Basis Risk:** This risk arises when the derivative instrument used to hedge the underlying asset does not match the movement of the underlying being hedged for e.g. mismatch between the maturity date of the futures and the actual selling date of the asset.
- (d) **Limitations on upside:** Derivatives when used as hedging tool can also limit the profits from a genuine investment transaction.
- (e) **Liquidity risk:** This risk pertains to how saleable a security is in the market. All securities / instruments irrespective of whether they are equity, bonds or derivatives may be exposed to liquidity risk (when the sellers outnumber buyers) which may impact returns while exiting opportunities.

**(ix) Risk factors specific to investments in foreign securities:**

To the extent that the assets of the schemes may invest in foreign securities, there may be risks associated with currency movements, restrictions on repatriation and transaction procedures in overseas market, lack of complete or reliable information, market irregularities or high taxation. Further, the repatriation of capital to India may also be hampered by changes in regulations or political circumstances. Since the scheme may invest predominantly in foreign securities / markets of certain specific countries, there is a country risk which includes events such as introduction of extraordinary exchange controls, economic deterioration, bi-lateral conflict leading to immobilization of the overseas financial assets, political uncertainty, prevailing laws and policy matters including prevalent tax laws of the respective jurisdiction for execution of trades or otherwise applicable for investments in such securities / markets and the jurisdiction through which settlement of such transactions takes place. The fund shall also be exposed to settlement risk, as the country where investment is made might have different settlement periods. Market risks can be greater with respect to political instability, lack of complete or reliable information, market irregularities or high taxation. As the investment will be in securities, which are denominated in foreign currencies, fluctuation in the exchange rates of the foreign currencies may have an impact on the income and value of the fund. It may be noted that if rupee appreciates, it will lead to reduction in returns to investor. The liquidation of securities where



## DEBT SCHEMES - RISK FACTORS (Contd..)

investments will be made by the schemes shall be subject to the liquidity / settlement issues of the country of investment / settlement. Non-business days in country of investment / settlement may impact the liquidity of the schemes investments. If due to difference in time zone, in case the AMC is unable to declare the NAV as per provisions stated in this SID, the acceptance of transactions shall be suspended.

**(x) Risk factors specific to BNP Paribas Monthly Income Plan (risk associated with scheme investing in Equities):**

The risks associated with investments in equities and similar instruments include significant fluctuations in prices. The impact of fluctuations is likely to be accentuated for short-term investments. The risk that the performance of one or more companies declines or stagnates may have a negative impact on the performance of the Scheme as a whole at any given time.

Stock markets are volatile and can decline significantly in response to political, regulatory, economic, market and stock-specific developments etc. Different parts of the market can react differently to these developments. The stock-specific volatility may also change over a period of time as the characteristic of the stock undergoes a change in terms of market-cap category.

The Scheme may hold such securities for only a very short time, which could tend to increase the costs. The Scheme may invest in growth stocks which may be more volatile than the market in general and may react differently to economic, political and market developments and to specific information about the issuer. Growth stocks traditionally show higher volatility than other stocks, especially over short periods. These stocks may also be more expensive in relation to their profits than the market in general. Consequently, growth stocks may react with more volatility to variations in profit growth.

The Fund Manager may temporarily adopt a more defensive approach if it considers that the equity market or economy is experiencing excessive volatility, a persistent general decline, or other unfavourable conditions. In such circumstances, the Scheme may be unable to achieve or pursue its investment objective.

The Scheme may invest in companies making an initial public offering. In such cases there is a risk that the price of the newly floated share is more volatile due to factors such as the absence of previous trading, unseasonal transactions and the limited number of securities available for trading.

**(xi) Risk factors specific to BNP Paribas Corporate Bond Fund (BNPPCBF):**

In terms of the enabling provisions of the SID of BNPPCBF, the Trustees to BNP Paribas Mutual Fund, had modified the Asset allocation and Investment pattern of the Scheme effective November 09, 2016 from earlier existing asset allocation of Debt Instruments: 15-100% and Money Market Instrument: 0-85% to **Corporate Bonds: 80-100% and Other Debt Instruments: 0-20%** (for detailed existing asset allocation and investment pattern of BNPPCBF, please refer page 7 of this KIM). Hence, for carrying out the above change in Fundamental Attribute of the Scheme, a 30 days Exit Period starting from October 10, 2016 till November 08, 2016 (both days inclusive) was made available to existing investors (i.e. whose names appeared in the register of unitholders as on close of business hours on October 04, 2016).

Accordingly, investors in BNPPCBF should also note that in case of increased exposure to Corporate Bonds (due to change in Asset Allocation and Investment Pattern of BNPPCBF), BNPPCBF may be exposed to higher degree of credit risk as compared to earlier asset allocation.

**(xii) Other Risks:**

- (a) Risk associated with inflation: Over time, yields of short-term investments may not keep pace with inflation, leading to a reduction in an investment's purchasing power.
- (b) Legal Risk: The schemes may be affected by the actions of government and regulatory bodies. Legislation could be imposed retrospectively or may be issued in the form of internal regulations which the public may not be aware of. Legislation (including legislation relating to tax) or regulation may be introduced which inhibits the schemes from pursuing their strategies or which renders an existing strategy less profitable than anticipated. Such actions may take any form, for example nationalization of any institution or restrictions on investment strategies in any given market sector or changing requirements and imposed without prior warning by any regulator.
- (c) Taxation Risk: The value of an investment may be affected by the application of tax laws, including withholding tax, or changes in government or economic or monetary policy from time to time. As such, no guarantee can be given that the financial objectives will actually be achieved. The tax information described in this Schemes Information Document is as available under the prevailing taxation laws and could be changed at any moment by regulation. Further, there can be no guarantee that the tax position or the proposed tax position prevailing at the time of an investment in the schemes will endure indefinitely.
- (d) Valuation Risk: This risk relates to the fact that markets, in specific situations and due to lack of volumes of transactions, do not enable an accurate assessment of the fair value of invested assets. In such cases, valuation risk

represents the possibility that, when a financial instrument matures or is sold in the market, the amount received is less than anticipated, incurring a loss to the portfolio and therefore impacting negatively the NAV of the schemes.

- (f) Operational Risk: Operational risk addresses the risk of trading and back office or administration issues that may result in a loss to the Scheme. This could be the result of oversight, ineffective securities processing procedures, computer systems problems or human error. There could also be risk associated with grouping of orders. For instance, at the time of placing the trades, the fund manager shall group orders on behalf of all schemes managed by him, provided it is unlikely to be detrimental overall for any of the schemes whose orders have been included. However, such grouping may have a detrimental effect to the scheme compared to the execution of an individual order for the scheme.
- (g) Risk factors associated with processing of transaction in case of investors investing in Mutual Fund units through Stock Exchange Mechanism: The trading mechanism introduced by the stock exchange(s) is configured to accept and process transactions for mutual fund units in both Physical and Demat Form. The allotment and / or redemption of Units through NSE and / or BSE or any other recognized stock exchange(s), on any Business Day will depend upon the modalities of processing viz. collection of application form, order processing / settlement, etc. upon which the Fund and the AMC have no control. Moreover, transactions conducted through the stock exchange mechanism shall be governed by the operating guidelines and directives issued by respective recognized stock exchange(s) upon which the Fund and the AMC have no control. Accordingly, there could be negative impacts to the investors such as delay or failure in allotment / redemption of Units. The Fund and the AMC are not responsible for the negative impacts.

**RISK CONTROL MEASURES**

Since investing requires disciplined risk management, the AMC would endeavour to incorporate adequate safeguards for controlling risks in the portfolio construction process. A credit evaluation of each investment opportunity will be undertaken to manage credit risk. The AMC will utilise ratings of recognised rating agencies as an input in the decision making process. The fund manager shall follow the asset allocation pattern in SID under normal circumstances and residual cash may be invested in the collateralised borrowing & lending obligations (CBLO) / repo market, units of liquid funds. There can however be no guarantee against liquidity issues within the schemes.

The AMC may also implement certain internal control procedures / risk & exposure limits etc. for controlling risks which may be varied from time to time.

The schemes may utilise derivative instruments for hedging & portfolio balancing purposes. All Interest Rate Swaps will be undertaken with approved counter parties under pre approved International Swaps and Derivatives Association (ISDA) agreements. The schemes may also use various derivatives and hedging products from time to time, as would be available and permitted by SEBI / RBI for the purpose of hedging and portfolio rebalancing.

The above risk control measures shall be implemented by the AMC on best effort basis however there can be no guarantee that such measures can completely mitigate the risks involved in schemes.

**Sector level exposure limits:**

The Mutual Fund/AMC shall ensure that total exposure of the Scheme in a particular sector (excluding investments in Bank CDs, CBLO, G-Secs, TBills, short term deposits of Scheduled Commercial Banks and AAA rated securities issued by Public Financial Institutions and Public Sector Banks) shall not exceed 25% of the net assets of the scheme;

Provided that an additional exposure to financial services sector (over and above the limit of 25%) not exceeding 15% of the net assets of the scheme shall be allowed by way of increase in exposure to Housing Finance Companies (HFCs) only;

Provided further that the additional exposure to such securities issued by HFCs are rated AA and above and these HFCs are registered with National Housing Bank (NHB) and the total investment/ exposure in HFCs shall not exceed 25% of the net assets of the Scheme.

**Group level exposure limits:**

The total exposure of the Scheme in a group (excluding investments in securities issued by Public Sector Units, Public Financial Institutions and Public Sector Banks) shall not exceed 20% of the net assets of the scheme. Such investment limit may be extended to 25% of the net assets of the scheme with the prior approval of the Board of Trustees.

*For this purpose, a group means a group as defined under regulation 2 (mm) of SEBI (Mutual Funds) Regulations, 1996 and shall include an entity, its subsidiaries, fellow subsidiaries, its holding company and its associates.*

Further, in accordance with SEBI circular SEBI/HO/IMD/DF2/CIR/P/2016/35 dated February 15, 2016, the Schemes shall comply with the aforesaid investment restrictions at sector level and group level within a period of one year from the date of issuance of SEBI circular dated February 15, 2016.

## PERFORMANCE OF DEBT SCHEMES AS ON JANUARY 31, 2017

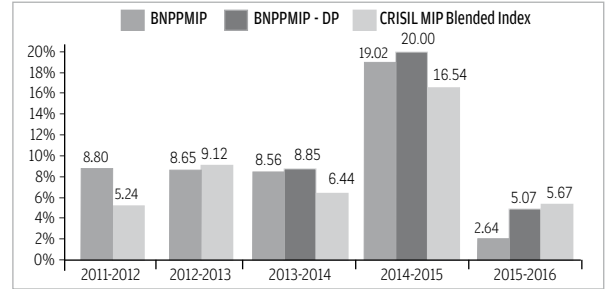
### BNP PARIBAS MONTHLY INCOME PLAN

| Compounded Annualised Returns (CAGR)% |         |            |
|---------------------------------------|---------|------------|
| Period                                | BNPPMIP | Benchmark# |
| Last 1 year*                          | 12.72   | 13.83      |
| Last 3 years                          | 11.30   | 12.21      |
| Last 5 years                          | 10.05   | 10.03      |
| Since Inception                       | 7.68    | 8.60       |

### BNP PARIBAS MONTHLY INCOME PLAN - DIRECT PLAN

| Compounded Annualised Returns (CAGR)% |            |            |
|---------------------------------------|------------|------------|
| Period                                | BNPPMIP DP | Benchmark# |
| Last 1 year*                          | 15.35      | 13.83      |
| Last 3 years                          | 13.22      | 12.21      |
| Since Inception                       | 11.19      | 9.97       |

### Absolute Returns for each financial year



# Benchmark Index : CRISIL MIP Blended Index  
 Allotment Dates : BNPPMIP : 23 September, 2004      BNPPMIP - DP : 2 January 2013

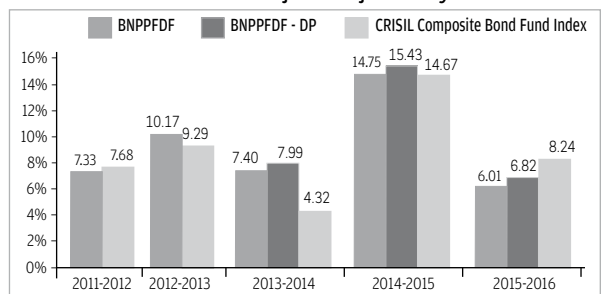
### BNP PARIBAS FLEXI DEBT FUND

| Compounded Annualised Returns (CAGR)% |         |            |
|---------------------------------------|---------|------------|
| Period                                | BNPPDFD | Benchmark# |
| Last 1 year*                          | 14.44   | 13.77      |
| Last 3 years                          | 11.27   | 12.08      |
| Last 5 years                          | 10.36   | 9.77       |
| Since Inception                       | 8.88    | 7.29       |

### BNP PARIBAS FLEXI DEBT FUND - DIRECT PLAN

| Compounded Annualised Returns (CAGR)% |            |            |
|---------------------------------------|------------|------------|
| Period                                | BNPPDFD DP | Benchmark# |
| Last 1 year*                          | 15.36      | 13.77      |
| Last 3 years                          | 12.06      | 12.08      |
| Since Inception                       | 10.93      | 9.90       |

### Absolute Returns for each financial year



# Benchmark Index : CRISIL Composite Bond Fund Index  
 Allotment Dates : BNPPDFD : 23 September, 2004      BNPPDFD - DP : 2 January 2013

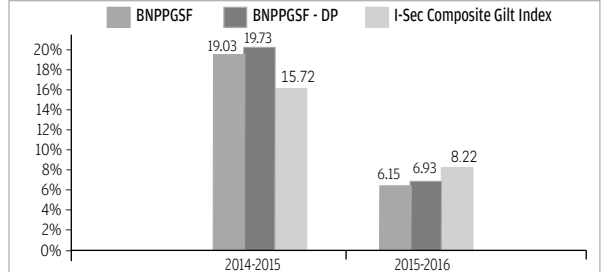
### BNP PARIBAS GOVERNMENT SECURITIES FUND

| Compounded Annualised Returns (CAGR)% |         |            |
|---------------------------------------|---------|------------|
| Period                                | BNPPGSF | Benchmark# |
| Last 1 year*                          | 17.39   | 13.92      |
| Last 3 years                          | 13.36   | 12.35      |
| Since Inception                       | 10.58   | 9.79       |

### BNP PARIBAS GOVERNMENT SECURITIES FUND - DIRECT PLAN

| Compounded Annualised Returns (CAGR)% |            |            |
|---------------------------------------|------------|------------|
| Period                                | BNPPGSF DP | Benchmark# |
| Last 1 year*                          | 18.24      | 13.92      |
| Last 3 years                          | 14.14      | 12.35      |
| Since Inception                       | 11.40      | 9.79       |

### Absolute Returns for each financial year



# Benchmark Index : I-Sec Composite Gilt Index  
 Allotment Dates : BNPPGSF : 30 April, 2013      BNPPGSF - DP : 30 April, 2013

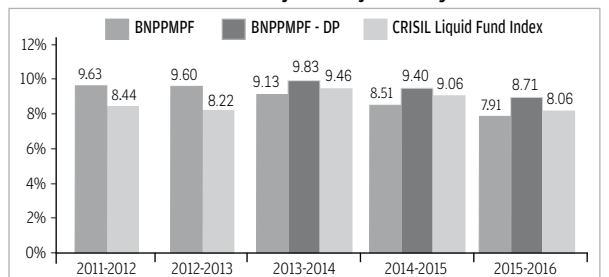
### BNP PARIBAS MONEY PLUS FUND

| Compounded Annualised Returns (CAGR)% |         |            |
|---------------------------------------|---------|------------|
| Period                                | BNPPMPF | Benchmark# |
| Last 1 year*                          | 8.30    | 7.52       |
| Last 3 years                          | 8.18    | 8.24       |
| Last 5 years                          | 8.68    | 8.44       |
| Since Inception                       | 8.17    | 7.44       |

### BNP PARIBAS MONEY PLUS FUND - DIRECT PLAN

| Compounded Annualised Returns (CAGR)% |            |            |
|---------------------------------------|------------|------------|
| Period                                | BNPPMPF DP | Benchmark# |
| Last 1 year*                          | 8.96       | 7.52       |
| Last 3 years                          | 8.98       | 8.24       |
| Since Inception                       | 9.14       | 8.45       |

### Absolute Returns for each financial year



# Benchmark Index : CRISIL Liquid Fund Index  
 Allotment Dates : BNPPMPF : 21 October, 2005      BNPPMPF - DP : 2 January 2013

\* Absolute Returns

**Past performance may or may not be sustained in future and should not be used as a basis of comparison with other investments.**

The Returns are calculated for the Growth Option considering the movement of the NAV during the period. Performance of dividend option under the scheme for the investors would be net of distribution tax, if any. Returns do not take into account load and taxes if any. The absolute returns for each financial year are calculated for the Growth Option of the distributor plan.

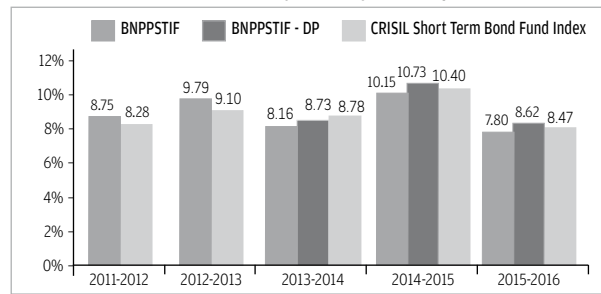
**PERFORMANCE OF DEBT SCHEMES AS ON JANUARY 31, 2017 (Contd..)**
**BNP PARIBAS SHORT TERM INCOME FUND**

| Compounded Annualised Returns (CAGR)% |          |            |
|---------------------------------------|----------|------------|
| Period                                | BNPPSTIF | Benchmark# |
| Last 1 year*                          | 9.73     | 10.22      |
| Last 3 years                          | 9.20     | 9.70       |
| Last 5 years                          | 9.06     | 9.27       |
| Since Inception                       | 8.28     | 8.00       |

**BNP PARIBAS SHORT TERM INCOME FUND - DIRECT PLAN**

| Compounded Annualised Returns (CAGR)% |             |            |
|---------------------------------------|-------------|------------|
| Period                                | BNPPSTIF DP | Benchmark# |
| Last 1 year*                          | 10.20       | 10.22      |
| Last 3 years                          | 9.80        | 9.70       |
| Since Inception                       | 9.42        | 9.32       |

- 1 The inception date of BNP Paribas Short Term Income Fund is 13th September 2004. However, since there was no continuous NAV history available for this plan prior to 23rd April 2009, we have considered inception date considered as 23 April 2009 and the NAV for that date is considered as 10.0000.

**Absolute Returns for each financial year**


# Benchmark Index : CRISIL Short Term Bond Fund Index  
 Allotment Dates : BNPSTIF : 13 September, 2004 BNPSTIF - DP : 2 January 2013

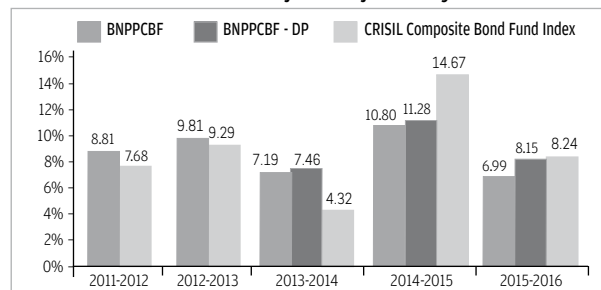
**BNP PARIBAS CORPORATE BOND FUND**

| Compounded Annualised Returns (CAGR)% |         |            |
|---------------------------------------|---------|------------|
| Period                                | BNPPCBF | Benchmark# |
| Last 1 year*                          | 11.66   | 13.77      |
| Last 3 years                          | 9.76    | 12.08      |
| Last 5 years                          | 9.11    | 9.77       |
| Since Inception                       | 8.81    | 8.82       |

**BNP PARIBAS CORPORATE BOND FUND - DIRECT PLAN**

| Compounded Annualised Returns (CAGR)% |            |            |
|---------------------------------------|------------|------------|
| Period                                | BNPPCBF DP | Benchmark# |
| Last 1 year*                          | 12.56      | 13.77      |
| Last 3 years                          | 10.55      | 12.08      |
| Since Inception                       | 9.66       | 9.90       |

- 1 The inception date of BNP Paribas Corporate Bond Fund is 8th November 2008. However, since there was no continuous NAV history available for this plan prior to 10th May 2010, we have considered inception date as 10 May 2010 and the NAV for that date is considered as ₹ 10/-.
- 2 Since the inception date of the plan is 10 May 2010, the returns for the financial year 2010-2011 are calculated from 10 May 2010 to 31 March 2011.

**Absolute Returns for each financial year**


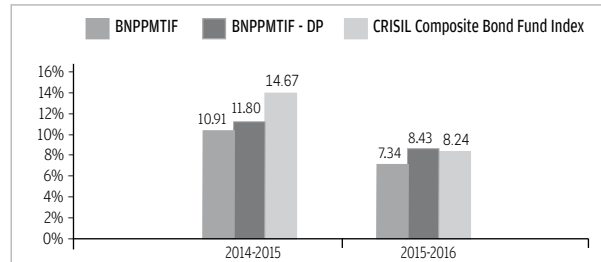
# Benchmark Index : CRISIL Composite Bond Fund Index  
 Allotment Dates : BNPPCBF : 8 November, 2008 BNPPCBF - DP : 2 January, 2013

**BNP PARIBAS MEDIUM TERM INCOME FUND**

| Compounded Annualised Returns (CAGR)% |          |            |
|---------------------------------------|----------|------------|
| Period                                | BNPPMTIF | Benchmark# |
| Last 1 year*                          | 9.93     | 13.77      |
| Since Inception                       | 9.53     | 12.32      |

**BNP PARIBAS MEDIUM TERM INCOME FUND - DIRECT PLAN**

| Compounded Annualised Returns (CAGR)% |             |            |
|---------------------------------------|-------------|------------|
| Period                                | BNPPMTIF DP | Benchmark# |
| Last 1 year*                          | 10.68       | 13.77      |
| Since Inception                       | 10.44       | 12.32      |

**Absolute Returns for each financial year**


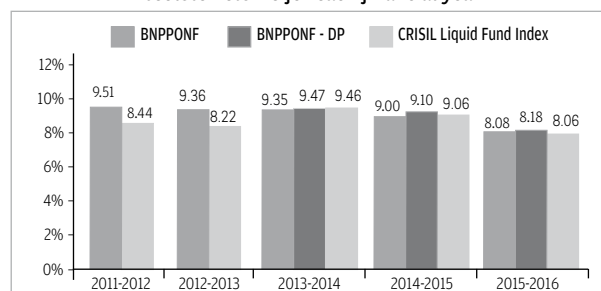
# Benchmark Index : CRISIL Composite Bond Fund Index  
 Allotment Dates : BNPPMTI : 5 March, 2014 BNPPMTI - DP : 5 March, 2014

**BNP PARIBAS OVERNIGHT FUND**

| Compounded Annualised Returns (CAGR)% |         |            |
|---------------------------------------|---------|------------|
| Period                                | BNPPONF | Benchmark# |
| Last 1 year*                          | 7.51    | 7.48       |
| Last 3 years                          | 8.21    | 8.24       |
| Last 5 years                          | 8.69    | 8.44       |
| Since Inception                       | 7.53    | 7.16       |

**BNP PARIBAS OVERNIGHT FUND - DIRECT PLAN**

| Compounded Annualised Returns (CAGR)% |            |            |
|---------------------------------------|------------|------------|
| Period                                | BNPPONF DP | Benchmark# |
| Last 1 year*                          | 7.54       | 7.48       |
| Last 3 years                          | 8.28       | 8.24       |
| Since Inception                       | 8.56       | 8.45       |

**Absolute Returns for each financial year**


# Benchmark Index : CRISIL Liquid Fund Index  
 Allotment Dates : BNPPONF : 2 September, 2004 BNPPONF - DP : 1 January 2013

\* Absolute Returns

**Past performance may or may not be sustained in future and should not be used as a basis of comparison with other investments.**

The Returns are calculated for the Growth Option considering the movement of the NAV during the period. Performance of dividend option under the scheme for the investors would be net of distribution tax, if any Returns do not take into account load and taxes if any. The absolute returns for each financial year are calculated for the Growth Option of the distributor plan.

## COMMON FEATURES FOR ALL SCHEMES

### A. DIRECTIONS TO INVESTORS APPLYING DIRECTLY UNDER ALL SCHEMES OF THE FUND

In case of receipt of Application Form having broker code as **blank** space at the time of processing and allotting the units by the Registrars, AMC will consider such application as **"Direct"**. However, the Investors are requested to fill the blank space with the words such as **"Not Applicable:"** or **"Direct"** so as to ensure that their application is processed as direct and any misuse is prevented. Alternatively, investors can also strike off the blank space in the broker code field to prevent any misuse.

### B. PLANS AND OPTIONS

● **Growth Option:** The Schemes will not declare any Dividend under this Option. The income attributable to Units under this Option will continue to remain invested in the Option and will be reflected in the Net Asset Value of Units under this Option.

● **Dividend Option:** Under Dividend Option, the dividend, if any, shall be declared by Trustees from time to time.

- In case of BNP Paribas Corporate Bond Fund: In case, dividend amount is of or less than ₹ 500, the dividend shall be automatically reinvested in the scheme and in case, dividend amount is greater than ₹ 500, then dividend reinvestment & payout facilities shall be available
- In case of BNP Paribas Money Plus Fund: Weekly & Monthly dividend option shall have dividend payout & dividend reinvestment facilities. In case, dividend payable for the week under weekly dividend option is less than ₹ 20,000 at folio level, the dividend shall be automatically reinvested in the scheme.

It may be noted that the actual declaration of dividend will be subject to the availability of distributable surplus as computed in accordance with the SEBI Regulations and discretion of the Trustees/ AMC.

#### Default Plan:

Investors subscribing under Direct Plan of schemes will have to indicate "Direct Plan" in the application form. Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the scheme name, the Distributor code will not be considered and the application will be processed under Direct Plan. Further, where application is received in Distributor Plan (not the Direct Plan) without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan. If the Distributor Code is mentioned, but the Plan is not mentioned, the application shall be processed under Distributor Plan. Direct Plan shall be the default plan if the investor doesn't indicate any plan and distributor code in the application form or in case of any ambiguity.

To summarize, the following matrix shall apply for default plan:

| Scenarios | Distributor Code mentioned by the investor in application form | Plan mentioned by the investor in application form | Default Plan to apply |
|-----------|--|--|-----------------------|
| 1         | Not mentioned  | Not mentioned                                      | Direct Plan           |
| 2         | Not mentioned  | Direct   | Direct Plan           |
| 3         | Not mentioned  | Distributor Plan                                   | Direct Plan           |
| 4         | Mentioned  | Direct   | Direct Plan           |
| 5         | Direct   | Not Mentioned                                      | Direct Plan           |
| 6         | Direct   | Distributor Plan                                   | Direct Plan           |
| 7         | Mentioned  | Distributor Plan                                   | Distributor Plan      |
| 8         | Mentioned  | Not Mentioned                                      | Distributor Plan      |

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall endeavor to obtain the correct ARN code, within 30 calendar days of the receipt of the application form, from the investor/ distributor. In case, the correct code is not received within 30 calendar days (remediation period), the AMC shall re-process the transaction under Direct Plan from the date of application without any exit load, subject to following exceptions/ conditions:

1. Such re-processing **shall not** be carried out in case of already redeemed units. i.e. re-processing shall only be carried out for balance units as maybe available after 30 calendar days.
2. Any subsequent switch-out or STP (transfer out) request for units allotted under wrong/ invalid/ incomplete ARN codes **shall not** be processed unless:
  - a. Such switch or STP request is for 'switching-in' to direct plan of target scheme / same scheme, as maybe applicable or
  - b. Correct ARN code is provided and verified by AMC/Mutual Fund, to its satisfaction, prior to processing of such switch request or registration of STP request.
3. In case of SIP transaction, the above time period for remediation shall be applicable from first installment/registration only. In case correct ARN code is not provided within 30 calendar days of such first installment, re-processing shall be carried out and subsequent SIP triggers shall happen in Direct Plan.

4. Notwithstanding any of the clauses as above, re-processing **shall not** be carried out, for units allotted under wrong/ invalid/ incomplete ARN codes under Dividend option, in case any dividend has been declared during the aforesaid remediation period of 30 calendar days.
5. Subject to above, once the units are re-processed under Direct Plan, no submission of correct ARN code shall be accepted by AMC for such re-processed units.
6. Investors are requested to note that pursuant to such re-processing, the number of units to the credit of such investors may change and AMC / Mutual Fund/ Trustees /Sponsors shall not be liable for any loss that may occur to investors/distributors or any scheme of Mutual Fund consequent to such re-processing.
7. **Investors are strongly advised to provide the correct ARN codes in case they wish to subscribe to units of the Scheme under Regular Plan.**

#### Default Option/Facility:

Following shall be the default option/facility

| Default Option  | Growth Option             |
|---|---------------------------|
| Default dividend option (All schemes except the following schemes)  | Monthly Dividend Option   |
| Default dividend option for BNP Paribas Flexi Debt Fund   | Quarterly Dividend Option |
| Default dividend option for BNP Paribas Corporate Bond Fund, BNP Paribas Government Securities Fund and BNP Paribas Medium Term Income fund | Annual Dividend Option    |

Investors may also opt to simultaneously invest in any / all option(s) of the Scheme subject to minimum subscription requirements under such option(s) / Scheme.

### C. APPLICABLE NAV

#### For schemes other than liquid scheme:

Investment amount less than ₹ 2 lakh :

| Subscriptions and Switch-ins                                  | Applicable NAV   |
|---|--|
| Receipt of valid applications up to 3 p.m. on a Business Day. | The NAV of the business day which the application is received.         |
| Receipt of valid applications after 3 p.m. on a Business Day. | The NAV of the next business day on which the application is received. |

Investment amount equal to or more than ₹ 2 lakh :

| Subscriptions and Switch-ins  | Applicable NAV  |
|---|---|
| In respect of valid application received up to 3.00 p.m. on a Business Day and funds for the entire amount of subscription / purchase / switch in as per application / request are credited to the bank account of the respective schemes before cut-off time i.e. available for utilization before the cut-off time.   | The NAV of the business day on which the funds are available for utilization.             |
| In respect of valid application is received after 3.00 p.m. on a Business Day and funds for the entire amount of subscription / purchase / switch in as per application / request are credited to the bank account of the respective schemes after cut-off time i.e. available for utilization after the cut-off time.  | The NAV of the next business day.   |
| Irrespective of the time of receipt of application, where the funds for the entire amount of subscription / purchase / switch-in as per application / request are credited to the bank account of the respective schemes before cutoff time on any subsequent Business Day i.e. available for utilization before the cut-off time on any subsequent Business Day. | The NAV of such subsequent business day on which the funds are available for utilization. |

| Redemptions and Switch-outs (irrespective of amount)        | Applicable NAV   |
|---|--|
| Receipt of valid application up to 3 p.m. on a Business Day | The NAV of the day on which the application is received. |
| Receipt of valid application after 3 p.m. on a Business Day | The NAV of the next Business Day.                        |

#### For Liquid Scheme:

| Subscriptions and Switch-ins   | Applicable NAV  |
|--|---|
| In respect of valid application received up to 2.00 p.m. on a day and funds for the entire amount of subscription / purchase / switch-in as per application / request are credited to the bank account of the Scheme before cut-off time i.e. available for utilization before the cut-off time. | The NAV of the day immediately preceding the day of receipt of application. |

## COMMON FEATURES FOR ALL SCHEMES (Contd..)

| Subscriptions and Switch-ins   | Applicable NAV   |
|--|--|
| In respect of valid application received after 2.00 p.m. on a day and funds for the entire amount of subscription / purchase / switch-in as per application / request are credited to the bank account of the Scheme after cut-off time i.e. available for utilization after the cut-off time. | The NAV of the day immediately preceding the next Business Day.                                    |
| Irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time.   | The NAV of the day immediately preceding the day on which the funds are available for utilization. |
| Redemptions and Switch-outs  | Applicable NAV   |
| Receipt of valid application up to 3 p.m. on a Business Day  | The NAV of the day immediately preceding the next Business Day.                                    |
| Receipt of valid application after 3 p.m. on a Business Day  | The NAV of the next Business Day.  |

### Notes :

In case of Switch transactions, funds will be made available for utilization in the switch-in scheme based on redemption payout cycle of the switch-out scheme. Please note the following provisions with respect to applicability of NAV for the subscription / switch ins with an amount equal to or more than ₹ 2 lakh for receipt of the closing NAV of the same business day:

- Valid applications for subscription / switch-ins is received before the applicable cut-off time.
- Funds for the entire amount of subscription / switch-ins as per the application are credited to the bank account of the respective scheme before the cut-off time.
- The funds are available for utilization before the cut-off time without availing any credit facility whether intra-day or otherwise, by the respective scheme.

Further pursuant to AMFI circular no. 135/BP/35/2012-13 dated February 18, 2013, following provisions shall be applicable for determining NAV applicability, for the transactions received on the same day (as per time stamping rule):

- All purchases, additional purchases, excluding switches, systematic transactions and triggered transactions received under all non-liquid schemes of the Fund shall be aggregated and NAV of the day on which funds are available for utilization will be applied where the aggregated amount of the investment is ₹ 2 lac and above. In case the funds are received under the Scheme on separate days and are available for utilization on different Business days before the cut off time, then the applicable NAV shall be the Business day on which the cleared funds are available for the respective amount.
- The aggregation shall be done on the basis of investor/s PAN. In case of joint holding, transactions with similar holding structures to be aggregated, similar to the principle applied for compilation of Consolidated Account Statement (CAS).
- The transactions shall be aggregated at Scheme level irrespective of the plan in which the transaction is received. Such aggregation shall be done irrespective of the number of folios under which the investor has invested or through direct / through distributor route.
- The transactions in the name of minor received through guardian will not be aggregated with the transaction in the name of same guardian. Further, transactions of two minors received through same guardian shall not be aggregated.

### D. DAILY NET ASSET VALUE (NAV) PUBLICATION

The AMC shall declare the Net Asset Value of the scheme on every business day on AMFI's website ([www.amfiindia.com](http://www.amfiindia.com)) by 9.00 p.m. and also on its website ([www.bnpparibasmf.in](http://www.bnpparibasmf.in)). The NAV shall be calculated for all business days & released to the Press. In case of any delay, the reasons for such delay would be explained to AMFI & SEBI in writing and number of such instances would also be reported to SEBI on bi-monthly basis. If the NAVs are not available before the commencement of business hours of the following day due to any reason, the Mutual Fund shall issue a press release providing reasons and explaining when the Mutual Fund would be able to publish the NAVs. The NAV shall be declared on all business days and shall be published in atleast 2 daily newspapers in accordance with SEBI (MF) Regulations.

### E. DIVIDEND POLICY

Dividend declaration shall be in line with provisions mentioned in SEBI Circular IMD/Cir. No. 1/64057/06 dated April 4, 2006 read with further clarifications issued from time to time.

Following are the record dates for the purpose of dividend declaration under all the existing fixed income schemes of the Fund.

| Sr. No. | Dividend frequency | Record date   |
|---------|--------------------|---|
| 1.      | Daily              | Every business day.   |
| 2.      | Weekly             | Every Wednesday (or the next business day, if Wednesday is not a business day).         |
| 3.      | Monthly *          | Last Thursday of the month.   |
| 4.      | Quarterly *        | Last Thursday of each quarter (i.e. quarter ending June, September, December and March) |
| 5.      | Half yearly*       | Last Thursday of each half year (i.e. half year ending September and March).            |
| 6.      | Annual *           | Last Thursday of the year (March)   |

\*If the last Thursday as stated above is a non business day, then the preceding business day shall be considered as record date for the purpose of dividend declaration.

Investors are further requested to note that the AMC will endeavor to declare the dividend on the aforesaid record dates. However, there is no assurance or guarantee on the rate or regularity of the dividend distribution. The dividends shall be declared subject to the availability of distributable surplus under the specific option of the schemes. The AMC reserves the right to change the aforesaid record dates from time to time. The AMC's decision with regards to the rate, timing and frequency of dividend distribution shall be final.

Unitholders opting for the Dividend Option may choose to reinvest the dividend to be received by them in additional Units of the said Option. The amount of dividend re-invested will be net of tax deducted at source, wherever applicable. The dividends so reinvested shall constitute a constructive payment of dividends to the Unitholders and a constructive receipt of the same amount from each Unitholder for reinvestment in Units.

### F. TOTAL EXPENSE RATIO (TER)

The AMC has estimated the annual recurring expenses under the Scheme as per the table below:

| Particulars  | % of daily Net Assets |
|--|-----------------------|
| Investment Management & Advisory Fee   | Upto 2.25%            |
| Trustee fee  |                       |
| Audit fees   |                       |
| Custodian Fees   |                       |
| Registrar & Transfer Agent Fees  |                       |
| Marketing & Selling Expenses including Agents Commission   |                       |
| Costs related to investor communications   |                       |
| Costs of fund transfer from location to location   |                       |
| Cost of providing account statements and dividend redemption cheques and warrants                                |                       |
| Costs of statutory Advertisements  |                       |
| Cost towards investor education & awareness (at least 2 bps)   |                       |
| Brokerage & transaction cost over and above 12 bps and 5 bps for cash and derivative market trades respectively@ |                       |
| Service tax on expenses other than investment management and advisory fees                                       |                       |
| Service tax on brokerage and transaction cost  |                       |
| Other Expenses   | Upto 2.25%            |
| Maximum total expense ratio (TER) permissible under Regulation 52 (6) (c) (i)                                    |                       |
| Additional expenses under regulation 52 (6A) (c)   |                       |
| Additional expenses for gross new inflows from specified cities  | Upto 0.30%            |

**Further, Direct Plan shall have a lower expense ratio excluding distribution expenses, commission etc. since no commission shall be paid from this plan.**

The expenses including investment management and advisory fee and other allowable expenses shall be subject to the following percentage limit of daily net assets.

- On the first ₹ 100 crore of the daily net assets 2.25%;
- On the next ₹ 300 crore of the daily net assets 2.00%;
- On the next ₹ 300 crore of the daily net assets 1.75%;
- On the balance of the assets 1.50%

## COMMON FEATURES FOR ALL SCHEMES (Contd..)

Further, the following costs or expenses may be charged to the schemes:

- (a) Expenses not exceeding 0.30 per cent of daily net assets, if the new inflows from beyond top 15 cities are at least -
- (i) 30 per cent of gross new inflows in the scheme, or;
  - (ii) 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher:

Provided that if inflows from such cities is less than the higher of sub-clause (i) or sub-clause (ii), such expenses on daily net assets of the scheme shall be charged on proportionate basis.

Provided further that expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities.

The top 15 cities shall mean top 15 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography - Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year.

The said additional expenses on account of inflows from beyond top 15 cities so charged shall be clawed back in the scheme, in case the said inflow is redeemed within a period of 1 year from the date of investment.

- (b) Additional expenses incurred towards different heads mentioned under Regulation 52 (6A) (c), not exceeding 0.20 per cent of daily net assets of the scheme shall be charged
- (c) The AMC may charge service tax on investment and advisory service fees (AMC Fees) which shall be borne by the scheme in addition to the total expense ratio;
- (d) @Brokerage and transaction costs includes service tax incurred for the purpose of execution of trade and is included in the cost of investment that shall not exceed 0.12 per cent (12 bps) in case of cash market transactions and 0.05 per cent (5 bps) in case of derivatives transactions.

It is clarified that the brokerage and transaction cost incurred for the purpose of execution of trade may be capitalized to the extent of 12bps and 5bps for cash market transactions and derivatives transactions respectively. Any payment towards brokerage and transaction cost, over and above the said 12 bps and 5bps for cash market transactions and derivatives transactions respectively may be charged to the scheme within the maximum limit of TER as prescribed under regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. Any expenditure in excess of the said prescribed limit (including brokerage and transaction cost, if any) shall be borne by the AMC or by the trustee or sponsors.

For BNP Paribas Government Securities Fund, the commission / distribution expenses will not be charged in case of Direct Plan. The total expense ratio of Direct Plan of BNP Paribas Government Securities Fund will be lower by at least 11.11% vis-à-vis non-direct plan.

For BNP Paribas Medium Term Income Fund - At least 10% of the TER is charged towards distribution expenses/ commission in the Regular Plan. The TER of the Direct Plan will be lower to the extent of the above mentioned distribution expenses/ commission (atleast 10%) which is charged in the other plan.

Investors should note that the total recurring expenses of the scheme excluding issue or redemption expenses, whether initially borne by the Mutual Fund or by the AMC, but including the investment management and advisory fee, shall not exceed the limits as prescribed under Regulation 52 of the SEBI (MF) Regulations. Subject to the SEBI (MF) Regulations, expenses over and above the prescribed ceiling will be borne by the AMC.

For the actual current expenses being charged, the investor should refer to the website of the mutual fund. The mutual fund would update the current expense ratios on the website within two working days mentioning the effective date of the change.

These estimates have been made in good faith as per the information available to the Investment Manager based on past experience and are subject to change inter-se. Types of expenses charged shall be as per SEBI (MF) Regulations.

### An Illustration of impact of expense ratio on Scheme's returns:

If an investor A invests in a regular plan of a Scheme with an expense of 2% p.a. and an investor B invests in Direct Plan of the same scheme with an expense of 1% p.a. Assuming the gross return of this fund is 10% for that given year, investor A will make a return of 8% (post expense) for that year, whereas investor B will make 9% return for same period.

Also, please take a look at below illustration which shows impact of different expense ratio assumed on initial investment of ₹ 10,000 invested over period of 10 years with an average annualized gain of 10% p.a.

| Value at End of Year | Assuming 10% p.a. gain (without any expense ratio) | Assuming 10% p.a. gain               |                                       |                                       |                                       |                                       |
|----------------------|--|--------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
|                      |  | with an average expense of 0.5% p.a. | with an average expense of 1.00% p.a. | with an average expense of 1.50% p.a. | with an average expense of 2.00% p.a. | with an average expense of 2.50% p.a. |
| 0*                   | 10000.00   | 10000.00                             | 10000.00                              | 10000.00                              | 10000.00                              | 10000.00                              |
| 1                    | 11000.00   | 10950.00                             | 10900.00                              | 10850.00                              | 10800.00                              | 10750.00                              |
| 2                    | 12100.00   | 11990.25                             | 11881.00                              | 11772.25                              | 11664.00                              | 11556.25                              |
| 3                    | 13310.00   | 13129.32                             | 12950.29                              | 12772.89                              | 12597.12                              | 12422.97                              |
| 4                    | 14641.00   | 14376.61                             | 14115.82                              | 13858.59                              | 13604.89                              | 13354.69                              |
| 5                    | 16105.10   | 15742.39                             | 15386.24                              | 15036.57                              | 14693.28                              | 14356.29                              |
| 6                    | 17715.61   | 17237.91                             | 16771.00                              | 16314.68                              | 15868.74                              | 15433.02                              |
| 7                    | 19487.17   | 18875.52                             | 18280.39                              | 17701.42                              | 17138.24                              | 16590.49                              |
| 8                    | 21435.89   | 20668.69                             | 19925.63                              | 19206.04                              | 18509.30                              | 17834.78                              |
| 9                    | 23579.48   | 22632.22                             | 21718.93                              | 20838.56                              | 19990.05                              | 19172.39                              |
| 10                   | 25937.42   | 24782.28                             | 23673.64                              | 22609.83                              | 21589.25                              | 20610.32                              |

\*initial investment amount

Investors are requested to note that NAV declaration made by AMC/Mutual Fund on every business day is net of expenses, and consequently scheme performance disclosures made by Mutual Fund, which are based on NAV values of the scheme are also net of expenses but does not consider impact of load and taxes, if any.

### G. TRANSACTION CHARGES

Pursuant to SEBI Circular No. Cir/IMD/DF/13/2011 dated August 22, 2011, the AMC / the Fund shall deduct transaction charges as per the following details from the subscription amount. The amount so deducted shall be paid to the distributor / agent of the investor (in case they have "opted in" to receive the transaction charge) and the balance shall be invested. In accordance with SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, the distributors shall have an option either to opt in or opt out of levying transaction charge based on type of the product.

1. First time investor in Mutual Fund (across all the Mutual Funds): Transaction charge of ₹ 150/- for subscription of ₹ 10,000 and above shall be deducted. First time investors for this purpose shall be mean an investor who invests for the first time ever in any mutual fund by way of lumpsum investment or systematic investment plan (SIP).
2. Existing investor in Mutual Funds (across all the Mutual Funds): Transaction charge of ₹ 100/- per subscription of ₹ 10,000 and above shall be deducted.
3. For SIP : The transaction charges in case of investments through SIP shall be deducted only if the total commitment (i.e. amount per SIP installment x No. of installments) amounts to ₹ 10,000/- and above. The transaction charges shall be deducted in 3-4 installments.
4. Transaction charges shall not be deducted for:
  - (i) Purchases / subscriptions for an amount less than ₹ 10,000/-
  - (ii) Transaction other than purchases / subscriptions relating to new inflows such as Switch / Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP) etc.
  - (iii) Purchases / subscriptions made directly with the Fund (i.e. not through any distributor / agent).
  - (iv) Transactions carried out through stock exchange mechanism.
5. The statement of account shall reflect the net investment as gross subscription less transaction charge and the number of units allotted against the net investment.
6. As per SEBI circular no. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the upfront commission to distributors shall be paid by the investor directly to the distributor by a separate cheque based on the investor's assessment of various factors including service rendered by the distributor.

### H. DIRECT PLAN

Direct Plan has been introduced under all the existing open-ended schemes of the Fund and shall be available for investors who purchase / subscribe units in a scheme directly with the Fund and is not available for investors who route their investments through a Distributor. Following provisions shall be applicable for investments in Direct Plan:

- (i) Switch of investments from distributor plan to Direct Plan within the scheme shall be subject to applicable exit load if any, if the investment had come through a Distributor.

## COMMON FEATURES FOR ALL SCHEMES (Contd..)

However, any subsequent switch out or redemption of such investments from the Direct Plan will not be subject to any exit load.

- (ii) If the investment had come direct without any distributor code, then no exit load will be charged on switches from distributor plan to Direct Plan within the scheme.

However, any subsequent switch out or redemption of such investment from the direct plan shall be subject to exit load based on the original date of investment in the Distributor Plan.

- (iii) No exit load shall be levied in case of switches from Direct Plan to distributor plan within the scheme.

However, any subsequent switch out or redemption of such investment from the distributor plan shall be subject to exit load based on the original date of investment in the Direct Plan.

- (iv) Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of units will be paid / charged under Direct Plan.

- (v) Investors wishing to transfer their accumulated unit balance held under distributor plan (through lumpsum / systematic investments made with or without Distributor code) to Direct Plan will have to initiate a switch request which shall be processed in the normal course as per timelines applicable for switch transactions

- (vi) Investments under Direct Plan can be made through various modes offered by the Fund for investing directly with the Fund {except Stock Exchange Platform(s) and all other Platform(s) where investors' applications for subscription of units are routed through Distributors}.

- (vii) Investors, who have invested without Distributor code and have opted for dividend reinvestment facility under distributor plan prior to the Effective Date, may note that the dividend will continue to be reinvested in the distributor plan with the applicable terms and conditions.

- (viii) In case of Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP), etc. registered prior to the Effective Date without any distributor code under the distributor plan, installments falling on or after the Effective Date will be automatically processed under the Direct Plan with the applicable terms and conditions.

- (ix) In case of the following facilities which were registered under the distributor plan prior to the Effective Date the future installments shall continue under the distributor plan:

- Systematic Transfer Facilities (registered with Distributor Code)
- Dividend Transfer Plans (registered from a folio where investments were made both with and without Distributor code)

In case such investors wish to invest under the Direct Plan through these facilities, they would have to cancel their existing enrolments and register afresh for such facilities.

- (x) Investors who have registered for SIP facility prior to the Effective Date with distributor code and wish to invest their future installments into the Direct Plan shall make a written request to the Fund for the same. The Fund will take at least 15 working days to process such requests. Intervening installment, if any, will continue in the distributor plan.

- (xi) Where units under a scheme are held under both distributor and Direct Plan and the redemption / switch request pertains to the Direct Plan, the same must clearly be mentioned on the request (along with the folio number), failing which the request would be processed from the distributor plan. However, where units under the requested option are held only under one plan, the request would be processed under such plan.

- (xii) Switch / redemption may entail tax consequences. Investors should consult their professional tax advisor before initiating such requests.

Further, exit load shall be applicable for switches between the two schemes. The exit load shall not be charged for inter option switch within the same plan.

### I. WAIVER OF LOAD FOR DIRECT APPLICATIONS

In accordance with the requirements specified by the SEBI circular no. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009 no entry load will be charged for purchase / additional purchase / switch-in accepted by the Fund. Hence provision for waiver of load for direct application is not applicable.

### J. UNITHOLDER INFORMATION

AMCs shall send confirmation regarding allotment of units specifying the number of units allotted to the applicant by way of email and/or SMS's to the applicant's registered email address and/or mobile number as soon as possible but not later than five working days from the date of receipt of the

valid request from the unit holder. Further, Consolidated Account Statement (CAS) shall be issued for each calendar month on or before tenth day of succeeding month detailing all the transactions and holding at the end of the month including transaction charges paid to the distributor, across all the schemes of all mutual funds in whose folios transaction has taken place during that month.

**No Account statements will be issued to investors opted to hold units in electronic (demat) mode, since the statement of account furnished by depository participant periodically will contain the details of transactions.**

For more details, Investors are requested to refer the Scheme Information Document (SID).

### Half Yearly Disclosures (Unaudited Financial Results / Portfolio):

Mutual Fund / AMC shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website ([www.bnpparibasmf.in](http://www.bnpparibasmf.in)). Further, the Mutual Fund / AMC shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper and a regional newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

The Mutual Fund / AMC shall before the expiry of one month from the close of each half year (i.e. 31st March and 30th September), publish complete statement of the scheme portfolio in prescribed format as at end of such half year in one national English daily newspaper and in a regional newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

### Monthly Portfolio Disclosures:

The monthly portfolio of the scheme (alongwith ISIN) shall also be made available on the website of Mutual Fund ([www.bnpparibasmf.in](http://www.bnpparibasmf.in)) on or before tenth day of the succeeding month. The same can be located on website at <http://bnpparibasmf.in/Downloads/index.aspx>

### Annual Report or abridged summary thereof:

SEBI Circular No. Cir/IMD/DF/16/2011 dated September 8, 2011 shall be complied with in order to bring cost effectiveness in printing and dispatching the annual reports or abridged summary thereof.

For more details, Investors are requested to refer the Scheme Information Document (SID).

### K. COMPLIANCE WITH FOREIGN ACCOUNTS TAX COMPLIANCE ACT ("FATCA") AND COMMON REPORTING STANDARDS (CRS) REQUIREMENTS

**FATCA and CRS requirements may require disclosure regarding your investment in the units of the Scheme.**

Investors are further informed that the AMC / the Fund are required to adhere to various requirements inter alia including submission of various information / details relating to the investors in the schemes of the mutual fund, to authorities/ third parties including the U.S Internal Revenue Service ('IRS') or the Indian tax authorities, for the purpose of onward transmission to the U.S. Internal Revenue Service or such other authority as specified under the applicable laws from time to time. The information disclosed may include (but is not limited

to) the identity of investors and their direct or indirect beneficiaries, beneficial owners and controlling persons, their residential status / details. Accordingly, Investors are requested to provide all the necessary information / declarations and to comply with any reasonable request from the AMC/ the Fund to allow the AMC/ the Fund to comply with such information reporting requirements.

**For more details, investors are requested to refer to Scheme Information Document.**

### L. FOR INVESTOR GRIEVANCES, PLEASE CONTACT:

Investor grievances will normally be received directly by the Registrar and Transfer Agent or at the Investor Service Centres or at the office the AMC

#### Sundaram BNP Paribas Fund Services Limited

No. 23, Cathedral Garden Road, Nungambakkam, Chennai - 600034 (Corporate Office)

**Name, address, telephone number, fax number, e-mail id of Investor Relations Officer: Mr. Allwyn Monteiro**

#### BNP Paribas Asset Management India Private Limited

1, North Avenue, Maker Maxity, Bandra Kurla Complex, Bandra (E), Mumbai - 400051.

Phone: 91-22-3370 4000 or 1800-102-2595 (Tollfree), Fax: 91-22-3370 4294  
E-mail: [customer.care@bnpparibasmf.in](mailto:customer.care@bnpparibasmf.in)

## M. OTHER DISCLOSURES

### BNP Paribas Monthly Income Plan

#### I. Aggregate investment in the scheme as on January 31, 2017 by:

1. AMC's Board of Directors: Nil
2. Scheme's Fund Manager: Nil
3. Other Key managerial personnel (excluding 1 and 2 above): Nil

#### II. Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors) as on January 31, 2017:

##### 1) Top 10 Holdings By Issuer

| Security name  | As % of net assets |
|--|--------------------|
| 8.15% GOI 24-NOV-26  | 7.28%              |
| 7.61% GOI 09-MAY-30  | 5.72%              |
| 9.20% GOI 30-SEP-30  | 5.26%              |
| Reliance Utilities & Power Pvt Ltd                                   | 4.85%              |
| Rural Electrification Corporation Ltd                                | 4.70%              |
| Reliance Utilities & Power Pvt Ltd                                   | 4.65%              |
| 8.82% Bihar SDL 24-FEB-26  | 3.68%              |
| 8.83% Uttar Pradesh SDL 24-FEB-26                                    | 3.68%              |
| BNP Paribas Government Securities Fund - Direct Plan - Growth Option | 3.65%              |
| TD 3.50% HSBC - ASBA BSE LTD 01-FEB-17                               | 2.90%              |

Investment in Top 10 scrips constitutes 46.37% (of net assets) of the portfolio as on January 31, 2017.

##### 2) Fund Allocation towards various Sectors

| Industry / Sector*       | As % of net assets |
|--------------------------|--------------------|
| Sovereign                | 33.28%             |
| Finance                  | 19.25%             |
| Power                    | 12.69%             |
| Banks                    | 8.16%              |
| Mutual Fund              | 3.65%              |
| Transportation           | 2.76%              |
| Consumer Non Durables    | 1.66%              |
| Ferrous Metals           | 1.30%              |
| Chemicals                | 1.12%              |
| Cement                   | 0.71%              |
| Pharmaceuticals          | 0.69%              |
| Media & Entertainment    | 0.68%              |
| Telecom - Services       | 0.57%              |
| Petroleum Products       | 0.56%              |
| Industrial Capital Goods | 0.55%              |
| Non - Ferrous Metals     | 0.45%              |
| Auto                     | 0.42%              |
| Gas                      | 0.42%              |
| Engineering Services     | 0.32%              |
| Retailing                | 0.30%              |
| Minerals/Mining          | 0.28%              |
| Industrial Products      | 0.21%              |
| Textile Products         | 0.19%              |
| Auto Ancillaries         | 0.14%              |

\*Industry Classification as recommended by AMFI

Scheme's Portfolio turnover ratio is 0.85 times as on January 31, 2017.

To view the Scheme's latest monthly portfolio holding, please visit our website at <http://bnpparibasmf.in/Downloads/index.aspx>

### BNP Paribas Flexi Debt Fund

#### I. Aggregate investment in the scheme as on January 31, 2017 by:

1. AMC's Board of Directors: Nil
2. Scheme's Fund Manager: Nil
3. Other Key managerial personnel (excluding 1 and 2 above): ₹ 6,912.81

#### II. Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors) as on January 31, 2017:

##### 1) Top 10 Holdings By Issuer

| Security name  | As % of net assets |
|--|--------------------|
| 7.59% GOI 11-JAN-26  | 21.79%             |
| 6.97% GOI 06-SEP-26  | 13.54%             |
| 7.61% GOI 09-MAY-30  | 12.80%             |
| 7.68% GOI 15-DEC-23  | 7.27%              |
| Reliance Utilities & Power Pvt Ltd                                   | 5.57%              |
| JM Financial Products Ltd-CP   | 5.44%              |
| L&T Infrastructure Finance Ltd                                       | 3.80%              |
| Tata Motors Ltd  | 2.40%              |
| BNP Paribas Government Securities Fund - Direct Plan - Growth Option | 1.95%              |
| 8.24% GOI 10-NOV-33  | 1.57%              |

Investment in Top 10 scrips constitutes 76.13% (of net assets) of the portfolio as on January 31, 2017.

#### 2) Fund Allocation towards various Sectors

| Industry / Sector* | As % of net assets |
|--------------------|--------------------|
| Sovereign          | 62%                |
| Finance            | 10%                |
| Power              | 6%                 |
| Auto               | 3%                 |
| Mutual Fund        | 2%                 |
| Transportation     | 0.15%              |

\*Industry Classification as recommended by AMFI

Scheme's Portfolio turnover ratio is 19.23 times as on January 31, 2017.

To view the Scheme's latest monthly portfolio holding, please visit our website at <http://bnpparibasmf.in/Downloads/index.aspx>

### BNP Paribas Government Securities Fund

#### I. Aggregate investment in the scheme as on January 31, 2017 by:

1. AMC's Board of Directors: Nil
2. Scheme's Fund Manager: ₹ 13,808,212.49
3. Other Key managerial personnel (excluding 1 and 2 above): Nil

#### II. Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors) as on January 31, 2017:

##### 1) Top 10 Holdings By Issuer

| Security name                          | As % of net assets |
|--|--------------------|
| 7.61% GOI 09-MAY-30                    | 59.30%             |
| 7.59% GOI 20-MAR-29                    | 14.01%             |
| 35 Days Cash Management Bill 17-FEB-17 | 13.20%             |
| 7.88% GOI 19-MAR-30                    | 2.31%              |
| 7.68% GOI 15-DEC-23                    | 1.40%              |
| 6.79% GOI 26-DEC-29                    | 1.32%              |
| 8.60% GOI 02-JUN-28                    | 0.11%              |

Investment in Top 10 scrips constitutes 91.65% (of net assets) of the portfolio as on January 31, 2017.

##### 2) Fund Allocation towards various Sectors

| Industry / Sector* | As % of net assets |
|--------------------|--------------------|
| Sovereign          | 91.65%             |

\*Industry Classification as recommended by AMFI

Scheme's Portfolio turnover ratio is 14.71 times as on January 31, 2017.

To view the Scheme's latest monthly portfolio holding, please visit our website at <http://bnpparibasmf.in/Downloads/index.aspx>

### BNP Paribas Money Plus Fund

#### I. Aggregate investment in the scheme as on January 31, 2017 by:

1. AMC's Board of Directors: Nil
2. Scheme's Fund Manager: ₹ 10,458,732.11
3. Other Key managerial personnel (excluding 1 and 2 above): ₹ 229,852.95

#### II. Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors) as on January 31, 2017:

##### 1) Top 10 Holdings By Issuer

| Security name                                 | As % of net assets |
|---|--------------------|
| Shriram City Union Finance Ltd                | 7.62%              |
| Small Industries Development Bank Of India-CP | 7.59%              |
| Indiabulls Housing Finance Ltd-CP             | 7.58%              |
| Andhra Bank-CD                                | 7.56%              |
| Piramal Enterprises Ltd-CP                    | 7.56%              |
| HDFC Bank Ltd-CD                              | 7.47%              |
| JM Financial Products Ltd-CP                  | 7.23%              |
| PowerLinks Transmission Ltd                   | 4.60%              |
| Rural Electrification Corporation Ltd         | 4.57%              |
| Aditya Birla Nuvo Ltd                         | 3.10%              |

Investment in Top 10 scrips constitutes 64.88% (of net assets) of the portfolio as on January 31, 2017.

##### 2) Fund Allocation towards various Sectors

| Industry / Sector* | As % of net assets |
|--------------------|--------------------|
| Finance            | 49%                |
| Banks              | 15%                |
| Auto               | 8%                 |
| Pharmaceuticals    | 8%                 |
| Power              | 6%                 |
| Services           | 3%                 |
| Sovereign          | 2%                 |

\*Industry Classification as recommended by AMFI

Scheme's Portfolio turnover ratio is 5.51 times as on January 31, 2017.



## M. OTHER DISCLOSURES (Contd..)

To view the Scheme's latest monthly portfolio holding, please visit our website at <http://bnpparibasmf.in/Downloads/index.aspx>

### BNP Paribas Short Term Income Fund

#### I. Aggregate investment in the scheme as on January 31, 2017 by:

1. AMC's Board of Directors: Nil
2. Scheme's Fund Manager: Nil
3. Other Key managerial personnel (excluding 1 and 2 above): Nil

#### II. Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors) as on January 31, 2017:

##### 1) Top 10 Holdings By Issuer

| Security name                               | As % of net assets |
|---|--------------------|
| IDFC Bank Ltd-CD                            | 9.34%              |
| Reliance Utilities & Power Pvt Ltd          | 8.24%              |
| Housing Development Finance Corporation Ltd | 7.89%              |
| Piramal Finance Pvt Ltd-CP                  | 7.65%              |
| JM Financial Products Ltd-CP                | 7.55%              |
| Tata Motors Ltd                             | 7.17%              |
| Shriram Transport Finance Co Ltd            | 6.88%              |
| 6.97% GOI 06-SEP-26                         | 6.15%              |
| 6.99% Punjab SDL 13-OCT-20                  | 5.96%              |
| Power Finance Corporation Ltd               | 4.23%              |

Investment in Top 10 scrips constitutes 71.04% (of net assets) of the portfolio as on January 31, 2017.

##### 2) Fund Allocation towards various Sectors

| Industry / Sector* | As % of net assets |
|--------------------|--------------------|
| Finance            | 48%                |
| Power              | 12%                |
| Sovereign          | 12%                |
| Banks              | 9%                 |
| Auto               | 9%                 |
| Pharmaceuticals    | 4%                 |
| Services           | 2%                 |

\*Industry Classification as recommended by AMFI

Scheme's Portfolio turnover ratio is 8.16 times as on January 31, 2017.

To view the Scheme's latest monthly portfolio holding, please visit our website at <http://bnpparibasmf.in/Downloads/index.aspx>

### BNP Paribas Corporate Bond Fund

#### I. Aggregate investment in the scheme as on January 31, 2017 by:

1. AMC's Board of Directors: Nil
2. Scheme's Fund Manager: ₹ 6,706.11
3. Other Key managerial personnel (excluding 1 and 2 above): ₹ 10,184.40

#### II. Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors) as on January 31, 2017:

##### 1) Top 10 Holdings By Issuer

| Security name                          | As % of net assets |
|--|--------------------|
| Reliance Utilities & Power Pvt Ltd     | 8.38%              |
| Shriram Transport Finance Co Ltd       | 7.29%              |
| Sundaram BNP Paribas Home Finance Ltd  | 6.79%              |
| National Highways Authority Of India   | 6.72%              |
| Rural Electrification Corporation Ltd  | 6.69%              |
| Power Finance Corporation Ltd          | 4.20%              |
| 7.68% GOI 15-DEC-23                    | 3.54%              |
| Tata Motors Ltd                        | 3.49%              |
| Power Grid Corporation of India Ltd    | 3.49%              |
| The Great Eastern Shipping Company Ltd | 3.44%              |

Investment in Top 10 scrips constitutes 54.02% (of net assets) of the portfolio as on January 31, 2017.

##### 2) Fund Allocation towards various Sectors

| Industry / Sector* | As % of net assets |
|--------------------|--------------------|
| Finance            | 43%                |
| Power              | 12%                |
| Transportation     | 10%                |
| Auto               | 8%                 |
| Sovereign          | 4%                 |

\*Industry Classification as recommended by AMFI

Scheme's Portfolio turnover ratio is 10.82 times as on January 31, 2017.

To view the Scheme's latest monthly portfolio holding, please visit our website at <http://bnpparibasmf.in/Downloads/index.aspx>

### BNP Paribas Medium Term Income Fund

#### I. Aggregate investment in the scheme as on January 31, 2017 by:

1. AMC's Board of Directors: Nil
2. Scheme's Fund Manager: Nil
3. Other Key managerial personnel (excluding 1 and 2 above): Nil

#### II. Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors) as on January 31, 2017:

##### 1) Top 10 Holdings By Issuer

| Security name                             | As % of net assets |
|---|--------------------|
| Reliance Utilities & Power Pvt Ltd        | 7.51%              |
| Cholamandalam Investment & Finance Co Ltd | 5.23%              |
| Aditya Birla Nuvo Ltd                     | 5.22%              |
| Power Finance Corporation Ltd             | 4.28%              |
| Sundaram BNP Paribas Home Finance Ltd     | 4.24%              |
| Food Corporation Of India                 | 3.21%              |
| The Great Eastern Shipping Company Ltd    | 3.16%              |
| Aditya Birla Finance Ltd                  | 3.11%              |
| Shriram Transport Finance Co Ltd          | 3.10%              |
| National Highways Authority Of India      | 3.10%              |

Investment in Top 10 scrips constitutes 42.15% (of net assets) of the portfolio as on January 31, 2017.

##### 2) Fund Allocation towards various Sectors

| Industry / Sector* | As % of net assets |
|--------------------|--------------------|
| Finance            | 47%                |
| Power              | 18%                |
| Auto               | 9%                 |
| Transportation     | 9%                 |
| Services           | 7%                 |
| Trading            | 3%                 |
| Mutual Fund        | 0.43%              |

\*Industry Classification as recommended by AMFI

Scheme's Portfolio turnover ratio is 0.41 times as on January 31, 2017.

To view the Scheme's latest monthly portfolio holding, please visit our website at <http://bnpparibasmf.in/Downloads/index.aspx>

### BNP Paribas Overnight Fund

#### I. Aggregate investment in the scheme as on January 31, 2017 by:

1. AMC's Board of Directors: ₹ 1,038,174.83
2. Scheme's Fund Manager: ₹ 5,594,497.29
3. Other Key managerial personnel (excluding 1 and 2 above): ₹ 41,545.64

#### II. Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors) as on January 31, 2017:

##### 1) Top 10 Holdings By Issuer

| Security name  | As % of net assets |
|--|--------------------|
| National Bank For Agriculture & Rural Development-CD     | 8.32%              |
| Piramal Enterprises Ltd-CP                               | 7.88%              |
| Manappuram Finance Ltd-CP                                | 7.88%              |
| Indiabulls Housing Finance Ltd-CP                        | 6.25%              |
| Deepak Fertilizers and Petrochemicals Corporation Ltd-CP | 4.16%              |
| Small Industries Development Bank Of India-CP            | 4.16%              |
| Muthoot Finance Ltd-CP                                   | 4.15%              |
| Reliance Capital Ltd-CP                                  | 4.13%              |
| JM Financial Products Ltd-CP                             | 4.10%              |
| RBL Bank Ltd-CD  | 3.75%              |

Investment in Top 10 scrips constitutes 54.78% (of net assets) of the portfolio as on January 31, 2017.

##### 2) Fund Allocation towards various Sectors

| Industry / Sector* | As % of net assets |
|--------------------|--------------------|
| Finance            | 54%                |
| Chemicals          | 8%                 |
| Banks              | 8%                 |
| Pharmaceuticals    | 8%                 |
| Construction       | 4%                 |
| Sovereign          | 1%                 |

\*Industry Classification as recommended by AMFI

Scheme's Portfolio turnover ratio is 4.55 times as on January 31, 2017.

To view the Scheme's latest monthly portfolio holding, please visit our website at <http://bnpparibasmf.in/Downloads/index.aspx>

## INSTRUCTIONS

### 1. GENERAL INFORMATION

a) The Application Form should be completed in ENGLISH in BLOCK LETTERS only. Please Tick (✓) in the appropriate box (□), where boxes have been provided. Please refer to the Scheme Information Document and the Key Information Memorandum carefully before filling the Application Form. All applicants are deemed to have accepted the terms of the Scheme Information Document subject to which this offer is being made and bind themselves to such terms of the Scheme Information Document upon signing the Application Form and tendering the payment.

#### b) Directions to investors applying under all Schemes of the Fund directly

All existing / prospective investors are requested to note and follow the below-mentioned directions while applying for the units of the Schemes of BNP Paribas Mutual Fund to enable the AMC to treat them as direct applications:

1. In case of receipt of application form having broker code as blank space at the time of processing and allotting the units by the Registrars, AMC will consider such application as "Direct". However, the Investors are requested to fill the blank space with the words such as "Not Applicable" or "Direct" so as to ensure that their application is processed as direct. Alternatively, investors can also strike off the blank space in the broker code field.
2. Investors subscribing under Direct Plan of a scheme will have to indicate "Direct Plan" in the application form. Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the scheme name, the Distributor code will not be considered and the application will be processed under Direct Plan. Further, where application is received for distributor plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan. If the Distributor Code is mentioned, but the Plan is not mentioned, the application shall be processed under Distributor Plan. Direct Plan shall be the default plan if the investor doesn't indicate any plan in the application form or in case of any ambiguity.
3. In case, the Broker code is pre-printed on the application form, investors (any / all in case of joint holders) must strike-off the code & countersign before submitting the application form at the applicable collections centres / Official Points of Acceptance of Transaction, if investors requires change in the broker / direct status.
4. Investors must provide their full signatures for the changes on the pre-printed application forms. Investors are also informed that if the changes / alterations carried out in the broker code are not accompanied with the full countersignature by the investor(s) on the said modification, the application will be processed with existing broker code details as recorded with the AMC. In case of multiple brokers preprinted on the transaction slip, the additional investment will be under "Direct", if the investor does not mention a specific broker.
5. The Registrar shall be diligent in effecting the received changes in the broker code within a reasonable period from the time of receipt of the written request from the investors at the designated Official Points of Acceptance of Transaction.
6. The detailed list of all the Official Points of Acceptance of Transactions will be available on the AMC website from time to time. The application received shall be considered "Direct" if they are submitted at the designated Official Points of Acceptance of Transactions, as notified from time to time.
7. If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application / transaction slip duly signed by investor(s).

Investors should note that the AMC, the Trustee and the Mutual Fund should not be held liable for any claims in case the application forms are not completed in the manner stated as above.

#### c) Zero Balance Folio

Investor can now opt for "Zero Balance Folio" for lumpsum investment and for Systematic Investment Plan (SIP) investment. Investors are requested to note that except "Investment & Payment Details" all information should be provided in the application form along with the necessary mandatory documents (including KYC) for creation of a folio. For SIP investment, "Zero Balance Folio" can be created only if the mode of installment is through Electronic Credit System (ECS), Auto Debit or Standing Instructions (SI). It should be noted that for SIP through "post dated cheque" zero balance folio cannot be created. Investor will not be required to submit cheque for first SIP installment; the installment will be triggered based on the ECS mandate / Auto debit instruction / Standing instruction given by the investor.

Investors should provide cancelled original cheque to substantiate the bank mandate details. If photocopy of the cheque is submitted, investors must produce original for verification of bank account details to the AMC branches. The original cheque shall be returned to the investors over the counter upon verification. Photocopy can also be attested by the concerned Bank.

#### d) Employees unique identity number (EUIIN)

1. Investors should provide EUIIN of the distributor, particularly in advisory transactions. This would assist in tackling the problem of mis-selling even if the employee / relationship manager / sales person leaves the employment of the distributor.

2. EUIIN is applicable to sales persons of non individual ARN holders only. Individual / Sole Proprietorship ARN holders should intimate AMFI-unit of CAMS in case they employ any Sales Person for selling mutual funds so that EUIIN could be allotted to them by AMFI. EUIIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular CIR/ARN-14/12-13 dated July 13, 2012.
3. Transactions that will be considered for this purpose are purchases, switches, SIP / STP / STP Triggers registration, Dividend Transfer Plan. Transactions that will be excluded are auto SIP / STP / SWP / STP Triggers Installments, Dividend Reinvestments, Bonus Units, Redemption, SWP Registration, Zero Balance Folio creation and Dividend Transfer Plan installments.
4. Distributors shall ensure that the sub broker affixes his / her ARN code and the EUIIN of the Sales Person in the columns separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder.
5. In case EUIIN is not provided or fails the validation test, ARN holder shall remediate the same within 90 days either by providing the EUIIN or submitting the declaration signed by the investor in the format prescribed by AMFI.
6. The remediation period of 30 days from the date of transaction for transactions submitted post June 30, 2014.
7. In case EUIIN or the above declaration is not provided within aforesaid remediation period, brokerage on the transaction shall be forfeited permanently.
8. AMC reserves the right to conduct a due diligence on the distributor's activities if it is observed that significantly large number of transactions is being submitted without EUIIN.
9. The EUIIN requirement shall be applicable for all modes of transactions including transaction through Stock Exchange Platform. The AMC shall comply with AMFI guidelines on this matter from time to time.

#### Applications under Power of Attorney / Body Corporate / Registered Society / Trust / Partnership

In case of an application under a Power of Attorney, the application should be accompanied by an original Power of Attorney or by a duly notarised copy of the Power of Attorney. The Mutual Fund / Trustee / AMC reserves the right to reject the application forms not accompanied by a Power of Attorney. Further, the Mutual Fund / Trustee / AMC reserves the right to hold Redemption proceeds in case the requisite documents are not submitted.

Prerequisites for registration of POA are as follows:

1. POA document should contain the clause for empowering investment in units of Mutual Fund / shares & securities and should bear signatures of Power of Attorney grantor & holder.
2. A copy of PAN of POA holder is mandatory. The KYC compliance requirements are mandatory for both the POA issuer (i.e. Investor) and the Attorney (i.e. the holder of POA), both of whom should be KYC compliant in their independent capacity.
3. POA holder should not be a resident of United States of America and Canada.
4. Further, in case an application has been signed by POA holder, and the POA documents are not valid for any of the reasons mentioned above, then the application shall be rejected.
5. The Mutual Fund / Trustee / AMC reserve the right to reject the application at the applicable NAV as on the date of rejection of subscription without any load, in case of non submission of requisite documents w.r.t. POA registration as above.
6. The above POA process is also applicable for Partnership firm / Corporate / Trust.
7. For all communication, the address of the Investors shall be captured.

For applications by a company, body corporate, eligible institutions, registered society, trusts, partnership or other eligible non-individuals who apply in the Scheme should furnish a certified copy of resolution or authority to make the application as the case may be, a list of specimen signatures of the authorised officials, duly certified / attested and a certified copy of the Memorandum and Articles of Association and / or bye-laws and / or Trust Deed and / or Partnership Deed and certificate of registration or any other document as the case may be. In case of a trust / fund, it shall submit a certified true copy of the resolution from the trustee(s) authorising such Subscriptions and Redemptions. The authorised officials should sign the application under their official designation.

#### e) Fax / Scan Submission

In order to facilitate quick processing of financial transactions and / or Financial transaction instructions of investors the AMC / Trustee / Mutual Fund may (at its sole discretion and without being obliged in any manner to do so and without being responsible and / or liable in any manner whatsoever) accept and process any financial transactions towards an Initial Purchase, additional purchase, SIP, STP, SWP along with necessary prerequisite supporting documents and / or instructions submitted by an investor / unit holder by facsimile (fax) / scan image by electronic mail (email) to designated email ids mentioned hereunder and the Investor / unit holder voluntarily and with full knowledge takes and assumes any and all risks associated therewith. The AMC / Trustee / Mutual Fund shall have no obligation to check or verify the authenticity or accuracy of fax / scan requests purporting to have been sent by the Investor and may act thereon as if same had been duly given by the Investors.

## INSTRUCTIONS (Contd..)

Wherever an investor chooses to submit fax / scan requests, investor should adopt the following safeguards to mitigate risks:

- i. The investor shall seek a confirmation from the AMC for successful receipt of the fax / scan submission by the AMC. The confirmation can be sought from respective branch of AMC, contact details of which are available on the website of Mutual Fund ([www.bnpparibasmf.in](http://www.bnpparibasmf.in)). In case, the transaction is not received by the AMC, then the AMC shall not be liable to indemnify the investor for such action.
- ii. The investor must submit the original within 24 hours of submission of the fax / scan request. Hence, Investors are requested to choose from amongst email ids based on nearest feasible location.
- iii. The investor must indicate by marking / stamping "Original for record purpose only" on the face of the original request submitted. It may be noted that if such indication is not there on the original request when submitted, there is a risk of processing the original again as a fresh transaction and the investor could be faced with adverse consequences.
- iv. It may be noted that in the event the processing is based on the fax / scan request, any discrepancy between the original and fax request may not be rectifiable.

The investor / unit holder shall indemnify the AMC / Trustee / Mutual Fund at all times and keep the AMC / Trustee / Mutual Fund indemnified and save harmless against any and all claims, losses, damages, costs, liabilities and expense (including without limitation, interest and legal fees) actually incurred, suffered or paid by the AMC / Trustee / Mutual Fund (directly or indirectly) and also against all demands, actions, suits, proceedings made, filed, instituted against the AMC / Trustee / Mutual Fund (by the investor or any third party), in connection with or arising out of or relating to the AMC / Trustee / Mutual Fund accepting and acting pursuant to, in accordance with or relying upon, any fax/ scan request signed by the Investor or authorised representative of the Investor.

The designated email ids for submission of the scan request shall be as follows:

Mumbai@bnpparibasmf.in; Delhi@bnpparibasmf.in; Pune@bnpparibasmf.in;  
Kolkatta@bnpparibasmf.in; Chennai@bnpparibasmf.in; Ahmedabad@bnpparibasmf.in;  
Bangalore@bnpparibasmf.in

### f) Unitholding option

1. Please fill up this section to indicate your preference of holding units in physical mode or dematerialized (demat) mode.
2. Please provide latest "Client Investor Master" or "Demat Account Statement" for opting units in demat form. If any of the document is not provided, the units by default will be allotted in physical mode.
3. In case there is any mismatch in the information provided in the application form, the details appearing on Client Investor Master or Demat Account statement shall be considered.
4. In case units are held in demat form, the request for redemption or any other non - financial request shall be submitted directly to the depositories participant (DP) and not to the AMC / RTA of the Fund. Further, investors will receive an account statement from their respective DPs and not from AMC / RTA of the Fund incase units are held in demat form.
5. Units will be credited in the demat account only based on fund realization.
6. The facility of availing the units in demat / remat form is available subject to such processes, operating guidelines and terms & conditions as may be prescribed by the DPs and the depositories from time to time.
7. Presently, the option to hold units in demat form shall not be available for systematic transactions like Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP) etc. Similarly, such option shall not be provided to the investors investing in the plans with daily, weekly & fortnightly dividend frequency. Such investors shall be mandatorily allotted units in physical form.
8. Pursuant to SEBI circular no. CIR/IMD/DF/9/2011 dated May 19, 2011 and AMFI communication no. 35P/MEM-COR/35/11-12 dated December 23, 2011 an option to hold units in demat form shall be available for Systematic Investment Plan (SIP) transactions. However, the units will be allotted based on the applicable NAV as per the SID and will be credited to investors demat account on weekly basis upon realization of funds. For e.g. units will be credited to investors demat account every Monday (or immediate next business day in case Monday happens to be a non -business day) for realization status received in last week from Monday to Friday. Investors are requested to note that if an investor has opted to hold units in demat form for Systematic Investment Plan (SIP) transactions, he will be able to redeem / transfer only those units which are credited to his demat account till the date of submission of redemption / transfer request. Accordingly, redemption / transfer request shall be liable to be rejected in case of non-availability of sufficient units in the investor's demat account as on date of submission of redemption / transfer request.
9. Incase details of more than one demat account are provided, the Fund may choose any one of the demat accounts for the purpose of verification and processing of application.
10. In case of valid demat account details provided, the bank account details, joint holding details, mode of holding (joint / anyone or survivor) in case of joint holdings, address details and nominee details as per the demat account shall prevail over the corresponding details provided on the application form.

11. Investors applying as Joint holders, need to provide Demat details of their Joint Demat Account.

### g) Email Communication

1. All investors are requested to note that as a part of "Go Green" initiative, electronic mail (e-mail) shall be the default mode of communication for those investors whose e-mail id is available in the database of BNP Paribas Mutual Fund. In case, email address is not available, the AMC shall send all the communication in physical copies at the address available in the records of the AMC. In case the unitholder submits a request to receive any communication in physical mode then AMC shall provide the same within five working days from the date of receipt of request.
2. In case an investor wishes to receive communication via physical copies, please tick the option provided.
3. In accordance with SEBI Circular No. Cir/IMD/DF/16/2011 dated September 8, 2011, in order to bring cost effectiveness in printing and dispatching the annual reports or abridged summary thereof, the following shall be applicable:
  - In case the unit holder has provided the email address, the AMC shall send the scheme annual reports or abridged summary only via email.
  - In case email address is not available, the AMC shall send the physical copies of these reports at the address available in the records of the AMC.
  - In case of any request from the unit holder for physical copies notwithstanding their registration of email addresses, AMC shall provide the same within five working days from the date of receipt of request.

## 2. APPLICANT'S INFORMATION

Individual Applicants must provide all the details for all applicants under Applicant's Information in Section 4 of the Application Form.

- a) Name and address must be given in full (P.O. Box Address alone is not sufficient). If the Name given in the application does not match the name as appearing on the PAN Card / Aadhaar card, authentication, application may be liable to get rejected or further transactions may be liable to get rejected. In case of NRIs / FILs, 'Overseas Address' is mandatory. Investors are requested to note with effect from April 16, 2012, self attested copies of following documents shall be submitted for change in address along with original for verification at any of the AMC branches / ISCs of SBFS. In case the original of any document is not produced for verification, then the copies should be properly attested / verified by entities authorized for attesting / verification of the documents.

For KYC not complied folios:

- Proof of new address and
- Proof of identity (POI): Only PAN card copy shall be considered if PAN is updated in the folio or other proof of identity if PAN is not updated in the folio.

For KYC complied folios:

- Proof of new address (POA) and
- Any other document / form that the KYC Registration Agency (KRA) may specify form time to time.

The AMC reserves the right to collect proof of old address on a case to case basis while effecting the change of address. The self attested copies of above stated documents shall be submitted along with original for verification at any of the AMC branches / ISCs of SBFS. The original document shall be returned to the investors over the counter upon verification. In case the original of any document is not produced for verification, then the copies should be properly attested / verified by entities authorized for attesting / verification of the documents. List of admissible documents for POA & POI mentioned in SEBI Circular MIRS/SE/Cir-21/2011 dated October 05, 2011 shall be considered.

- a) If the applicant is minor, the minor shall be the first and the sole holder in an account. Minor Application with joint holding shall be rejected. There shall not be any joint accounts with minor as the first or joint holder. Also, minor cannot appoint a nominee. Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. It is mandatory to provide information on the relationship / status of the guardian as father, mother or legal guardian in the application form. In case of natural guardian, a document evidencing the relationship has to be submitted. If the above documents are not submitted, the application will be liable to be rejected. The account of the minor shall be frozen for operation by the guardian on the day the minor attains the age of majority and no transactions shall be permitted. The request for change in status of the accounts shall be submitted in the required form along with the requisite documents. AMC shall suspend all standing instructions like SIPs, SWPs, STPs, etc. (registered post April 01, 2011) from the date of the minor attaining majority, by giving adequate prior notice. Please refer Checklist for the documents to be submitted for establishing date of birth or relationship status incase of application on behalf of minor.
- a) Investors are requested to note that prior to minor attaining majority, the Fund shall send an advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents to change the status of the account to "major". It is to be noted that the guardian cannot undertake any financial and non-financial transactions including fresh registration of Systematic Transfer Plan (STP), Systematic Investment Plan (SIP) and Systematic Withdrawal Plan (SWP) after the date of the minor attaining majority till the time the above application form along with the prescribed documents are submitted to the Fund. The Fund will

## INSTRUCTIONS (Contd..)

continue to process the standing instructions like SIP, STP, SWP ETC. registered prior to April 01, 2011 and will send an advance notice to the guardian and the minor stating that the existing standing instructions will continue to be processed beyond the date of the minor attaining majority till the time a instruction from the major to terminate the standing instruction is received by the Fund along with the prescribed documents. Such standing instruction shall be terminated within 30 days from the date of receiving the instruction. Mutual funds shall send such advance notice by email or mobile alerts where email ids and mobile numbers are available with the Fund. The request form with details of the list of standard documents to change account status from minor to major is available on our website [www.bnpparibasmf.in](http://www.bnpparibasmf.in). The applications, if not conforming to the above requirements, shall be liable to be rejected.

- ai) When there is a change in guardian either due to mutual consent or demise of the existing guardian, a request along with requisite documents shall be sent to the Fund. The new guardian must be a natural guardian (i.e. father or mother) or a court appointed legal guardian. The request form along with the list of requisite documents is available on our website [www.bnpparibasmf.in](http://www.bnpparibasmf.in). The applications, if not conforming to the above requirements, shall be liable to be rejected.
- av) In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income / networth and Politically Exposed Person (PEP)\* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange / Gaming / Money Lending, etc., (applicable for first / sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

**The said details are mandatory for both Individual and Non Individual applicants.**

\*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial / military officers, senior executives of state owned corporations, important political party officials, etc.

bi) **PAN Information**

**It is mandatory for investors (except ASBA investors) making an application for investment to furnish copy of PAN. A copy of PAN has to be self certified by the investor and the original PAN card should be made available for verification in the manner and to the entity as may be specified by the BNP Paribas Asset Management India Private Limited (AMC) from time to time.**

- If your investment is being jointly made with other co-applicants, the PAN for each of the co-applicants should be furnished along with a copy of a document, evidencing the PAN for each of the co-applicant.
- Where the person making an application is a minor, Guardian PAN copy is mandatory even if minor has his / her own valid PAN.
- Applications, where the details of the documents submitted as evidence for PAN do not match with the Applicants / existing information available with BNP Paribas Mutual Fund, will be rejected.
- In case of Micro Investment, under SIP or lumpsum investments where aggregate of installments in a rolling 12 month period or in a financial year i.e. April to March does not exceed ₹ 50,000 (to be referred as "Micro Investments" hereinafter) shall be exempted from the requirement of PAN. This exemption will be applicable only to investments by individuals, NRIs, minors, joint holders and sole proprietary firms (but not including PIOs, HUFs and other categories). Those investors (including Joint holders) subscribing to a Micro Investments will be required to submit a self attested photocopy / attested by the ARN holder (mentioning the ARN) of any one of the current and valid documents as mentioned on the page no. 58 along with their applications. Further, these Investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authorities (KRA). Eligible Investors must quote PAN Exempt KYC Reference Number (PEKRN) issued by the KRA under the KYC acknowledgement letter in the application form and submit a copy thereof along with the application form. In case the applicant is a minor, PAN /PEKRN details of the Guardian shall be submitted, as applicable. Eligible Investors (i.e. the First Holder) must not possess a PAN at the time of submission of application form. Eligible investors must hold only one PEKRN issued by any one of the KRAs.
- The AMC will reject an application if it is found that the registration of the application will result in the aggregate of Micro Investments to exceed ₹ 50,000 in a financial year or if there are deficiencies in the supporting documents. In case the first SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the SIP registration will be ceased for future installments. No refunds will be made for the units already allotted. Investor will be sent a communication to this effect. However, redemptions shall be allowed.

Applications not complying with the above requirements will be deemed to be incomplete and will be rejected.

bii) **Know Your Client (KYC) information / documents**

**Investors are requested to note that with effect from January 01, 2011, KYC compliance is mandatory for all the investments irrespective of amount of application.** SEBI vide circular no. MIRSD/SE/Cir-21/2011 dated October 5, 2011 has mandated that the uniform KYC form and supporting documents shall be used by all SEBI registered intermediaries in respect of all new clients from January 1, 2012. Further, SEBI vide circular no. MIRSD/Cir-23/2011 dated December 2, 2011, has developed a mechanism for centralization of the KYC records in the securities market to bring about uniformity in securities markets. Accordingly, KYC registration is being centralised through KYC Registration Agencies (KRA) registered with SEBI. Thus each investor has to undergo a

uniform KYC process only once in the securities market and the details would be shared with other intermediaries by the KRA. Investors are requested to note the following:

**For existing investors as on December 31, 2011:**

It may be noted that investors who have successfully completed the KYC process with CVL for investments in mutual funds (in the old format) can continue to use the KYC acknowledgment / confirmation issued to them for mutual fund investments. However, it will not be applicable for investments in with other intermediaries in the securities market.

- **Additional KYC requirements:**

Investors are requested to note that with effect from December 03, 2012, investors who have completed their KYC through CDSL Ventures Ltd (CVL) upto December 31, 2011 wish to invest in a new mutual fund where they have not invested earlier (or not opened a folio earlier), they will be required to submit 'missing / not available' KYC information and complete the 'In Person Verification' (IPV) requirements.

- In case of Individual investors, the following details need to be submitted:

1. Father's / Spouse's Name,
2. Marital Status,
3. Nationality,
4. Gross Annual Income or Net worth (not older than 1 year)
5. In-Person Verification (IPV)

Individual investors are requested to fill in 'KYC Details Change Form' issued by CVL - KRA and also available on the AMC website [www.bnpparibasmf.in](http://www.bnpparibasmf.in). This duly filled form along with IPV completed status can be submitted along with purchase application, to the new mutual fund where the investor is investing or opening an account. Alternatively, investors may also approach their existing mutual funds at any investor service centre for completion of this KYC requirement.

- In case of Non Individuals, KYC is required to be done again with any SEBI registered KRA due to significant changes in KYC requirements, else they will not be able to invest with a new mutual fund from the effective date.

**For new investors in mutual funds from January 01, 2012:**

Investors who have not completed the KYC process with CVL for investments in mutual funds in the old format, has to comply with new uniform KYC norm (including submission of new KYC forms along with relevant supporting documents & and in-person verification. Please refer to our website [www.bnpparibasmf.in](http://www.bnpparibasmf.in) for KYC forms). The KYC acknowledgment issued by the KRA can be used for all investments in securities market, including mutual funds.

Investors who have completed KYC process through any of the intermediaries on or after January 01, 2012 and hold a valid acknowledgement issued by KRA for the same may invest with any of the mutual funds. However, BNP Paribas Mutual Fund / AMC / Trustee reserves the right to carry out enhanced due diligence based on its internal client due diligence policy.

Investors are requested to further note that:

1. **Minors:** In respect of a Minor applicant, the Guardian should obtain a KYC confirmation / acknowledgement in his / her own name and quote it while investing in the name of the minor. The Minor, upon attaining majority, should immediately apply for a KYC Confirmation in his / her own capacity and intimate it to BNP Paribas Mutual Fund, in order to transact further in his / her own capacity. Guardian's KYC Compliance is mandatory even if minor has his / her own KYC acknowledgement.
2. **Power of Attorney (PoA) Holder:** Investors desirous of investing through a PoA must note that the KYC confirmation / acknowledgement is required to be obtained by both the PoA issuer (i.e. Investor) and the Attorney (i.e., the holder of PoA).
3. **Transmission (in case of death of the unit holder):** If an individual becomes a BNP Paribas Mutual Fund Investor due to an operation of law, e.g., transmission of units upon death of an Investor, the claimant will be required to obtain KYC confirmation / acknowledgement. If the deceased is the sole applicant, the claimant should submit his / her KYC confirmation / acknowledgement along with the other relevant documents to effect the transmission of units in his / her favour.

Non-individual investors are requested to note that they have to furnish mandated certain additional documents as stated under SEBI circular no. MIRSD/SE/Cir-21/2011 dated October 5, 2011 otherwise application shall be liable to be rejected. It shall be further noted that if it comes to the knowledge of the AMC subsequently that the KYC of the applicant has failed then further purchase, switch and other related transactions shall not be permitted.

AMC reserves the right to validate the investors details with the records of KRA, income tax database; as the case may be either before allotting the units or subsequently. AMC further reserves the right to refund the investments made subsequently, if it is found that a valid KYC confirmation / acknowledgement is not provided & valid PAN is not quoted on such application(s), refund will be done at applicable NAV, subject to payment of applicable exit load.

It may be noted that the Mutual Fund, Trustees or AMC shall not be liable for any failure to perform its obligations, to the extent that such performance has been delayed, hindered or prevented by systems failures, network errors, delay or loss of data / document during transit, due to interoperability issues amongst multi-intermediaries involved therein.

## INSTRUCTIONS (Contd..)

For those who have updated the KYC confirmation / acknowledgement with the Fund, it may be noted that communication with respect to change in address should be sent to KRA & not to the Registrar.

Investors investing from July 1, 2014 are requested to provide additional KYC details viz. net-worth / Income details, occupation, kind of business, etc. This information shall be mandatory. AMC reserves the right to reject the application in case these details are not provided.

### Operationalisation of CKYC:

Pursuant to SEBI circular no. CIR/MIRSD/66/2016 dated July 21, 2016, SEBI Circular no. CIR/MIRSD/120/2016 dated November 10, 2016 and AMFI Best Practices Guidelines Circular No. 68/2016-17 pertaining to implementation of Central KYC ('CKYC') norms, new individual investors investing in Schemes of the Fund are requested to note the following effective February 01, 2017:

1. New individual investors who have never done KYC under KYC Registration Agency (KRA) regime will be required to fill in the new CKYC forms while investing with the Fund. (It may be noted that the requirement of PAN shall be mandatory for completing CKYC process).

Currently, CKYC is not applicable for Non-Individual investors and Non-Individual investors shall continue to use old KRA KYC forms.

2. If any new individual investor uses the old KRA KYC form, which does not have all information needed for registration with CKYC, then such customer will be either be required to fill the new 'CKYC Form' or provide additional/missing information using a 'Supplementary CKYC Form'.
3. Investors who have already completed CKYC & have obtained their KYC Identification Number (KIN) from CKYC platform can invest in the Fund using their KIN. In case of minors, KIN of the Guardian shall be applicable. However, in case the PAN of the investor is not updated in the CKYC system, then the investor will be required to provide a self-certified copy of PAN card.

The aforesaid forms are available on our website ([www.bnpparibasmf.in](http://www.bnpparibasmf.in)).

### biii) Country of Tax Residence and Foreign Tax ID number:

Tax Regulations require us to collect information about each Investor's tax residency. Please indicate all countries in which you are resident for tax purposes and the associated Tax ID numbers. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes with updated self-declaration within 30 days. If you are a US citizen or resident, please include United States in this related field along with your US Tax Identification Number. If you declare more than one country of Tax Residence, please provide the exhaustive list in free form along with the associated Tax ID numbers with the application form.

### biv) FATCA & CRS Instructions:

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

| FATCA & CRS Indicia observed (ticked)                     | Documentation required for Cure of FATCA / CRS indicia   |
|---|--|
| U.S. place of birth                                       | <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;</li> <li>2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND</li> <li>3. Any one of the following documents:<br/>Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth</li> </ol> |
| Residence / mailing address in a country other than India | <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>2. Documentary evidence (refer list below)</li> </ol>   |
| Telephone number in a country other than India            | <p><b>If no Indian telephone number is provided</b></p> <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>2. Documentary evidence (refer list below)</li> </ol>   |

|  | <b>If Indian telephone number is provided along with a foreign country telephone number</b>  |
|--|--|
|  | <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR</li> <li>2. Documentary evidence (refer list below)</li> </ol> |
| Telephone number in a country other than India | <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>2. Documentary evidence (refer list below)</li> </ol>                 |

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body\*
2. Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)

\* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

### bv) Non acceptance of Third Party payment under the Scheme:

Definition of Third Party payment:

- a) When payment is made through instruments issued from an account other than that of the beneficiary investor, the same is referred to as Third Party payment.
- b) It is clarified that in case of payments from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made.

Exceptions:

Third Party payments shall be rejected except in the following exceptional situations:

- (i) Payment by Parents / Grand-Parents / related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000/- (each regular purchase or per SIP installment). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of the Fund in that folio.
- (ii) Custodian on behalf of an FII or a client.
- (iii) Payment by Employer on behalf of employee under Systematic Investment Plans or lump sum / one time subscription through Payroll deductions or deductions out of expense reimbursements. The AMC shall exercise extra due diligence in terms of ensuring the authenticity of such arrangements from a fraud prevention and KYC perspectives.
- (iv) Payment by the AMC to an empanelled distributor on account of omission/incentive etc. in the form of Mutual Fund Units of the schemes managed by the AMC through SIP or lump sum / one-time subscription, subject to compliance with SEBI regulations and Guidelines issued by AMFI, from time to time.
- (v) Payment by a Corporate to its Agent/Distributor /Dealer (similar arrangement with principal-Agent relationship), on account of commission or incentive payable for sale of its goods/services, in form of the Mutual fund units through SIP or lump sum / one-time subscription, subject to compliance with SEBI regulations and Guidelines issued by AMFI, from time to time.

For iv & v above, AMC shall exercise extra due diligence in terms of ensuring the authenticity of such arrangements from a fraud prevention and ensure compliance with provisions of PMLA, 2002 regarding prevention of money laundering etc.

If applicant belongs to any of the above categories, please fill in the required block (Block No. 7) in the Application Form and also provide KYC acknowledgement of the Third Party along with declaration in required format.

Applications with Demand Drafts should be supported by a Banker's Certificate as per format attached.

Applications with Third Party payments not conforming to the above requirements shall be rejected and the amounts received shall be refunded.

Transfer letter format to clearly state the source Bank Name and Account Number.

- c) Please provide the name of the Guardian in case of investments on behalf of minor or the name of the Contact Person in case of investments by a Company / Body Corporate / Partnership Firm / Trust / Society / FIs / Association of Persons / Body of Individuals.
- d) Applications not complying with the above requirements will be deemed to be incomplete and will be rejected.

bvi) Investors are requested to note that transaction requests such as subscriptions, redemptions, switches etc. submitted by the investor must be correct, complete, clear and unambiguous in all respects and should conform to the procedure / documentation requirements prescribed for such requests, failing which the Trustee / AMC reserves the right to reject the same and in such a case the Trustee / AMC will not be responsible for any consequence therefrom.

## INSTRUCTIONS (Contd..)

If the investor submits the transaction request in a format other than the one prescribed by AMC, the same shall also need to conform to the above requirements. Further, in such cases, it would be implied that the investor has read and understood the contents of the Statement of Additional Information, respective Scheme Information Document, and Key Information Memorandum and Application form of the Fund and that the investor agrees to abide by the provisions thereof including such other terms and conditions as may be amended from time to time.

### 3. BANK ACCOUNT DETAILS (MANDATORY)

- a) Applicants should provide the name of the bank, branch address, account type and account number of the Sole / First Applicant. Please note that as per SEBI guidelines, it is mandatory for investors to mention their bank account details in the Application Form. Applications without this information will be deemed to be incomplete and will not be accepted by the Mutual Fund.
- b) Investments or redemptions should be made from / to domestic accounts i.e. accounts within Indian Banking system. Investors should make subscription of units of the Fund in their own name and through their own bank accounts only and no third party subscription will be allowed.
- c) In addition to providing the redemption bank mandate, **it is mandatory for applicants to mention their Bank Account number, instrument number and the Bank name from which the subscription is funded, in their each Subscription request.**
- d) **Direct Credit Facility**  
We offer a Direct Credit Facility with the following banks for payout of Dividend entitlement / Redemption proceeds:

|                             |                         |                     |               |
|-----------------------------|-------------------------|---------------------|---------------|
| Royal Bank of Scotland N.V. | BNP Paribas Bank        | Citibank N.A        | Deutsche Bank |
| Bank                        | HDFC Bank               | HSBC                | ICICI Bank    |
| IDBI Bank                   | ING Vysya Bank          | Kotak Mahindra Bank |               |
| Axis Bank                   | Standard Chartered Bank | Yes Bank            |               |

Direct Credit facility (RTGS / NEFT or such other means introduced by RBI from time to time) shall be considered as default mode of payment i.e. for your dividend entitlement / redemption proceeds. This facility will be provided to unitholders having bank accounts with other banks as and when the same is facilitated by AMC. Please provide correct IFSC, NEFT, MICR code for ensuring correct direct credit into your account.

Investors should provide original of any of the following documents of the bank account to be registered:

- Cancelled original cheque of the bank mandate with first unitholder name and bank account number printed on the face of the cheque OR
- Self attested copy of bank statement OR
- Bank pass book page with account number, account holder's name and address with current entries not older than 3 months OR
- Bank Letter duly signed by branch manager / authorized personnel. Bank letter should be on its letterhead certifying that the Unit holder maintains / maintained an account with the bank, the bank account information like PAN, bank account number, bank branch, account type, the MICR code of the branch & IFSC Code.

If photocopies of the above stated documents are submitted, investors must produce original for verification of bank account details to the AMC branches / ISCs of SBFS. The original bank account statement or passbook shall be returned to the investors over the counter upon verification. Photocopies can also be attested by the concerned Bank. It should be noted that attestation by the Bank should be done only by the branch manager or authorized personnel of the Bank with the full signature, name, employee code, bank seal and contact number stated.

If you wish to receive cheque payout instead of direct credit facility, please indicate your preference for the same by ticking in the box provided.

The investor shall not hold AMC / Fund liable for any non-receipt or delay or deficiency in service by the banks executing direct credit or due to any incorrect bank account details provided by the bank.

### e) Process for change in Bank Account

Investors shall submit duly filled in "Change of bank mandate & Registration of multiple bank accounts form" at any of the Official Point of Acceptance of Transactions (OPAT) of the Fund. The request form alongwith the list of requisite documents is available on our website [www.bnpparibasmf.in](http://www.bnpparibasmf.in).

1. The original of any of the following documents of the new bank account shall be required:
  - (i) Cancelled original cheque of the new bank mandate with first unitholder name and bank account number printed on the face of the cheque OR
  - (ii) Self attested copy of bank statement OR
  - (iii) Bank pass book page with account number, account holder's name and address with current entries not older than 3 months OR
  - (iv) Bank Letter\* duly signed by branch manager / authorized personnel
2. Self attested photocopy of any of the above stated document of the old bank account with first unitholder name and bank account number printed on the face of the cheque. In case, old bank account is already closed, a duly signed and stamped original letter from such bank\* on the letter head of bank, confirming the closure of said account shall be required.

\* Bank letter should be on its letterhead certifying that the Unit holder maintains / maintained an account with the bank, the bank account information like PAN, bank account number, bank branch, account type, the MICR code of the branch & IFSC Code.

If photocopies of the above stated documents are submitted, investors must produce original for verification of bank account details to the AMC branches / ISCs of SBFS. The original bank account statement or passbook shall be returned to the investors over the counter upon verification. Photocopies can also be attested by the concerned Bank. It should be noted that attestation by the Bank should be done only by the branch manager or authorized personnel of the Bank with the full signature, name, employee code, bank seal and contact number stated on it.

There shall be a cooling period of not more than 10 calendar days for validation and registration of new bank account. Post the completion of such validation, redemption proceeds shall be released within a period of 10 working days.

In case, the request for change in bank account information being invalid / incomplete / dissatisfactory in respect of signature mismatch / document insufficiency / not complying with any requirements as stated above, the request for such change will not be processed. Redemptions / dividend payments, if any, will be processed as per specified service standards and the last registered bank account information will be used for such payments to Unit holders.

### f) Registration of multiple bank accounts

Unitholders can also register multiple bank accounts in his folio. The "Change of Bank Mandate & Registration of Multiple Bank Account Form" shall be used by the unitholders for change in existing bank mandate or for registration of multiple bank account details for all investments held in the specified folio (existing or new). Individuals / HUF / Sole Proprietor firm can register upto 5 different bank accounts for a folio by using this form. Non individuals can register upto 10 different bank accounts for a folio. The request form alongwith the list of requisite documents is available on our website [www.bnpparibasmf.in](http://www.bnpparibasmf.in).

### 4. INVESTMENT DETAILS & PAYMENT DETAILS

- a) Applicants should indicate the Plan / Option and Dividend Mode, for which the application is made, by indicating the choice in the appropriate box provided for this purpose in the Common Application Form.
- b) In case Applicants wish to opt for both the Plans / Options, separate Application Forms will have to be filled.
- c) If no indication of plan / option / facility is given, the provision as stated in the Scheme Information Document of the respective scheme shall be applicable.
- d) The application amount can be tendered by cheque / demand draft payable locally at any of the ISCs and designated collection centres. Application Forms accompanied with outstation cheques / stockinvests / postal orders / money orders / cash / post dated cheques (except for SIP) will not be accepted. The AMC reserves the rights to refund such application money if deposited by the Collecting Banks in inadvertently.  
All cheques and bank drafts must be drawn in the name of the respective Scheme / Plan as applicable and crossed "Account Payee only". For e.g. **"BNP Paribas Money Plus Fund"**, in case of BNP Paribas Money Plus Fund. A separate cheque or bank draft must accompany each Application.

Investors residing in Centres where the Investor Service Centres / Collection Centres are not located are requested to make payment by demand drafts payable at the place where the application is submitted.

Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges levied by State Bank of India, if a demand draft issued by a bank in a place where there is no ISC / Collection Centre provided for the investors. In all other cases, the AMC will not accept any request for refund of demand draft charges.

### e) Cheque Bouncing

In cases where the cheque(s) given by the investor for the application made by him / her in the Scheme, are bounced (i.e. not realised) on presentation to the Bank on which it is drawn, the AMC / Trustee / Mutual Fund reserves the right to reject the application and also restrain the said investor from making any further investment in any of the Schemes of the Mutual Fund. The AMC / Trustee / Mutual Fund will not be responsible in any manner whatsoever for any losses / damages (whether direct, indirect or otherwise including opportunity loss) caused to the investor as result of the AMC / Trustee / Mutual Fund rejecting the application on the basis of cheque bouncing and also for restraining the investor from making any further investment in any of the Schemes of the Mutual Fund.

### f) Direct Plan

1. Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor.
2. Plans / Options / Sub-options: All Plans / Options / Sub-Options offered under the Schemes will also be available for subscription under the Direct Plan.
3. Eligible investors / modes for applying: All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Schemes are eligible to subscribe under Direct Plan. Investments under Direct Plan can be made through various modes offered for investing directly with BNP Paribas Mutual Fund (except Stock Exchange Platform(s) and all other Platform(s) where investors' applications for subscription of units are routed through Distributors).

## INSTRUCTIONS (Contd..)

4. Investors subscribing under Direct Plan of a scheme will have to indicate "Direct Plan" in the application form. Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the scheme name, the Distributor code will not be considered and the application will be processed under Direct Plan. Further, where application is received for distributor plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan. If the Distributor Code is mentioned, but the Plan is not mentioned, the application shall be processed under Distributor Plan. Direct Plan shall be the default plan if the investor doesn't indicate any plan in the application form or in case of any ambiguity.

**g) NRIs, Persons of Indian Origin, FIIs**

Payment by FIIs / NRIs / Persons of Indian Origin must be made by cheque out of funds held in the NRE / FCNR account, in the case of purchases on a repatriation basis or out of funds held in the NRO / NRE / FCNR account, in the case of purchases on a non-repatriation basis.

**5. NOMINATION INSTRUCTIONS**

- a) Nomination will be maintained at the folio or account level and shall be applicable for investments in all schemes in the folio or account.
- b) In case of joint holders, all joint holders should sign the request for nomination / cancellation of nomination, even if the mode of holding is not "joint".
- c) Nomination form cannot be signed by Power of attorney (PoA) holders. In case PoA holder provides nomination form, such application will be processed. However information regarding nomination will not be considered. Such information will be ignored.
- d) Every new nomination for a folio / account will overwrite the existing nomination.
- e) Nomination shall be mandatory for all the new folios / accounts opened by individuals (with single holding) and sole proprietor. Investors who do not wish to nominate must mention about their non-intention to nominate and sign the relevant section under nomination.
- f) Nomination is not allowed in a folio held on behalf of a minor. Hence any information provided shall not be considered in the folio.
- g) Pursuant to the SEBI Regulation 29A, the AMC is introducing Multiple Nomination Facility to enable Unitholders to nominate more than one person in whom the Units held by the Unitholder shall vest in the event of the demise of the Unitholder. Accordingly, Multiple nominees can be designated per folio maximum upto 3 nominees.
- h) The investor has an option to provide nomination details of maximum upto 3 nominees.
- i) The Unitholders are further informed that additional nominee(s) can be added to the existing nominee(s) in their investments with BNP Paribas Mutual Fund. Such request form is available on the website.
- j) The nomination can be made only by individuals including proprietorship firms applying for / holding Units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate.
- k) A minor can be nominated and in that event, the name and address of the Guardian of the minor Nominee shall be provided by the Unitholder. Nomination can also be in favour of Central / State Government, a local authority, any person designated by a virtue of his office or a religious or a charitable trust.
- l) The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force from time to time.
- m) Nomination in respect of the Units stands rescinded upon the Redemption / transfer of Units.
- n) The nomination facility extended under the Scheme is in accordance with the SEBI Regulations and subject to other applicable laws. Transmission of the Units in the name of the nominee shall discharge the Mutual Fund, the Trustee and the AMC from any liability towards the successor(s) / heir(s) of the deceased Unitholder(s). However, the Mutual Fund / Trustee / AMC may request the nominee to execute suitable indemnities in favour of the Mutual Fund and / or the Trustee and / or the AMC, and to submit necessary documentation to the satisfaction of the Mutual Fund before transmitting Units to his / her favour. Nominations received in the form prescribed by the AMC alone shall be valid.
- o) Unitholders are requested to note that in case of multiple nominations, it is mandatory to clearly indicate the percentage of allocation in favour each of the nominees against their Name and such allocation should be in whole numbers without any decimals making a total of 100 percent. It may be noted that if the percentage allocation is not mentioned or is left blank or is ambiguous, in the Nomination Form then the AMC shall apply the default option of equal distribution among all the nominees as designated by the deceased Unitholder.
- p) Request form for changing the Nomination / Cancellation of Nomination is available on our website [www.bnpparibasmf.in](http://www.bnpparibasmf.in). The applications, if not conforming to the above requirements, shall be liable to be rejected.

The nomination details as registered with the Depository Participant shall be applicable to unitholders who have opted to hold units in Demat mode.

**6. DECLARATION AND SIGNATURES**

Signatures can be in English or in any Indian language. Thumb impressions must be attested by a Magistrate / Notary Public under his / her official seal. In case of HUF, the Karta will sign on behalf of the HUF.

**7. WHO CANNOT INVEST**

It should be noted that the following persons cannot invest in the Scheme:

1. Any person who is a foreign national.
2. Overseas Corporate Bodies (OCBs) shall not be allowed to invest in the Scheme. These would be firms and societies, which are held directly or indirectly but ultimately to the extent of at least 60% by NRIs and trusts in which at least 60% of the beneficial interest is similarly held irrevocably by such persons (OCBs).
3. Non-Resident Indians residing in the United States of America and Canada.
4. Individual investors defined as US persons which shall include the following:
  - Designation of the unitholder as a US citizen or resident; or
  - Unitholder with a US place of birth; or
  - Unitholder with a current US residence address or US mailing address (including a US post office box); or
  - Unitholder with a current US telephone number (regardless of whether such number is the only telephone number associated with the account holder); or
  - Unitholder with a current power of attorney or signatory authority granted to a person with a US address as above;
    - (i) Accordingly, no fresh purchases in the Scheme would be allowed to be made by US persons as defined above.
    - (ii) In case AMC / Fund subsequently identifies, that the subscription amount has been received from US person, then the AMC / BNP Paribas Mutual Fund at its sole discretion shall reject the application at the applicable NAV (at the time of investment) without any load, within 10 working days of identification of their status as US person.
    - (iii) If an existing unit holder(s) subsequently becomes a US person, then such unit holder(s) will not be able to purchase any additional Units in any of the Schemes of BNP Paribas Mutual Fund. In case the AMC / Fund subsequently identifies, that the subscription amount has been received from US person, either through its own source or through intimation from the investor, then the AMC/ Fund at its sole discretion shall redeem all the existing investment at the applicable NAV on date of redemption, subject to exit load, if any, within 10 working days of identification of such change.

It is further clarified that the provisions in clause (ii) and (iii) as above shall, *mutandis mutandis*, also be applicable for investments received from Non-Resident Indians / PIOs residing in Canada.

5. NRIs residing in Non-Compliant Countries and Territories (NCCTs) as determined by the Financial Action Task Force (FATF), from time to time.
6. Religious and charitable trusts, wakfs or other public trusts that have not received necessary approvals and a private trust that is not authorised to invest in Mutual Fund schemes under its trust deed. The Mutual Fund will not be responsible for or any adverse consequences as a result of an investment by a public or a private trust if it is ineligible to make such investments.
7. Investors are requested to note that the Fund/AMC and its Group companies (in India and outside India) are required to and may take any action to meet their obligations pertaining to (i) laws or international guidance and internal policies or procedures, (ii) any demand or request from authorities or reporting, disclosure or other obligations under laws, and (iii) laws requiring us to verify the identity of our customers relating to or in connection with the detection, investigation and prevention of money laundering and other financial crimes in accordance with the laws, regulations and requests of public and regulatory authorities operating in various jurisdictions which relate to money laundering and other financial crimes.

Accordingly, the Fund/AMC may take, and may instruct (or be instructed by) any of its Group Companies to take, any action which it or such other member, in its sole and absolute discretion, considers appropriate to take in accordance with all such laws, regulations and requests. Such action may include but is not limited to (a) combining investor information with other related information in the possession of the BNP Paribas Group, and/or (b) making further enquiries as to the status of a person or entity, whether they are subject to a sanctions regime, or confirming investor's identity and status (c) share information on a confidential basis with such Group offices whether located in India or overseas in relation to prevention of money laundering and other financial crimes.

Further, the Fund/AMC shall restrict investments from investors, in case such investor and/or its/their Related Parties<sup>(4)</sup> who are subject to sanctions, or have any of their addresses or residing in major sanctioned countries and such other sensitive countries. The AMC/the Fund may also seek additional information/perform additional due diligence for such investor (and/or its/their Related Parties) having any of their addresses or residing in any of the sensitive countries. Considering the above obligations, the Fund and/or AMC shall be entitled to:

- (a) (i) reject any application/transaction, prevent further transactions\* by a unit holder; (ii) to mandatorily redeem the units held by the unit holder at the applicable NAV prevalent at the time of such redemption and/or (iii) reject the transaction/redemption/freeze or seize Unit holder's account. \*(including Systematic Investment Plans, Systematic Transfer Plans and Dividend Transfer Plans)/additional purchases/switches in any Schemes of BNP Paribas Mutual Fund. In case AMC/the Fund subsequently identifies, that the subscription amount or any existing SIP subscription has been received from investors (i.e. NRIs/PIOs/OCIs/FPIs) residing and/or having place of business in such countries, either

## INSTRUCTIONS (Contd..)

through its own source or through intimation from the investor, then the AMC/ the Fund at its sole discretion may take any necessary action enumerated in point (i) till (iii) as above including cancelling any such existing SIP instruction. Also, if an existing Unit Holder(s) subsequently becomes a resident/citizen/establishes a place of business in such countries, then such Unit Holder(s) may not be able to purchase any additional Units in any of the Schemes of BNP Paribas Mutual Fund.

- (b) Seek additional information/perform additional due diligence on subscriptions (including fresh or existing SIP applications) received from investors. Accordingly, the AMC shall (i) scrutinize and verify the identity of the investor (including its/ their Related Parties), unit holder, person making the payment on behalf of the investor and the source of the funds invested, to be invested in the Fund; (ii) ask for the required additional information to substantiate that the source of investments is genuine; (iii) In case of any existing SIP in any scheme of BNP Paribas Mutual Fund, the AMC reserves the right to take necessary actions in line with point (i) and (ii) in this para. In case AMC is not satisfied with the details provided available, the AMC/Mutual Fund at its sole discretion shall reserve the right to reject the application/ transaction received and/or force redeem the investments at the applicable NAV (at the time of investment) without any load and/or cancel the fresh or existing SIP instruction and/or reject the SIP subscription amount and/ or freeze or seize unit holder's account/transaction.

BNP Paribas Mutual Fund/AMC reserves the right to make changes as required to the above provisions at a later date.

<sup>(1)</sup> Related Parties would include but not limited to any joint holder, any legal representative, beneficial owners for an entity, any senior representative(s) of the investor who is/are in a position to respond on behalf of the entity and/or responsible for managing affairs of the entity for eg. MD, Director, CEO, CFO, COO, President, Treasurer, Legal or Compliance Representative, or other authorized representative.

8. Any other person determined by the AMC or the Trustee as not being eligible to invest in the Scheme

The Fund reserves the right to include / exclude new / existing categories of investors to invest in the Schemes from time to time, subject to SEBI Regulations and other prevailing statutory regulations, if any. As Units may not be held by any person in breach of the SEBI Regulations, any law or requirements of any governmental, statutory authority including, without limitation, exchange control regulations, the Mutual Fund / Trustee / AMC may mandatorily redeem all the Units of any Unitholder where the Units are held by a Unitholder in breach of the same. The Mutual Fund / Trustee / AMC may redeem Units of any Unitholder in the event it is found that the Unitholder has submitted information either in the application or otherwise that is false, misleading or incomplete.

### 8. THE TRUSTEE / AMC RETAINS THE SOLE AND ABSOLUTE DISCRETION TO REJECT ANY APPLICATION.

### 9. CONSOLIDATED ACCOUNT STATEMENT:

Pursuant to amendment to Regulation 36 of SEBI (Mutual Funds) Regulations, 1996 read with SEBI circular no. Cir/IMD/DF/16/2011 dated September 8, 2011, the following shall be applicable with respect to dispatch of account statement:

- a) The AMC shall issue a Consolidated Account Statement (CAS) for each calendar month on or before tenth day of succeeding month detailing all the transactions and holding at the end of the month including transaction charges paid to the distributor, across all the schemes of all mutual funds in whose folios transaction has taken place during that month. Accordingly, for all the transactions from the month of October 2011, the CAS shall be issued on or before 10th day succeeding month. Further, in terms of SEBI circular SEBI/HO/IMD/DF2/CIR/P/2016/89 dated September 20, 2016, each CAS issued to the investors shall also provide the total purchase value / cost of investment in each scheme.

Provided that the AMC shall issue a CAS every half year (September / March) on or before tenth day of succeeding month, detailing holding at the end of the six month, across all schemes of all mutual funds to all such investors in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the Unitholders whose e-mail address is available, unless a specific request is made to receive in physical. Further, in terms of SEBI circular SEBI/HO/IMD/DF2/CIR/P/2016/89 dated September 20, 2016, CAS issued for the half-year (ended September/ March) shall also provide:

- The amount of actual commission paid by AMC/Mutual Fund to distributors (in absolute terms) during the half-year period against the concerned investor's total investments in each scheme. The term 'commission' here refers to all direct monetary payments and other payments made in the form of gifts / rewards, trips, event sponsorships etc. by AMCs/MFs to distributors. Further, a mention shall be made in such CAS indicating that the commission disclosed is gross commission and does not exclude costs incurred by distributors such as service tax (wherever applicable, as per existing rates), operating expenses, etc.
- The Scheme's average Total Expense Ratio (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor has actually invested in.
- Such half-yearly CAS shall be issued to all investors, excluding those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

- b) CAS is a statement reflecting holdings / transactions across all the mutual funds by the investor. The CAS for each calendar month will be issued on or before tenth day of succeeding month to the investors who have provided valid Permanent Account Number (PAN). Due to this regulatory change, AMC shall now cease to send physical account statement to the investors after every financial transaction including systematic transactions. Further, CAS will be sent via email where any of the folios which are consolidated has an email id or to the email id of the first unit holder as per KYC records.
- c) For folios not included in the Consolidated Account Statement (CAS), the AMC shall henceforth issue account statement to the investors on a monthly basis, pursuant to any financial transaction in such folios on or before the tenth day of the succeeding month. In case of a New Fund Offer (NFO), the AMC shall send confirmation specifying the number of units allotted to the applicant by way of a physical account statement or an email and / or SMS's to the investor's registered address and / or mobile number not later than five business days from the date of closure of the NFO.
- d) The AMC shall send confirmation specifying the number of units allotted to the applicant by way of an email and / or SMS's to the applicant's registered email address and / or mobile number as soon as possible but not later than five working days from the date of receipt of the request from the unit holder.
- e) In case of a specific request received from the unit holder, the AMC shall provide the account statement to the investor within 5 business days from the receipt of such request.
- f) In case the folio / account have more than one registered holder, the first named unit holder / guardian (in case of minor) shall receive the CAS.
- g) CAS shall not be issued to the investor who has not updated their Permanent Account Number (PAN) in their respective folios. **The unit holders are requested to ensure that the PAN details are updated in all their folio(s).**
- h) For this purpose, common investors across mutual funds shall be identified by their PAN.
- i) The statement of holding of the beneficiary account holder for units held in demat shall be sent by the respective DPs periodically.
- j) The word 'transaction' for the issuance of CAS shall include purchase, redemption, switch, dividend payout, dividend reinvestment, SIP, SWP, STP etc.
- k) Further, in accordance with SEBI circular CIR/MRD/DP/31/2014 dated November 12, 2014, investors are requested to note that a single consolidated view of all the investments of an investor in Mutual Funds and securities held in demat form with the depositories is being enabled.
- l) Consolidation of account statement shall be done on the basis of PAN and for PANs which are common between depositories and AMCs, the depositories shall send the CAS. In other cases, (i.e. PANs with no demat account and only MF units holding), the AMC / RTA shall continue to send the CAS to their unitholders in compliance with Regulations 36(4) of the SEBI (Mutual Funds) Regulations, 1996 and guidelines issued thereunder.
- m) Accordingly, the AMC / RTA shall provide the data with respect to common PANs to the depositories within three days from the month end. The depositories shall then consolidate and dispatch the CAS within ten days from the month end. AMC / RTA shall be responsible for the authenticity of the information provided through CAS in respect of Mutual Fund investments and timely sharing of information with depositories.
- n) The depositories and the AMC / RTA shall ensure data integrity and confidentiality in respect of shared information. The depositories shall utilize the shared data only for the purpose of providing CAS and shall not share the same with their depository participants.

### No Account statements will be issued to investors opted to hold units in electronic (demat) mode, since the statement of account furnished by depository participant periodically will contain the details of transactions

The unitholders, who hold units in physical form, may request for an account statement at any time during the tenor of the scheme by writing to the AMC / RTA. Unitholders are requested to provide their e-mail ids for receipt of all correspondences including account statements using e-mail as the mode of communication. Unitholders whose e-mail id is available in the database of BNP Paribas Mutual Fund, electronic mail (e-mail) shall be the default mode of communication for those investors. In case, email address is not available, the AMC shall send all the communication in physical copies at the address available in the records of the AMC. In case the unitholder submits a request to receive any communication in physical mode then AMC shall provide the same within five working days from the date of receipt of request. If the Unitholder experiences any difficulty in accessing the electronically delivered account statement, the Unitholder shall promptly inform the Mutual Fund to enable the Mutual Fund to make the delivery through alternate means. Failure to inform the Mutual Fund of such difficulty within 24 hours after receiving the e-mail will serve as a confirmation regarding the acceptance by the Unitholder of the account statement.

The consolidated account statement/account statement will be sent by ordinary post / courier / email. The account statements shall be non-transferable. The account statements shall not be construed as a proof of title and is only a computer printed statement indicating the details of transactions under the Scheme.



App. No. \_\_\_\_\_

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Name and AMFI Reg. No. | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIIN    |
|------------------------|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| ARN- ARN:30862         | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |   |  |
|---|---|--|
| First / Sole Applicant<br>/ Guardian / POA Holder<br>/ Authorised Signatory | Second Applicant<br>/ Guardian / POA Holder | Third Applicant<br>/ Guardian / POA Holder |
|---|---|--|

**TRANSACTION CHARGES for Rs. 10,000 and above** (✓ any one) (See Instruction on page 12):

Existing Investor - Rs. 100     New Investor - Rs. 150

I confirm that I am a first time investor across Mutual Funds.

I confirm that I am an existing investor in Mutual Funds.

**1. EXISTING INVESTOR'S FOLIO NUMBER** Folio No. \_\_\_\_\_ The details in our records under the Folio number mentioned alongside will apply for this application.

**2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.)**

**First / Sole Applicant**  Mr.  Ms.  M/s.  Minor

Name: FIRST MIDDLE LAST Date of Birth\* / Incorporation (DDMMYYYY) \* Required for 1st holder/Minor

(Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number GSTIN

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**Guardian Details**  Mr.  Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name: FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)

(Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile No.

**For Investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other | **Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian

Mailing Address: City State Pin Code (Mandatory) Country STD Code Tel. Off.

Overseas Address (Mandatory for NRI / FII Applicant) (See Instruction 2.a) on page 17) Country

**GO GREEN (Default mode of Communication)** → Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**Tax Status:** Individual Non-Individual

Resident  NRI-Repatriation  NRI-Non Repatriation  Sole-Proprietorship  On Behalf of Minor  Company  Trust  Society / Club  Partnership / LLP  AOP / BOI  FPI

NRI - On Behalf of Minor  PIO / OCI  HUF  Others (Please Specify)  Non Profit Organisation  Others (Please Specify)

**Occupation:**  Private Sector Service  Public Sector Service  Government Service  Student  Professional  Housewife  Business  Retired  Agriculturist  Proprietorship  Defence  Others (Please Specify)

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Second Applicant's Details** Mode of Holding (please ✓)  Joint#  Anyone or Survivor (# Default, in case of more than one applicant and not ticked)

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)

(Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant's Details**

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)

(Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

| Additional Details            | Politically Exposed Person (PEP) Status: (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors) | Are you / entity involved in any of the services mentioned below? If yes write down it in the following box |
|-------------------------------|--|---|
| <b>First / Sole Applicant</b> | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Second Applicant</b>       | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Third Applicant</b>        | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |

**Are you / entity involved in any of the following :** • Precious metals (in particular buying-selling Gold) and Gems • Luxury Cars • Boats • Race-horses • Jewellery • Money Service Businesses (MSB) & their agents (excluding Banks) • Currency dealers or Exchanges • Sellers for redeemers of traveler's cheques Money Orders/Remittance services • Pawn shops • Street Market stall • Hotels • Restaurants • Internet Cafes • Door to door sales companies • Taxi • Bars • Night Clubs • Second hand Goods sales • Second hand vehicle dealers (excluding Automobile Franchise) • Casinos • Lotteries • Gambling Clubs • Slot machines Antiques • Art Galleries • Art Dealers • Auctioneer • Art Expert • None of the above

**3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)**

First / Sole Applicant  Second Applicant  Third Applicant

Mr.  Ms.  M/s.  Others \_\_\_\_\_ Name of PoA Holder

PAN \_\_\_\_\_ KYC Identification Number (KIN) \_\_\_\_\_ Aadhaar Number \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

**Signature of (PoA) Holder**

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)** App. No. \_\_\_\_\_

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s. \_\_\_\_\_

| Instrument No. | Dated | Drawn on Bank | Account No. | Amount (Rs.) | Scheme / Plan / Option |
|----------------|-------|---------------|-------------|--------------|------------------------|
|                |       |               |             |              |                        |

ISC Stamp, Date & Signature

**4. INVESTMENT & PAYMENT DETAILS : Please issue separate Cheque / DD favouring the Scheme Name you wish to invest (refer instruction 4) (Mandatory)**

Zero Balance  Lumpsum  SIP (Mention the first purchase details below and fill and submit the SIP form separately)

| Scheme Name / Plan / Option   | Amount (₹) | Cheque/DD No./UMRN | Bank / Branch | Account No. | Payment Mode   |
|---|------------|--------------------|---------------|-------------|--|
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |

**Payment Type**  Non-Third Party Payment  Third Party Payment (Please attach "Third Party Declaration Form")

**5. DEMAT ACCOUNT DETAILS (refer instruction 1f)**

National Securities Depository Ltd. Depository Participant Name \_\_\_\_\_  
 Central Depository Services (India) Ltd. DP ID No. \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**6. BANK ACCOUNT DETAILS (See Instruction 3 on page 19) (Mandatory, as per SEBI Regulations)**

Bank Name \_\_\_\_\_  
 Bank A/c. No. \_\_\_\_\_ A/c. Type  Savings  Current  NRE  NRO  FCNR  
 Branch Name \_\_\_\_\_ City \_\_\_\_\_ Pin Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_ (9 Digit No. next to your Cheque No.) IFSC Code \_\_\_\_\_

**7. OVERSEAS EXPOSURE - MANDATORY ONLY FOR CORPORATES / BANKS / FINANCIAL INSTITUTIONS**

Does your Entity\* have any offices, transactions, investments, activities or planned activities offshore?  Yes  No  
 \* includes any business directly or indirectly controlled by, or under common control with your entity.  
 If the answer is "Yes", please fill out the "Major Sanctioned Countries Questionnaire" Form available on our website www.bnpparibasmf.in.

**8. FATCA DETAILS For Individual (Mandatory) Non Individual investors including HUF should Mandatorily fill separate FATCA detail form**

| Details under Foreign Tax Laws:   | First / Sole Applicant / Guardian  | Second Applicant   | <input type="radio"/> Third Applicant <input type="radio"/> PoA  |
|---|--|--|--|
| Place & Country of Birth  |  |  |  |
| Nationality   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   |
| Address Type  | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business |
| <b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please provide information below)</b> |  |  |  |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |

**Reason A:** The country where Account Holder is liable to pay tax does not issue TIN to its residents  
**Reason B:** No TIN Required (Select this only if the authorities of the respective country of tax residents do not require the TIN to be collected)  
**Reason C:** others, please specify the reason above

**9. NOMINATION - MANDATORY, even if no intention to nominate. Minor & PoA holder cannot nominate and should not fill this section (See Instruction 5 on page 20)**

1. I/We do not wish to nominate **SIGNATURE(S)** First / Sole Applicant Second Applicant Third Applicant

2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.

| Nominee Name | Date of Birth <sup>A</sup> | Allocation % <sup>#</sup> | Guardian Signature <sup>A</sup> |
|--------------|----------------------------|---------------------------|---------------------------------|
| Nominee 1    |                            |                           |                                 |
| Nominee 2    |                            |                           |                                 |
| Nominee 3    |                            |                           |                                 |

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

**10. DECLARATION & SIGNATURES**

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under: I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ("Fund"). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, 2002, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

**Additional declaration for NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

**Additional declaration for Foreign Nationals Resident in India only:** I / We will redeem my / our entire investments before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**Additional declaration for NRIs / PIO / OCIs only :** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. please (✓)  Yes  No If yes, (✓)  Repatriation basis  Non-Repatriation basis

| Dated | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|-------|---|--|---|
|       |   |  |   |

App. No. \_\_\_\_\_

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Name and AMFI Reg. No. | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIN     |
|------------------------|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| ARN- ARN:30862         | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |  |   |
|---|--|---|
| First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|---|--|---|

TRANSACTION CHARGES for Rs. 10,000 and above (✓ any one) (See Instruction on page 12):  
 Existing Investor - Rs. 100     New Investor - Rs. 150     I confirm that I am a first time investor across Mutual Funds.  
 I confirm that I am an existing investor in Mutual Funds.

**1. EXISTING INVESTOR'S FOLIO NUMBER** Folio No. \_\_\_\_\_ The details in our records under the Folio number mentioned alongside will apply for this application.

**2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.)**

**First / Sole Applicant**  Mr.  Ms.  M/s.  Minor

Name: FIRST MIDDLE LAST Date of Birth\* / Incorporation (DDMMYYYY) \* Required for 1st holder/Minor  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number GSTIN

**Guardian Details**  Mr.  Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name: FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile No.

**For Investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other | **Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian

Mailing Address  
 City State Pin Code (Mandatory)  
 Country STD Code Tel. Off.

Overseas Address (Mandatory for NRI / FII Applicant) (See Instruction 2.a) on page 17  
 Country

**GO GREEN (Default mode of Communication)** → Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**Tax Status:** Individual Non-Individual  
 Resident  NRI-Repatriation  NRI-Non Repatriation  Sole-Proprietorship  On Behalf of Minor  Company  Trust  Society / Club  Partnership / LLP  AOP / BOI  FPI  
 NRI - On Behalf of Minor  PIO / OCI  HUF  Others (Please Specify)  Non Profit Organisation  Others (Please Specify)

**Occupation:**  Private Sector Service  Public Sector Service  Government Service  Student  Professional  Housewife  Business  Retired  Agriculturist  Proprietorship  Defence  Others (Please Specify)

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Second Applicant's Details** Mode of Holding (please ✓)  Joint#  Anyone or Survivor (# Default, in case of more than one applicant and not ticked)

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant's Details**

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

| Additional Details            | Politically Exposed Person (PEP) Status: (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors) | Are you / entity involved in any of the services mentioned below? If yes write down it in the following box |
|-------------------------------|--|---|
| <b>First / Sole Applicant</b> | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Second Applicant</b>       | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Third Applicant</b>        | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |

**Are you / entity involved in any of the following :** • Precious metals (in particular buying-selling Gold) and Gems • Luxury Cars • Boats • Race-horses • Jewellery • Money Service Businesses (MSB) & their agents (excluding Banks) • Currency dealers or Exchanges • Sellers for redeemers of traveler's cheques Money Orders/Remittance services • Pawn shops • Street Market stall • Hotels • Restaurants • Internet Cafes • Door to door sales companies • Taxi • Bars • Night Clubs • Second hand Goods sales • Second hand vehicle dealers (excluding Automobile Franchise) • Casinos • Lotteries • Gambling Clubs • Slot machines Antiques • Art Galleries • Art Dealers • Auctioneer • Art Expert • None of the above

**3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)**

First / Sole Applicant  Second Applicant  Third Applicant

Mr.  Ms.  M/s.  Others \_\_\_\_\_ Name of PoA Holder

PAN \_\_\_\_\_ KYC Identification Number (KIN) \_\_\_\_\_ Aadhaar Number \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

Signature of (PoA) Holder \_\_\_\_\_

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)**

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s. \_\_\_\_\_

| Instrument No. | Dated | Drawn on Bank | Account No. | Amount (Rs.) | Scheme / Plan / Option |
|----------------|-------|---------------|-------------|--------------|------------------------|
|                |       |               |             |              |                        |

App. No. \_\_\_\_\_

ISC Stamp, Date & Signature \_\_\_\_\_

**4. INVESTMENT & PAYMENT DETAILS : Please issue separate Cheque / DD favouring the Scheme Name you wish to invest (refer instruction 4) (Mandatory)**

Zero Balance  Lumpsum  SIP (Mention the first purchase details below and fill and submit the SIP form separately)

| Scheme Name / Plan / Option   | Amount (₹) | Cheque/DD No./UMRN | Bank / Branch | Account No. | Payment Mode   |
|---|------------|--------------------|---------------|-------------|--|
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |

**Payment Type**  Non-Third Party Payment  Third Party Payment (Please attach "Third Party Declaration Form")

**5. DEMAT ACCOUNT DETAILS (refer instruction 1f)**

National Securities Depository Ltd. Depository Participant Name \_\_\_\_\_  
 Central Depository Services (India) Ltd. DP ID No. \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**6. BANK ACCOUNT DETAILS (See Instruction 3 on page 19) (Mandatory, as per SEBI Regulations)**

Bank Name \_\_\_\_\_  
 Bank A/c. No. \_\_\_\_\_ A/c. Type  Savings  Current  NRE  NRO  FCNR  
 Branch Name \_\_\_\_\_ City \_\_\_\_\_ Pin Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_ (9 Digit No. next to your Cheque No.) IFSC Code \_\_\_\_\_

**7. OVERSEAS EXPOSURE - MANDATORY ONLY FOR CORPORATES / BANKS / FINANCIAL INSTITUTIONS**

Does your Entity\* have any offices, transactions, investments, activities or planned activities offshore?  Yes  No  
 \* includes any business directly or indirectly controlled by, or under common control with your entity.  
 If the answer is "Yes", please fill out the "Major Sanctioned Countries Questionnaire" Form available on our website www.bnpparibasmf.in.

**8. FATCA DETAILS For Individual (Mandatory) Non Individual investors including HUF should Mandatorily fill separate FATCA detail form**

| Details under Foreign Tax Laws:   | First / Sole Applicant / Guardian  | Second Applicant   | <input type="radio"/> Third Applicant <input type="radio"/> PoA  |
|---|--|--|--|
| Place & Country of Birth  |  |  |  |
| Nationality   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   |
| Address Type  | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business |
| <b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please provide information below)</b> |  |  |  |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |

**Reason A:** The country where Account Holder is liable to pay tax does not issue TIN to its residents  
**Reason B:** No TIN Required (Select this only if the authorities of the respective country of tax residents do not require the TIN to be collected)  
**Reason C:** others, please specify the reason above

**9. NOMINATION - MANDATORY, even if no intention to nominate. Minor & PoA holder cannot nominate and should not fill this section (See Instruction 5 on page 20)**

1. I/We do not wish to nominate **SIGNATURE(S)** First / Sole Applicant Second Applicant Third Applicant

2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.

| Nominee Name | Date of Birth <sup>A</sup> | Allocation % <sup>#</sup> | Guardian Signature <sup>A</sup> |
|--------------|----------------------------|---------------------------|---------------------------------|
| Nominee 1    |                            |                           |                                 |
| Nominee 2    |                            |                           |                                 |
| Nominee 3    |                            |                           |                                 |

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

**10. DECLARATION & SIGNATURES**

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under: I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ("Fund"). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, 2002, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

**Additional declaration for NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

**Additional declaration for Foreign Nationals Resident in India only:** I / We will redeem my / our entire investments before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**Additional declaration for NRIs / PIO / OCIs only :** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws.

please (✓)  Yes  No If yes, (✓)  Repatriation basis  Non-Repatriation basis

| Dated | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|-------|---|--|---|
|       |   |  |   |

App. No. \_\_\_\_\_

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Name and AMFI Reg. No. | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIN     |
|------------------------|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| ARN- ARN:30862         | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |  |   |
|---|--|---|
| First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|---|--|---|

TRANSACTION CHARGES for Rs. 10,000 and above (✓ any one) (See Instruction on page 12):  
 Existing Investor - Rs. 100     New Investor - Rs. 150     I confirm that I am a first time investor across Mutual Funds.  
 I confirm that I am an existing investor in Mutual Funds.

**1. EXISTING INVESTOR'S FOLIO NUMBER** Folio No. \_\_\_\_\_ The details in our records under the Folio number mentioned alongside will apply for this application.

**2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.**

**First / Sole Applicant**  Mr.  Ms.  M/s.  Minor

Name: FIRST MIDDLE LAST Date of Birth\* / Incorporation (DDMMYYYY) \* Required for 1st holder/Minor  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number GSTIN

**Guardian Details**  Mr.  Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name: FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile No.

**For Investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other | **Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian

Mailing Address  
 City State Pin Code (Mandatory)  
 Country STD Code Tel. Off.

Overseas Address (Mandatory for NRI / FII Applicant) (See Instruction 2.a) on page 17  
 Country

**GO GREEN (Default mode of Communication)** → Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**Tax Status:** **Individual**  Resident  NRI-Repatriation  NRI-Non Repatriation  Sole-Proprietorship  On Behalf of Minor  Company  Trust  Society / Club  Partnership / LLP  AOP / BOI  FPI  NRI - On Behalf of Minor  PIO / OCI  HUF  Others (Please Specify)  Non Profit Organisation  Others (Please Specify)

**Occupation:**  Private Sector Service  Public Sector Service  Government Service  Student  Professional  Housewife  Business  Retired  Agriculturist  Proprietorship  Defence  Others (Please Specify)

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Second Applicant's Details** **Mode of Holding** (please ✓)  Joint#  Anyone or Survivor (# Default, in case of more than one applicant and not ticked)

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant's Details**

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

| Additional Details            | Politically Exposed Person (PEP) Status : (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors) | Are you / entity involved in any of the services mentioned below? If yes write down it in the following box |
|-------------------------------|---|---|
| <b>First / Sole Applicant</b> | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                               |   |
| <b>Second Applicant</b>       | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                               |   |
| <b>Third Applicant</b>        | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                               |   |

**Are you / entity involved in any of the following :** • Precious metals (in particular buying-selling Gold) and Gems • Luxury Cars • Boats • Race-horses • Jewellery • Money Service Businesses (MSB) & their agents (excluding Banks) • Currency dealers or Exchanges • Sellers for redeemers of traveler's cheques Money Orders/Remittance services • Pawn shops • Street Market stall • Hotels • Restaurants • Internet Cafes • Door to door sales companies • Taxi • Bars • Night Clubs • Second hand Goods sales • Second hand vehicle dealers (excluding Automobile Franchise) • Casinos • Lotteries • Gambling Clubs • Slot machines Antiques • Art Galleries • Art Dealers • Auctioneer • Art Expert • None of the above

**3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)**

First / Sole Applicant  Second Applicant  Third Applicant

Mr.  Ms.  M/s.  Others \_\_\_\_\_ Name of PoA Holder

PAN \_\_\_\_\_ KYC Identification Number (KIN) \_\_\_\_\_ Aadhaar Number \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

**Signature of (PoA) Holder**

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)** App. No. \_\_\_\_\_

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s. \_\_\_\_\_

| Instrument No. | Dated | Drawn on Bank | Account No. | Amount (Rs.) | Scheme / Plan / Option |
|----------------|-------|---------------|-------------|--------------|------------------------|
|                |       |               |             |              |                        |

ISC Stamp, Date & Signature

**4. INVESTMENT & PAYMENT DETAILS : Please issue separate Cheque / DD favouring the Scheme Name you wish to invest (refer instruction 4) (Mandatory)**

Zero Balance  Lumpsum  SIP (Mention the first purchase details below and fill and submit the SIP form separately)

| Scheme Name / Plan / Option   | Amount (₹) | Cheque/DD No./UMRN | Bank / Branch | Account No. | Payment Mode   |
|---|------------|--------------------|---------------|-------------|--|
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |

Payment Type  Non-Third Party Payment  Third Party Payment (Please attach "Third Party Declaration Form")

**5. DEMAT ACCOUNT DETAILS (refer instruction 1f)**

National Securities Depository Ltd. Depository Participant Name \_\_\_\_\_  
 Central Depository Services (India) Ltd. DP ID No. \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**6. BANK ACCOUNT DETAILS (See Instruction 3 on page 19) (Mandatory, as per SEBI Regulations)**

Bank Name \_\_\_\_\_  
 Bank A/c. No. \_\_\_\_\_ A/c. Type  Savings  Current  NRE  NRO  FCNR  
 Branch Name \_\_\_\_\_ City \_\_\_\_\_ Pin Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_ (9 Digit No. next to your Cheque No.) IFSC Code \_\_\_\_\_

**7. OVERSEAS EXPOSURE - MANDATORY ONLY FOR CORPORATES / BANKS / FINANCIAL INSTITUTIONS**

Does your Entity\* have any offices, transactions, investments, activities or planned activities offshore?  Yes  No  
 \* includes any business directly or indirectly controlled by, or under common control with your entity.  
 If the answer is "Yes", please fill out the "Major Sanctioned Countries Questionnaire" Form available on our website www.bnpparibasmf.in.

**8. FATCA DETAILS For Individual (Mandatory) Non Individual investors including HUF should Mandatorily fill separate FATCA detail form**

| Details under Foreign Tax Laws:   | First / Sole Applicant / Guardian  | Second Applicant   | <input type="radio"/> Third Applicant <input type="radio"/> PoA  |
|---|--|--|--|
| Place & Country of Birth  |  |  |  |
| Nationality   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   |
| Address Type  | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business |
| <b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please provide information below)</b> |  |  |  |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |

**Reason A:** The country where Account Holder is liable to pay tax does not issue TIN to its residents  
**Reason B:** No TIN Required (Select this only if the authorities of the respective country of tax residents do not require the TIN to be collected)  
**Reason C:** others, please specify the reason above

**9. NOMINATION - MANDATORY, even if no intention to nominate. Minor & PoA holder cannot nominate and should not fill this section (See Instruction 5 on page 20)**

1. I/We do not wish to nominate SIGNATURE(S) \_\_\_\_\_ First / Sole Applicant \_\_\_\_\_ Second Applicant \_\_\_\_\_ Third Applicant \_\_\_\_\_

2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.

| Nominee Name | Date of Birth <sup>A</sup> | Allocation % <sup>#</sup> | Guardian Signature <sup>A</sup> |
|--------------|----------------------------|---------------------------|---------------------------------|
| Nominee 1    |                            |                           |                                 |
| Nominee 2    |                            |                           |                                 |
| Nominee 3    |                            |                           |                                 |

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

**10. DECLARATION & SIGNATURES**

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under- I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ("Fund"). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, 2002, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my / our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

**Additional declaration for NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

**Additional declaration for Foreign Nationals Resident in India only:** I / We will redeem my / our entire investments before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**Additional declaration for NRIs / PIO / OCIs only :** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. please (✓)  Yes  No If yes, (✓)  Repatriation basis  Non-Repatriation basis

| Dated | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|-------|---|--|---|
|       |   |  |   |

App. No. \_\_\_\_\_

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Name and AMFI Reg. No. | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIN     |
|------------------------|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| ARN- ARN:30862         | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |  |   |
|---|--|---|
| First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|---|--|---|

TRANSACTION CHARGES for Rs. 10,000 and above (✓ any one) (See Instruction on page 12):  
 Existing Investor - Rs. 100     New Investor - Rs. 150     I confirm that I am a first time investor across Mutual Funds.  
 I confirm that I am an existing investor in Mutual Funds.

**1. EXISTING INVESTOR'S FOLIO NUMBER** Folio No. \_\_\_\_\_ The details in our records under the Folio number mentioned alongside will apply for this application.

**2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.**

**First / Sole Applicant**  Mr.  Ms.  M/s.  Minor

Name: FIRST MIDDLE LAST Date of Birth\* / Incorporation (DDMMYYYY) \* Required for 1st holder/Minor  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number GSTIN

**Guardian Details**  Mr.  Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name: FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile No.

**For Investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other | **Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian

Mailing Address  
 City State Pin Code (Mandatory)  
 Country STD Code Tel. Off.

Overseas Address (Mandatory for NRI / FII Applicant) (See Instruction 2.a) on page 17)  
 Country

**GO GREEN (Default mode of Communication)** → Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**Tax Status:** **Individual**  Resident  NRI-Repatriation  NRI-Non Repatriation  Sole-Proprietorship  On Behalf of Minor  Company  Trust  Society / Club  Partnership / LLP  AOP / BOI  FPI  NRI - On Behalf of Minor  PIO / OCI  HUF  Others (Please Specify)  Non Profit Organisation  Others (Please Specify)

**Occupation:**  Private Sector Service  Public Sector Service  Government Service  Student  Professional  Housewife  Business  Retired  Agriculturist  Proprietorship  Defence  Others (Please Specify)

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Second Applicant's Details** **Mode of Holding** (please ✓)  Joint#  Anyone or Survivor (# Default, in case of more than one applicant and not ticked)

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant's Details**

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

| Additional Details            | Politically Exposed Person (PEP) Status: (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors) | Are you / entity involved in any of the services mentioned below? If yes write down it in the following box |
|-------------------------------|--|---|
| <b>First / Sole Applicant</b> | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Second Applicant</b>       | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Third Applicant</b>        | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |

**Are you / entity involved in any of the following :** • Precious metals (in particular buying-selling Gold) and Gems • Luxury Cars • Boats • Race-horses • Jewellery • Money Service Businesses (MSB) & their agents (excluding Banks) • Currency dealers or Exchanges • Sellers for redeemers of traveler's cheques Money Orders/Remittance services • Pawn shops • Street Market stall • Hotels • Restaurants • Internet Cafes • Door to door sales companies • Taxi • Bars • Night Clubs • Second hand Goods sales • Second hand vehicle dealers (excluding Automobile Franchise) • Casinos • Lotteries • Gambling Clubs • Slot machines Antiques • Art Galleries • Art Dealers • Auctioneer • Art Expert • None of the above

**3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)**

First / Sole Applicant  Second Applicant  Third Applicant

Mr.  Ms.  M/s.  Others \_\_\_\_\_ Name of PoA Holder

PAN \_\_\_\_\_ KYC Identification Number (KIN) \_\_\_\_\_ Aadhaar Number \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

**Signature of (PoA) Holder**

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)** App. No. \_\_\_\_\_

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s. \_\_\_\_\_

| Instrument No. | Dated | Drawn on Bank | Account No. | Amount (Rs.) | Scheme / Plan / Option |
|----------------|-------|---------------|-------------|--------------|------------------------|
|                |       |               |             |              |                        |

ISC Stamp, Date & Signature

**4. INVESTMENT & PAYMENT DETAILS : Please issue separate Cheque / DD favouring the Scheme Name you wish to invest (refer instruction 4) (Mandatory)**

Zero Balance  Lumpsum  SIP (Mention the first purchase details below and fill and submit the SIP form separately)

| Scheme Name / Plan / Option   | Amount (₹) | Cheque/DD No./UMRN | Bank / Branch | Account No. | Payment Mode   |
|---|------------|--------------------|---------------|-------------|--|
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |

Payment Type  Non-Third Party Payment  Third Party Payment (Please attach "Third Party Declaration Form")

**5. DEMAT ACCOUNT DETAILS (refer instruction 1f)**

National Securities Depository Ltd. Depository Participant Name \_\_\_\_\_  
 Central Depository Services (India) Ltd. DP ID No. \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**6. BANK ACCOUNT DETAILS (See Instruction 3 on page 19) (Mandatory, as per SEBI Regulations)**

Bank Name \_\_\_\_\_  
 Bank A/c. No. \_\_\_\_\_ A/c. Type  Savings  Current  NRE  NRO  FCNR  
 Branch Name \_\_\_\_\_ City \_\_\_\_\_ Pin Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_ (9 Digit No. next to your Cheque No.) IFSC Code \_\_\_\_\_

**7. OVERSEAS EXPOSURE - MANDATORY ONLY FOR CORPORATES / BANKS / FINANCIAL INSTITUTIONS**

Does your Entity\* have any offices, transactions, investments, activities or planned activities offshore?  Yes  No  
 \* includes any business directly or indirectly controlled by, or under common control with your entity.  
 If the answer is "Yes", please fill out the "Major Sanctioned Countries Questionnaire" Form available on our website www.bnpparibasmf.in.

**8. FATCA DETAILS For Individual (Mandatory) Non Individual investors including HUF should Mandatorily fill separate FATCA detail form**

| Details under Foreign Tax Laws:   | First / Sole Applicant / Guardian  | Second Applicant   | <input type="radio"/> Third Applicant <input type="radio"/> PoA  |
|---|--|--|--|
| Place & Country of Birth  |  |  |  |
| Nationality   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   |
| Address Type  | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business |
| <b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please provide information below)</b> |  |  |  |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent Identification Type (TIN or Other, please specify)   |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent Identification Type (TIN or Other, please specify)   |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |

**Reason A:** The country where Account Holder is liable to pay tax does not issue TIN to its residents  
**Reason B:** No TIN Required (Select this only if the authorities of the respective country of tax residents do not require the TIN to be collected)  
**Reason C:** others, please specify the reason above

**9. NOMINATION - MANDATORY, even if no intention to nominate. Minor & PoA holder cannot nominate and should not fill this section (See Instruction 5 on page 20)**

1. I/We do not wish to nominate SIGNATURE(S) \_\_\_\_\_ First / Sole Applicant \_\_\_\_\_ Second Applicant \_\_\_\_\_ Third Applicant \_\_\_\_\_

2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.

| Nominee Name | Date of Birth <sup>A</sup> | Allocation % <sup>#</sup> | Guardian Signature <sup>A</sup> |
|--------------|----------------------------|---------------------------|---------------------------------|
| Nominee 1    |                            |                           |                                 |
| Nominee 2    |                            |                           |                                 |
| Nominee 3    |                            |                           |                                 |

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

**10. DECLARATION & SIGNATURES**

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under: I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ("Fund"). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, 2002, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my / our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

**Additional declaration for NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

**Additional declaration for Foreign Nationals Resident in India only:** I / We will redeem my / our entire investments before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**Additional declaration for NRIs / PIO / OCIs only :** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws.

please (✓)  Yes  No  If yes, (✓)  Repatriation basis  Non-Repatriation basis

| Dated | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|-------|---|--|---|
|       |   |  |   |



App. No. \_\_\_\_\_

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Name and AMFI Reg. No. | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIIN    |
|------------------------|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| ARN- ARN:30862         | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |   |  |
|---|---|--|
| First / Sole Applicant<br>/ Guardian / POA Holder<br>/ Authorised Signatory | Second Applicant<br>/ Guardian / POA Holder | Third Applicant<br>/ Guardian / POA Holder |
|---|---|--|

TRANSACTION CHARGES for Rs. 10,000 and above (✓ any one) (See Instruction on page 12):

Existing Investor - Rs. 100     New Investor - Rs. 150   
  I confirm that I am a first time investor across Mutual Funds.   
  I confirm that I am an existing investor in Mutual Funds.

**1. EXISTING INVESTOR'S FOLIO NUMBER** Folio No. \_\_\_\_\_ The details in our records under the Folio number mentioned alongside will apply for this application.

**2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.)**

**First / Sole Applicant**  Mr.  Ms.  M/s.  Minor

Name: FIRST MIDDLE LAST Date of Birth\* / Incorporation (DDMMYYYY) \* Required for 1st holder/Minor

(Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number GSTIN

---

**Guardian Details**  Mr.  Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name: FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)

(Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile No.

**For Investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other | **Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian

Mailing Address: City State Pin Code (Mandatory) Country STD Code Tel. Off.

Overseas Address (Mandatory for NRI / FII Applicant) (See Instruction 2.a) on page 17) Country

**GO GREEN (Default mode of Communication)** → Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**Tax Status:** **Individual**  Resident  NRI-Repatriation  NRI-Non Repatriation  Sole-Proprietorship  On Behalf of Minor  Company  Trust  Society / Club  Partnership / LLP  AOP / BOI  FPI  NRI - On Behalf of Minor  PIO / OCI  HUF  Others (Please Specify)  Non Profit Organisation  Others (Please Specify)

**Occupation:**  Private Sector Service  Public Sector Service  Government Service  Student  Professional  Housewife  Business  Retired  Agriculturist  Proprietorship  Defence  Others (Please Specify)

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Second Applicant's Details** **Mode of Holding** (please ✓)  Joint<sup>#</sup>  Anyone or Survivor (<sup>#</sup> Default, in case of more than one applicant and not ticked)

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)

(Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant's Details**

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)

(Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

| Additional Details            | Politically Exposed Person (PEP) Status: (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors) | Are you / entity involved in any of the services mentioned below? If yes write down it in the following box |
|-------------------------------|--|---|
| <b>First / Sole Applicant</b> | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Second Applicant</b>       | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Third Applicant</b>        | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |

**Are you / entity involved in any of the following :** • Precious metals (in particular buying-selling Gold) and Gems • Luxury Cars • Boats • Race-horses • Jewellery • Money Service Businesses (MSB) & their agents (excluding Banks) • Currency dealers or Exchanges • Sellers for redeemers of traveler's cheques Money Orders/Remittance services • Pawn shops • Street Market stall • Hotels • Restaurants • Internet Cafes • Door to door sales companies • Taxi • Bars • Night Clubs • Second hand Goods sales • Second hand vehicle dealers (excluding Automobile Franchise) • Casinos • Lotteries • Gambling Clubs • Slot machines Antiques • Art Galleries • Art Dealers • Auctioneer • Art Expert • None of the above

**3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)**

First / Sole Applicant  Second Applicant  Third Applicant

Mr.  Ms.  M/s.  Others \_\_\_\_\_ Name of PoA Holder

PAN \_\_\_\_\_ KYC Identification Number (KIN) \_\_\_\_\_ Aadhaar Number \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

**Signature of (PoA) Holder**

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)** App. No. \_\_\_\_\_

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s. \_\_\_\_\_

| Instrument No. | Dated | Drawn on Bank | Account No. | Amount (Rs.) | Scheme / Plan / Option |
|----------------|-------|---------------|-------------|--------------|------------------------|
|                |       |               |             |              |                        |

ISC Stamp, Date & Signature

**4. INVESTMENT & PAYMENT DETAILS : Please issue separate Cheque / DD favouring the Scheme Name you wish to invest (refer instruction 4) (Mandatory)**

Zero Balance  Lumpsum  SIP (Mention the first purchase details below and fill and submit the SIP form separately)

| Scheme Name / Plan / Option   | Amount (₹) | Cheque/DD No./UMRN | Bank / Branch | Account No. | Payment Mode   |
|---|------------|--------------------|---------------|-------------|--|
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |

Payment Type  Non-Third Party Payment  Third Party Payment (Please attach "Third Party Declaration Form")

**5. DEMAT ACCOUNT DETAILS (refer instruction 1f)**

National Securities Depository Ltd. Depository Participant Name \_\_\_\_\_  
 Central Depository Services (India) Ltd. DP ID No. \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**6. BANK ACCOUNT DETAILS (See Instruction 3 on page 19) (Mandatory, as per SEBI Regulations)**

Bank Name \_\_\_\_\_  
 Bank A/c. No. \_\_\_\_\_ A/c. Type  Savings  Current  NRE  NRO  FCNR  
 Branch Name \_\_\_\_\_ City \_\_\_\_\_ Pin Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_ (9 Digit No. next to your Cheque No.) IFSC Code \_\_\_\_\_

**7. OVERSEAS EXPOSURE - MANDATORY ONLY FOR CORPORATES / BANKS / FINANCIAL INSTITUTIONS**

Does your Entity\* have any offices, transactions, investments, activities or planned activities offshore?  Yes  No  
 \* includes any business directly or indirectly controlled by, or under common control with your entity.  
 If the answer is "Yes", please fill out the "Major Sanctioned Countries Questionnaire" Form available on our website www.bnpparibasmf.in.

**8. FATCA DETAILS For Individual (Mandatory) Non Individual investors including HUF should Mandatorily fill separate FATCA detail form**

| Details under Foreign Tax Laws:   | First / Sole Applicant / Guardian  | Second Applicant   | <input type="radio"/> Third Applicant <input type="radio"/> PoA  |
|---|--|--|--|
| Place & Country of Birth  |  |  |  |
| Nationality   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   |
| Address Type  | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business |
| <b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please provide information below)</b> |  |  |  |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |

**Reason A:** The country where Account Holder is liable to pay tax does not issue TIN to its residents  
**Reason B:** No TIN Required (Select this only if the authorities of the respective country of tax residents do not require the TIN to be collected)  
**Reason C:** others, please specify the reason above

**9. NOMINATION - MANDATORY, even if no intention to nominate. Minor & PoA holder cannot nominate and should not fill this section (See Instruction 5 on page 20)**

1. I/We do not wish to nominate SIGNATURE(S) \_\_\_\_\_ First / Sole Applicant \_\_\_\_\_ Second Applicant \_\_\_\_\_ Third Applicant \_\_\_\_\_

2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.

| Nominee Name | Date of Birth <sup>A</sup> | Allocation % <sup>#</sup> | Guardian Signature <sup>A</sup> |
|--------------|----------------------------|---------------------------|---------------------------------|
| Nominee 1    |                            |                           |                                 |
| Nominee 2    |                            |                           |                                 |
| Nominee 3    |                            |                           |                                 |

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

**10. DECLARATION & SIGNATURES**

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under: I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ("Fund"). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, 2002, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my / our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

**Additional declaration for NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

**Additional declaration for Foreign Nationals Resident in India only:** I / We will redeem my / our entire investments before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**Additional declaration for NRIs / PIO / OCIs only:** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. please (✓)  Yes  No If yes, (✓)  Repatriation basis  Non-Repatriation basis

| Dated | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|-------|---|--|---|
|       |   |  |   |

App. No. \_\_\_\_\_

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Name and AMFI Reg. No. | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIN     |
|------------------------|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| ARN- ARN:30862         | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |  |   |
|---|--|---|
| First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|---|--|---|

TRANSACTION CHARGES for Rs. 10,000 and above (✓ any one) (See Instruction on page 12):  
 Existing Investor - Rs. 100     New Investor - Rs. 150     I confirm that I am a first time investor across Mutual Funds.  
 I confirm that I am an existing investor in Mutual Funds.

**1. EXISTING INVESTOR'S FOLIO NUMBER** Folio No. \_\_\_\_\_ The details in our records under the Folio number mentioned alongside will apply for this application.

**2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.)**

**First / Sole Applicant**  Mr.  Ms.  M/s.  Minor

Name: FIRST MIDDLE LAST Date of Birth\* / Incorporation (DDMMYYYY) \* Required for 1st holder/Minor  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number GSTIN

**Guardian Details**  Mr.  Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name: FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile No.

**For Investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other | **Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian

Mailing Address  
 City State Pin Code (Mandatory)  
 Country STD Code Tel. Off.

Overseas Address (Mandatory for NRI / FII Applicant) (See Instruction 2.a) on page 17)  
 Country

**GO GREEN (Default mode of Communication)** → Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**Tax Status:** Individual Non-Individual  
 Resident  NRI-Repatriation  NRI-Non Repatriation  Sole-Proprietorship  On Behalf of Minor  Company  Trust  Society / Club  Partnership / LLP  AOP / BOI  FPI  
 NRI - On Behalf of Minor  PIO / OCI  HUF  Others (Please Specify)  Non Profit Organisation  Others (Please Specify)

**Occupation:**  Private Sector Service  Public Sector Service  Government Service  Student  Professional  Housewife  Business  Retired  Agriculturist  Proprietorship  Defence  Others (Please Specify)

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Second Applicant's Details** Mode of Holding (please ✓)  Joint#  Anyone or Survivor (# Default, in case of more than one applicant and not ticked)

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant's Details**

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Additional Details** Politically Exposed Person (PEP) Status: (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors) Are you / entity involved in any of the services mentioned below? If yes write down it in the following box

**First / Sole Applicant**  I am PEP  I am Related to PEP  Not Applicable

**Second Applicant**  I am PEP  I am Related to PEP  Not Applicable

**Third Applicant**  I am PEP  I am Related to PEP  Not Applicable

**Are you / entity involved in any of the following :** Precious metals (in particular buying-selling Gold) and Gems • Luxury Cars • Boats • Race-horses • Jewellery • Money Service Businesses (MSB) & their agents (excluding Banks) • Currency dealers or Exchanges • Sellers for redeemers of traveler's cheques Money Orders/Remittance services • Pawn shops • Street Market stall • Hotels • Restaurants • Internet Cafes • Door to door sales companies • Taxi • Bars • Night Clubs • Second hand Goods sales • Second hand vehicle dealers (excluding Automobile Franchise) • Casinos • Lotteries • Gambling Clubs • Slot machines Antiques • Art Galleries • Art Dealers • Auctioneer • Art Expert • None of the above

**3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)**

First / Sole Applicant  Second Applicant  Third Applicant

Mr.  Ms.  M/s.  Others \_\_\_\_\_ Name of PoA Holder

PAN \_\_\_\_\_ KYC Identification Number (KIN) \_\_\_\_\_ Aadhaar Number \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

Signature of (PoA) Holder

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)** App. No. \_\_\_\_\_

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s. \_\_\_\_\_

| Instrument No. | Dated | Drawn on Bank | Account No. | Amount (Rs.) | Scheme / Plan / Option |
|----------------|-------|---------------|-------------|--------------|------------------------|
|                |       |               |             |              |                        |

ISC Stamp, Date & Signature

**4. INVESTMENT & PAYMENT DETAILS : Please issue separate Cheque / DD favouring the Scheme Name you wish to invest (refer instruction 4) (Mandatory)**

Zero Balance  Lumpsum  SIP (Mention the first purchase details below and fill and submit the SIP form separately)

| Scheme Name / Plan / Option   | Amount (₹) | Cheque/DD No./UMRN | Bank / Branch | Account No. | Payment Mode   |
|---|------------|--------------------|---------------|-------------|--|
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |

Payment Type  Non-Third Party Payment  Third Party Payment (Please attach "Third Party Declaration Form")

**5. DEMAT ACCOUNT DETAILS (refer instruction 1f)**

National Securities Depository Ltd. Depository Participant Name \_\_\_\_\_  
 Central Depository Services (India) Ltd. DP ID No. \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**6. BANK ACCOUNT DETAILS (See Instruction 3 on page 19) (Mandatory, as per SEBI Regulations)**

Bank Name \_\_\_\_\_  
 Bank A/c. No. \_\_\_\_\_ A/c. Type  Savings  Current  NRE  NRO  FCNR  
 Branch Name \_\_\_\_\_ City \_\_\_\_\_ Pin Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_ (9 Digit No. next to your Cheque No.) IFSC Code \_\_\_\_\_

**7. OVERSEAS EXPOSURE - MANDATORY ONLY FOR CORPORATES / BANKS / FINANCIAL INSTITUTIONS**

Does your Entity\* have any offices, transactions, investments, activities or planned activities offshore?  Yes  No  
 \* includes any business directly or indirectly controlled by, or under common control with your entity.  
 If the answer is "Yes", please fill out the "Major Sanctioned Countries Questionnaire" Form available on our website www.bnpparibasmf.in.

**8. FATCA DETAILS For Individual (Mandatory) Non Individual investors including HUF should Mandatorily fill separate FATCA detail form**

| Details under Foreign Tax Laws:   | First / Sole Applicant / Guardian  | Second Applicant   | <input type="radio"/> Third Applicant <input type="radio"/> PoA  |
|---|--|--|--|
| Place & Country of Birth  |  |  |  |
| Nationality   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   |
| Address Type  | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business |
| <b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please provide information below)</b> |  |  |  |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |

**Reason A:** The country where Account Holder is liable to pay tax does not issue TIN to its residents  
**Reason B:** No TIN Required (Select this only if the authorities of the respective country of tax residents do not require the TIN to be collected)  
**Reason C:** others, please specify the reason above

**9. NOMINATION - MANDATORY, even if no intention to nominate. Minor & PoA holder cannot nominate and should not fill this section (See Instruction 5 on page 20)**

1. I/We do not wish to nominate SIGNATURE(S) \_\_\_\_\_ First / Sole Applicant \_\_\_\_\_ Second Applicant \_\_\_\_\_ Third Applicant \_\_\_\_\_

2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.

| Nominee Name | Date of Birth <sup>A</sup> | Allocation % <sup>#</sup> | Guardian Signature <sup>A</sup> |
|--------------|----------------------------|---------------------------|---------------------------------|
| Nominee 1    |                            |                           |                                 |
| Nominee 2    |                            |                           |                                 |
| Nominee 3    |                            |                           |                                 |

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

**10. DECLARATION & SIGNATURES**

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under: I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ("Fund"). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, 2002, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my / our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

**Additional declaration for NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

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**Additional declaration for NRIs / PIO / OCIs only :** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws.

please (✓)  Yes  No If yes, (✓)  Repatriation basis  Non-Repatriation basis

| Dated | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|-------|---|--|---|
|       |   |  |   |

App. No. \_\_\_\_\_

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Name and AMFI Reg. No. | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIN     |
|------------------------|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| ARN- ARN:30862         | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |  |   |
|---|--|---|
| First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|---|--|---|

TRANSACTION CHARGES for Rs. 10,000 and above (✓ any one) (See Instruction on page 12):  
 Existing Investor - Rs. 100     New Investor - Rs. 150     I confirm that I am a first time investor across Mutual Funds.  
 I confirm that I am an existing investor in Mutual Funds.

**1. EXISTING INVESTOR'S FOLIO NUMBER** Folio No. \_\_\_\_\_ The details in our records under the Folio number mentioned alongside will apply for this application.

**2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.**

**First / Sole Applicant**  Mr.  Ms.  M/s.  Minor

Name: FIRST MIDDLE LAST Date of Birth\* / Incorporation (DDMMYYYY) \* Required for 1st holder/Minor  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number GSTIN

**Guardian Details**  Mr.  Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name: FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile No.

**For Investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other | **Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian

Mailing Address  
 City State Pin Code (Mandatory)  
 Country STD Code Tel. Off.

Overseas Address (Mandatory for NRI / FII Applicant) (See Instruction 2.a) on page 17)  
 Country

**GO GREEN (Default mode of Communication)** → Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**Tax Status:** **Individual**  Resident  NRI-Repatriation  NRI-Non Repatriation  Sole-Proprietorship  On Behalf of Minor  Company  Trust  Society / Club  Partnership / LLP  AOP / BOI  FPI  NRI - On Behalf of Minor  PIO / OCI  HUF  Others (Please Specify)  Non Profit Organisation  Others (Please Specify)

**Occupation:**  Private Sector Service  Public Sector Service  Government Service  Student  Professional  Housewife  Business  Retired  Agriculturist  Proprietorship  Defence  Others (Please Specify)

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Second Applicant's Details** **Mode of Holding** (please ✓)  Joint#  Anyone or Survivor (# Default, in case of more than one applicant and not ticked)

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant's Details**

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

| Additional Details            | Politically Exposed Person (PEP) Status : (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors) | Are you / entity involved in any of the services mentioned below? If yes write down it in the following box |
|-------------------------------|---|---|
| <b>First / Sole Applicant</b> | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                               |   |
| <b>Second Applicant</b>       | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                               |   |
| <b>Third Applicant</b>        | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                               |   |

**Are you / entity involved in any of the following :** • Precious metals (in particular buying-selling Gold) and Gems • Luxury Cars • Boats • Race-horses • Jewellery • Money Service Businesses (MSB) & their agents (excluding Banks) • Currency dealers or Exchanges • Sellers for redeemers of traveler's cheques Money Orders/Remittance services • Pawn shops • Street Market stall • Hotels • Restaurants • Internet Cafes • Door to door sales companies • Taxi • Bars • Night Clubs • Second hand Goods sales • Second hand vehicle dealers (excluding Automobile Franchise) • Casinos • Lotteries • Gambling Clubs • Slot machines Antiques • Art Galleries • Art Dealers • Auctioneer • Art Expert • None of the above

**3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)**

First / Sole Applicant  Second Applicant  Third Applicant

Mr.  Ms.  M/s.  Others \_\_\_\_\_ Name of PoA Holder

PAN \_\_\_\_\_ KYC Identification Number (KIN) \_\_\_\_\_ Aadhaar Number \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

**Signature of (PoA) Holder**

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)** App. No. \_\_\_\_\_

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s. \_\_\_\_\_

| Instrument No. | Dated | Drawn on Bank | Account No. | Amount (Rs.) | Scheme / Plan / Option |
|----------------|-------|---------------|-------------|--------------|------------------------|
|                |       |               |             |              |                        |

ISC Stamp, Date & Signature

**4. INVESTMENT & PAYMENT DETAILS : Please issue separate Cheque / DD favouring the Scheme Name you wish to invest (refer instruction 4) (Mandatory)**

Zero Balance  Lumpsum  SIP (Mention the first purchase details below and fill and submit the SIP form separately)

| Scheme Name / Plan / Option   | Amount (₹) | Cheque/DD No./UMRN | Bank / Branch | Account No. | Payment Mode   |
|---|------------|--------------------|---------------|-------------|--|
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |

Payment Type  Non-Third Party Payment  Third Party Payment (Please attach "Third Party Declaration Form")

**5. DEMAT ACCOUNT DETAILS (refer instruction 1f)**

National Securities Depository Ltd. Depository Participant Name \_\_\_\_\_  
 Central Depository Services (India) Ltd. DP ID No. \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**6. BANK ACCOUNT DETAILS (See Instruction 3 on page 19) (Mandatory, as per SEBI Regulations)**

Bank Name \_\_\_\_\_  
 Bank A/c. No. \_\_\_\_\_ A/c. Type  Savings  Current  NRE  NRO  FCNR  
 Branch Name \_\_\_\_\_ City \_\_\_\_\_ Pin Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_ (9 Digit No. next to your Cheque No.) IFSC Code \_\_\_\_\_

**7. OVERSEAS EXPOSURE - MANDATORY ONLY FOR CORPORATES / BANKS / FINANCIAL INSTITUTIONS**

Does your Entity\* have any offices, transactions, investments, activities or planned activities offshore?  Yes  No  
 \* includes any business directly or indirectly controlled by, or under common control with your entity.  
 If the answer is "Yes", please fill out the "Major Sanctioned Countries Questionnaire" Form available on our website www.bnpparibasmf.in.

**8. FATCA DETAILS For Individual (Mandatory) Non Individual investors including HUF should Mandatorily fill separate FATCA detail form**

| Details under Foreign Tax Laws:   | First / Sole Applicant / Guardian  | Second Applicant   | <input type="radio"/> Third Applicant <input type="radio"/> PoA  |
|---|--|--|--|
| Place & Country of Birth  |  |  |  |
| Nationality   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   |
| Address Type  | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business |
| <b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please provide information below)</b> |  |  |  |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |

**Reason A:** The country where Account Holder is liable to pay tax does not issue TIN to its residents  
**Reason B:** No TIN Required (Select this only if the authorities of the respective country of tax residents do not require the TIN to be collected)  
**Reason C:** others, please specify the reason above

**9. NOMINATION - MANDATORY, even if no intention to nominate. Minor & PoA holder cannot nominate and should not fill this section (See Instruction 5 on page 20)**

1. I/We do not wish to nominate SIGNATURE(S) \_\_\_\_\_ First / Sole Applicant \_\_\_\_\_ Second Applicant \_\_\_\_\_ Third Applicant \_\_\_\_\_

2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.

| Nominee Name | Date of Birth <sup>A</sup> | Allocation % <sup>#</sup> | Guardian Signature <sup>A</sup> |
|--------------|----------------------------|---------------------------|---------------------------------|
| Nominee 1    |                            |                           |                                 |
| Nominee 2    |                            |                           |                                 |
| Nominee 3    |                            |                           |                                 |

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

**10. DECLARATION & SIGNATURES**

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under: I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ("Fund"). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, 2002, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

**Additional declaration for NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

**Additional declaration for Foreign Nationals Resident in India only:** I / We will redeem my / our entire investments before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**Additional declaration for NRIs / PIO / OCIs only :** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws.

please (✓)  Yes  No If yes, (✓)  Repatriation basis  Non-Repatriation basis

| Dated | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|-------|---|--|---|
|       |   |  |   |

App. No. \_\_\_\_\_

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Name and AMFI Reg. No. | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIN     |
|------------------------|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| ARN- ARN:30862         | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |  |   |
|---|--|---|
| First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|---|--|---|

TRANSACTION CHARGES for Rs. 10,000 and above (✓ any one) (See Instruction on page 12):  
 Existing Investor - Rs. 100     New Investor - Rs. 150     I confirm that I am a first time investor across Mutual Funds.  
 I confirm that I am an existing investor in Mutual Funds.

**1. EXISTING INVESTOR'S FOLIO NUMBER** Folio No. \_\_\_\_\_ The details in our records under the Folio number mentioned alongside will apply for this application.

**2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.)**

**First / Sole Applicant**  Mr.  Ms.  M/s.  Minor

Name: FIRST MIDDLE LAST Date of Birth\* / Incorporation (DDMMYYYY) \* Required for 1st holder/Minor  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number GSTIN

**Guardian Details**  Mr.  Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name: FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile No.

**For Investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other | **Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian

Mailing Address  
 City State Pin Code (Mandatory)  
 Country STD Code Tel. Off.

Overseas Address (Mandatory for NRI / FII Applicant) (See Instruction 2.a) on page 17)  
 Country

**GO GREEN (Default mode of Communication)** → Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**Tax Status:** **Individual**  Resident  NRI-Repatriation  NRI-Non Repatriation  Sole-Proprietorship  On Behalf of Minor  Company  Trust  Society / Club  Partnership / LLP  AOP / BOI  FPI  NRI - On Behalf of Minor  PIO / OCI  HUF  Others (Please Specify)  Non Profit Organisation  Others (Please Specify)

**Occupation:**  Private Sector Service  Public Sector Service  Government Service  Student  Professional  Housewife  Business  Retired  Agriculturist  Proprietorship  Defence  Others (Please Specify)

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Second Applicant's Details** **Mode of Holding** (please ✓)  Joint#  Anyone or Survivor (# Default, in case of more than one applicant and not ticked)

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant's Details**

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY) (Mention as per Aadhaar Card)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

| Additional Details            | Politically Exposed Person (PEP) Status: (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors) | Are you / entity involved in any of the services mentioned below? If yes write down it in the following box |
|-------------------------------|--|---|
| <b>First / Sole Applicant</b> | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Second Applicant</b>       | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Third Applicant</b>        | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |

**Are you / entity involved in any of the following :** • Precious metals (in particular buying-selling Gold) and Gems • Luxury Cars • Boats • Race-horses • Jewellery • Money Service Businesses (MSB) & their agents (excluding Banks) • Currency dealers or Exchanges • Sellers for redeemers of traveler's cheques Money Orders/Remittance services • Pawn shops • Street Market stall • Hotels • Restaurants • Internet Cafes • Door to door sales companies • Taxi • Bars • Night Clubs • Second hand Goods sales • Second hand vehicle dealers (excluding Automobile Franchise) • Casinos • Lotteries • Gambling Clubs • Slot machines Antiques • Art Galleries • Art Dealers • Auctioneer • Art Expert • None of the above

**3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)**

First / Sole Applicant  Second Applicant  Third Applicant

Mr.  Ms.  M/s.  Others \_\_\_\_\_ Name of PoA Holder

PAN \_\_\_\_\_ KYC Identification Number (KIN) \_\_\_\_\_ Aadhaar Number \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

Signature of (PoA) Holder \_\_\_\_\_

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)** App. No. \_\_\_\_\_

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s. \_\_\_\_\_

| Instrument No. | Dated | Drawn on Bank | Account No. | Amount (Rs.) | Scheme / Plan / Option |
|----------------|-------|---------------|-------------|--------------|------------------------|
|                |       |               |             |              |                        |

ISC Stamp, Date & Signature

**4. INVESTMENT & PAYMENT DETAILS : Please issue separate Cheque / DD favouring the Scheme Name you wish to invest (refer instruction 4) (Mandatory)**

Zero Balance  Lumpsum  SIP (Mention the first purchase details below and fill and submit the SIP form separately)

| Scheme Name / Plan / Option   | Amount (₹) | Cheque/DD No./UMRN | Bank / Branch | Account No. | Payment Mode   |
|---|------------|--------------------|---------------|-------------|--|
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |

Payment Type  Non-Third Party Payment  Third Party Payment (Please attach "Third Party Declaration Form")

**5. DEMAT ACCOUNT DETAILS (refer instruction 1f)**

National Securities Depository Ltd. Depository Participant Name \_\_\_\_\_  
 Central Depository Services (India) Ltd. DP ID No. \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**6. BANK ACCOUNT DETAILS (See Instruction 3 on page 19) (Mandatory, as per SEBI Regulations)**

Bank Name \_\_\_\_\_  
 Bank A/c. No. \_\_\_\_\_ A/c. Type  Savings  Current  NRE  NRO  FCNR  
 Branch Name \_\_\_\_\_ City \_\_\_\_\_ Pin Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_ (9 Digit No. next to your Cheque No.) IFSC Code \_\_\_\_\_

**7. OVERSEAS EXPOSURE - MANDATORY ONLY FOR CORPORATES / BANKS / FINANCIAL INSTITUTIONS**

Does your Entity\* have any offices, transactions, investments, activities or planned activities offshore?  Yes  No  
 \* includes any business directly or indirectly controlled by, or under common control with your entity.  
 If the answer is "Yes", please fill out the "Major Sanctioned Countries Questionnaire" Form available on our website www.bnpparibasmf.in.

**8. FATCA DETAILS For Individual (Mandatory) Non Individual investors including HUF should Mandatorily fill separate FATCA detail form**

| Details under Foreign Tax Laws:   | First / Sole Applicant / Guardian  | Second Applicant   | <input type="radio"/> Third Applicant <input type="radio"/> PoA  |
|---|--|--|--|
| Place & Country of Birth  |  |  |  |
| Nationality   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   |
| Address Type  | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business |
| <b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please provide information below)</b> |  |  |  |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent Identification Type (TIN or Other, please specify)   |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent Identification Type (TIN or Other, please specify)   |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |

**Reason A:** The country where Account Holder is liable to pay tax does not issue TIN to its residents  
**Reason B:** No TIN Required (Select this only if the authorities of the respective country of tax residents do not require the TIN to be collected)  
**Reason C:** others, please specify the reason above

**9. NOMINATION - MANDATORY, even if no intention to nominate. Minor & PoA holder cannot nominate and should not fill this section (See Instruction 5 on page 20)**

1. I/We do not wish to nominate SIGNATURE(S) \_\_\_\_\_ First / Sole Applicant \_\_\_\_\_ Second Applicant \_\_\_\_\_ Third Applicant \_\_\_\_\_

2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.

| Nominee Name | Date of Birth <sup>A</sup> | Allocation % <sup>#</sup> | Guardian Signature <sup>A</sup> |
|--------------|----------------------------|---------------------------|---------------------------------|
| Nominee 1    |                            |                           |                                 |
| Nominee 2    |                            |                           |                                 |
| Nominee 3    |                            |                           |                                 |

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

**10. DECLARATION & SIGNATURES**

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under: I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ("Fund"). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, 2002, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my / our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

**Additional declaration for NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

**Additional declaration for Foreign Nationals Resident in India only:** I / We will redeem my / our entire investments before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**Additional declaration for NRIs / PIO / OCIs only:** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. please (✓)  Yes  No If yes, (✓)  Repatriation basis  Non-Repatriation basis

| Dated | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|-------|---|--|---|
|       |   |  |   |



App. No. \_\_\_\_\_

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Name and AMFI Reg. No. | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIIN    |
|------------------------|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| ARN- ARN:30862         | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |   |  |
|---|---|--|
| First / Sole Applicant<br>/ Guardian / POA Holder<br>/ Authorised Signatory | Second Applicant<br>/ Guardian / POA Holder | Third Applicant<br>/ Guardian / POA Holder |
|---|---|--|

**TRANSACTION CHARGES for Rs. 10,000 and above** (✓ any one) (See Instruction on page 12):  
 Existing Investor - Rs. 100     New Investor - Rs. 150     I confirm that I am a first time investor across Mutual Funds.  
 I confirm that I am an existing investor in Mutual Funds.

**1. EXISTING INVESTOR'S FOLIO NUMBER** Folio No. \_\_\_\_\_ The details in our records under the Folio number mentioned alongside will apply for this application.

**2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.)**

**First / Sole Applicant**  Mr.  Ms.  M/s.  Minor

Name: FIRST MIDDLE LAST Date of Birth\* / Incorporation (DDMMYYYY) \* Required for 1st holder/Minor  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number GSTIN

**Guardian Details**  Mr.  Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name: FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile No.

**For Investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other | **Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian

Mailing Address  
 City State Pin Code (Mandatory)  
 Country STD Code Tel. Off.

Overseas Address (Mandatory for NRI / FII Applicant) (See Instruction 2.a) on page 17  
 Country

**GO GREEN (Default mode of Communication)** → Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**Tax Status:** **Individual**  Resident  NRI-Repatriation  NRI-Non Repatriation  Sole-Proprietorship  On Behalf of Minor  Company  Trust  Society / Club  Partnership / LLP  AOP / BOI  FPI  NRI - On Behalf of Minor  PIO / OCI  HUF  Others (Please Specify)  Non Profit Organisation  Others (Please Specify)

**Occupation:**  Private Sector Service  Public Sector Service  Government Service  Student  Professional  Housewife  Business  Retired  Agriculturist  Proprietorship  Defence  Others (Please Specify)

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Second Applicant's Details** **Mode of Holding** (please ✓)  Joint<sup>#</sup>  Anyone or Survivor (<sup>#</sup> Default, in case of more than one applicant and not ticked)

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant's Details**

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

| Additional Details            | Politically Exposed Person (PEP) Status: (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors) | Are you / entity involved in any of the services mentioned below? If yes write down it in the following box |
|-------------------------------|--|---|
| <b>First / Sole Applicant</b> | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Second Applicant</b>       | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Third Applicant</b>        | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |

**Are you / entity involved in any of the following :** • Precious metals (in particular buying-selling Gold) and Gems • Luxury Cars • Boats • Race-horses • Jewellery • Money Service Businesses (MSB) & their agents (excluding Banks) • Currency dealers or Exchanges • Sellers for redeemers of traveler's cheques Money Orders/Remittance services • Pawn shops • Street Market stall • Hotels • Restaurants • Internet Cafes • Door to door sales companies • Taxi • Bars • Night Clubs • Second hand Goods sales • Second hand vehicle dealers (excluding Automobile Franchise) • Casinos • Lotteries • Gambling Clubs • Slot machines Antiques • Art Galleries • Art Dealers • Auctioneer • Art Expert • None of the above

**3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)**

First / Sole Applicant  Second Applicant  Third Applicant

Mr.  Ms.  M/s.  Others \_\_\_\_\_ Name of PoA Holder

PAN \_\_\_\_\_ KYC Identification Number (KIN) \_\_\_\_\_ Aadhaar Number \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

**Signature of (PoA) Holder**

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)** App. No. \_\_\_\_\_

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s. \_\_\_\_\_

| Instrument No. | Dated | Drawn on Bank | Account No. | Amount (Rs.) | Scheme / Plan / Option |
|----------------|-------|---------------|-------------|--------------|------------------------|
|                |       |               |             |              |                        |

ISC Stamp, Date & Signature

**4. INVESTMENT & PAYMENT DETAILS : Please issue separate Cheque / DD favouring the Scheme Name you wish to invest (refer instruction 4) (Mandatory)**

Zero Balance  Lumpsum  SIP (Mention the first purchase details below and fill and submit the SIP form separately)

| Scheme Name / Plan / Option   | Amount (₹) | Cheque/DD No./UMRN | Bank / Branch | Account No. | Payment Mode   |
|---|------------|--------------------|---------------|-------------|--|
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |

Payment Type  Non-Third Party Payment  Third Party Payment (Please attach "Third Party Declaration Form")

**5. DEMAT ACCOUNT DETAILS (refer instruction 1f)**

National Securities Depository Ltd. Depository Participant Name \_\_\_\_\_  
 Central Depository Services (India) Ltd. DP ID No. \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**6. BANK ACCOUNT DETAILS (See Instruction 3 on page 19) (Mandatory, as per SEBI Regulations)**

Bank Name \_\_\_\_\_  
 Bank A/c. No. \_\_\_\_\_ A/c. Type  Savings  Current  NRE  NRO  FCNR  
 Branch Name \_\_\_\_\_ City \_\_\_\_\_ Pin Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_ (9 Digit No. next to your Cheque No.) IFSC Code \_\_\_\_\_

**7. OVERSEAS EXPOSURE - MANDATORY ONLY FOR CORPORATES / BANKS / FINANCIAL INSTITUTIONS**

Does your Entity\* have any offices, transactions, investments, activities or planned activities offshore?  Yes  No  
 \* includes any business directly or indirectly controlled by, or under common control with your entity.  
 If the answer is "Yes", please fill out the "Major Sanctioned Countries Questionnaire" Form available on our website www.bnpparibasmf.in.

**8. FATCA DETAILS For Individual (Mandatory) Non Individual investors including HUF should Mandatorily fill separate FATCA detail form**

| Details under Foreign Tax Laws:   | First / Sole Applicant / Guardian  | Second Applicant   | <input type="radio"/> Third Applicant <input type="radio"/> PoA  |
|---|--|--|--|
| Place & Country of Birth  |  |  |  |
| Nationality   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   |
| Address Type  | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business |
| <b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please provide information below)</b> |  |  |  |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent Identification Type (TIN or Other, please specify)   |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent Identification Type (TIN or Other, please specify)   |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |

**Reason A:** The country where Account Holder is liable to pay tax does not issue TIN to its residents  
**Reason B:** No TIN Required (Select this only if the authorities of the respective country of tax residents do not require the TIN to be collected)  
**Reason C:** others, please specify the reason above

**9. NOMINATION - MANDATORY, even if no intention to nominate. Minor & PoA holder cannot nominate and should not fill this section (See Instruction 5 on page 20)**

1. I/We do not wish to nominate SIGNATURE(S) \_\_\_\_\_ First / Sole Applicant \_\_\_\_\_ Second Applicant \_\_\_\_\_ Third Applicant \_\_\_\_\_

2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.

| Nominee Name | Date of Birth <sup>A</sup> | Allocation % <sup>#</sup> | Guardian Signature <sup>A</sup> |
|--------------|----------------------------|---------------------------|---------------------------------|
| Nominee 1    |                            |                           |                                 |
| Nominee 2    |                            |                           |                                 |
| Nominee 3    |                            |                           |                                 |

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

**10. DECLARATION & SIGNATURES**

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under: I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ("Fund"). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, 2002, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my / our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

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**Additional declaration for Foreign Nationals Resident in India only:** I / We will redeem my / our entire investments before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**Additional declaration for NRIs / PIO / OCIs only:** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws.

please (✓)  Yes  No If yes, (✓)  Repatriation basis  Non-Repatriation basis

| Dated | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|-------|---|--|---|
|       |   |  |   |

App. No. \_\_\_\_\_

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Name and AMFI Reg. No. | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIIN    |
|------------------------|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| ARN- ARN:30862         | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |   |  |
|---|---|--|
| First / Sole Applicant<br>/ Guardian / POA Holder<br>/ Authorised Signatory | Second Applicant<br>/ Guardian / POA Holder | Third Applicant<br>/ Guardian / POA Holder |
|---|---|--|

**TRANSACTION CHARGES for Rs. 10,000 and above** (✓ any one) (See Instruction on page 12):  
 Existing Investor - Rs. 100     New Investor - Rs. 150     I confirm that I am a first time investor across Mutual Funds.  
 I confirm that I am an existing investor in Mutual Funds.

**1. EXISTING INVESTOR'S FOLIO NUMBER** Folio No. \_\_\_\_\_ The details in our records under the Folio number mentioned alongside will apply for this application.

**2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.)**

**First / Sole Applicant**  Mr.  Ms.  M/s.  Minor

Name: FIRST MIDDLE LAST Date of Birth\* / Incorporation (DDMMYYYY) \* Required for 1st holder/Minor  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number GSTIN

**Guardian Details**  Mr.  Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name: FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile No.

**For Investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other | **Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian

Mailing Address  
 City State Pin Code (Mandatory)  
 Country STD Code Tel. Off.

Overseas Address (Mandatory for NRI / FII Applicant) (See Instruction 2.a) on page 17  
 Country

**GO GREEN (Default mode of Communication)** → Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**Tax Status:** Individual Non-Individual  
 Resident  NRI-Repatriation  NRI-Non Repatriation  Sole-Proprietorship  On Behalf of Minor  Company  Trust  Society / Club  Partnership / LLP  AOP / BOI  FPI  
 NRI - On Behalf of Minor  PIO / OCI  HUF  Others (Please Specify)  Non Profit Organisation  Others (Please Specify)

**Occupation:**  Private Sector Service  Public Sector Service  Government Service  Student  Professional  Housewife  Business  Retired  Agriculturist  Proprietorship  
 Defence  Others (Please Specify)

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Second Applicant's Details** Mode of Holding (please ✓)  Joint#  Anyone or Survivor (# Default, in case of more than one applicant and not ticked)

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant's Details**

Name:  Mr.  Ms. FIRST MIDDLE LAST Date of Birth (DDMMYYYY)  
 (Please mention Name as per Aadhaar card. Refer instruction no. 2. a)

PAN / PEKRN KYC Identification Number (KIN) Aadhaar Number Mobile

**Occupation**  Pvt. Sector Service  Pub. Sector Service  Gov. Service  Housewife  Student  Professional  Housewife  Business  Retired  Defence  Agriculturist  Forex Dealer  Others

**Gross Annual Income (₹)**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  > 25 Lacs - 1 Crore  > 1 Crore OR Net worth ₹ \_\_\_\_\_

| Additional Details            | Politically Exposed Person (PEP) Status: (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors) | Are you / entity involved in any of the services mentioned below? If yes write down it in the following box |
|-------------------------------|--|---|
| <b>First / Sole Applicant</b> | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Second Applicant</b>       | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |
| <b>Third Applicant</b>        | <input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable                              |   |

**Are you / entity involved in any of the following :** • Precious metals (in particular buying-selling Gold) and Gems • Luxury Cars • Boats • Race-horses • Jewellery • Money Service Businesses (MSB) & their agents (excluding Banks) • Currency dealers or Exchanges • Sellers for redeemers of traveler's cheques Money Orders/Remittance services • Pawn shops • Street Market stall • Hotels • Restaurants • Internet Cafes • Door to door sales companies • Taxi • Bars • Night Clubs • Second hand Goods sales • Second hand vehicle dealers (excluding Automobile Franchise) • Casinos • Lotteries • Gambling Clubs • Slot machines Antiques • Art Galleries • Art Dealers • Auctioneer • Art Expert • None of the above

**3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)**

First / Sole Applicant  Second Applicant  Third Applicant  
 Mr.  Ms.  M/s.  Others \_\_\_\_\_ Name of PoA Holder \_\_\_\_\_

PAN \_\_\_\_\_ KYC Identification Number (KIN) \_\_\_\_\_ Aadhaar Number \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

**Signature of (PoA) Holder**

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)**

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s. \_\_\_\_\_

| Instrument No. | Dated | Drawn on Bank | Account No. | Amount (Rs.) | Scheme / Plan / Option |
|----------------|-------|---------------|-------------|--------------|------------------------|
|                |       |               |             |              |                        |

App. No. \_\_\_\_\_

ISC Stamp, Date & Signature

**4. INVESTMENT & PAYMENT DETAILS : Please issue separate Cheque / DD favouring the Scheme Name you wish to invest (refer instruction 4) (Mandatory)**

Zero Balance  Lumpsum  SIP (Mention the first purchase details below and fill and submit the SIP form separately)

| Scheme Name / Plan / Option   | Amount (₹) | Cheque/DD No./UMRN | Bank / Branch | Account No. | Payment Mode   |
|---|------------|--------------------|---------------|-------------|--|
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |
| <b>BNP Paribas</b><br><input type="radio"/> Regular <input type="radio"/> Direct <input type="radio"/> Growth <input type="radio"/> Dividend<br><input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvest |            |                    |               |             | <input type="radio"/> Cheque <input type="radio"/> DD<br><input type="radio"/> NEFT <input type="radio"/> RTGS<br><input type="radio"/> Funds Transfer <input type="radio"/> OTM |

Payment Type  Non-Third Party Payment  Third Party Payment (Please attach "Third Party Declaration Form")

**5. DEMAT ACCOUNT DETAILS (refer instruction 1f)**

National Securities Depository Ltd. Depository Participant Name \_\_\_\_\_  
 Central Depository Services (India) Ltd. DP ID No. \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**6. BANK ACCOUNT DETAILS (See Instruction 3 on page 19) (Mandatory, as per SEBI Regulations)**

Bank Name \_\_\_\_\_  
 Bank A/c. No. \_\_\_\_\_ A/c. Type  Savings  Current  NRE  NRO  FCNR  
 Branch Name \_\_\_\_\_ City \_\_\_\_\_ Pin Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_ (9 Digit No. next to your Cheque No.) IFSC Code \_\_\_\_\_

**7. OVERSEAS EXPOSURE - MANDATORY ONLY FOR CORPORATES / BANKS / FINANCIAL INSTITUTIONS**

Does your Entity\* have any offices, transactions, investments, activities or planned activities offshore?  Yes  No  
 \* includes any business directly or indirectly controlled by, or under common control with your entity.  
 If the answer is "Yes", please fill out the "Major Sanctioned Countries Questionnaire" Form available on our website www.bnpparibasmf.in.

**8. FATCA DETAILS For Individual (Mandatory) Non Individual investors including HUF should Mandatorily fill separate FATCA detail form**

| Details under Foreign Tax Laws:   | First / Sole Applicant / Guardian  | Second Applicant   | <input type="radio"/> Third Applicant <input type="radio"/> PoA  |
|---|--|--|--|
| Place & Country of Birth  |  |  |  |
| Nationality   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   | <input type="radio"/> Indian <input type="radio"/> US<br><input type="radio"/> Others (Please Specify)   |
| Address Type  | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business | <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business |
| <b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please provide information below)</b> |  |  |  |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |
| Country of Tax Residency  |  |  |  |
| Tax Identification Number or Functional Equivalent  |  |  |  |
| Identification Type (TIN or Other, please specify)  |  |  |  |
| If TIN is not available, please tick  | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          | Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify)          |

**Reason A:** The country where Account Holder is liable to pay tax does not issue TIN to its residents  
**Reason B:** No TIN Required (Select this only if the authorities of the respective country of tax residents do not require the TIN to be collected)  
**Reason C:** others, please specify the reason above

**9. NOMINATION - MANDATORY, even if no intention to nominate. Minor & PoA holder cannot nominate and should not fill this section (See Instruction 5 on page 20)**

1. I/We do not wish to nominate SIGNATURE(S) \_\_\_\_\_ First / Sole Applicant \_\_\_\_\_ Second Applicant \_\_\_\_\_ Third Applicant \_\_\_\_\_

2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.

| Nominee Name | Date of Birth <sup>A</sup> | Allocation % <sup>#</sup> | Guardian Signature <sup>A</sup> |
|--------------|----------------------------|---------------------------|---------------------------------|
| Nominee 1    |                            |                           |                                 |
| Nominee 2    |                            |                           |                                 |
| Nominee 3    |                            |                           |                                 |

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

**10. DECLARATION & SIGNATURES**

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under: I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ("Fund"). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, 2002, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my / our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

**Additional declaration for NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

**Additional declaration for Foreign Nationals Resident in India only:** I / We will redeem my / our entire investments before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**Additional declaration for NRIs / PIO / OCIs only :** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws.

please (✓)  Yes  No If yes, (✓)  Repatriation basis  Non-Repatriation basis

| Dated | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|-------|---|--|---|
|       |   |  |   |



# ECS/NACH/SI Mandate

UMRN

Date

Sponsor Bank Code

Utility Code

Tick (✓)

|        |                                     |
|--------|-------------------------------------|
| CREATE | <input checked="" type="checkbox"/> |
| MODIFY | <input type="checkbox"/>            |
| CANCEL | <input type="checkbox"/>            |

I/We hereby authorize

BNP PARIBAS MUTUAL FUND

to debit (tick✓)

|                             |                             |                             |                                 |                                 |                                |
|-----------------------------|-----------------------------|-----------------------------|---------------------------------|---------------------------------|--------------------------------|
| <input type="checkbox"/> SB | <input type="checkbox"/> CA | <input type="checkbox"/> CC | <input type="checkbox"/> SB-NRE | <input type="checkbox"/> SB-NRO | <input type="checkbox"/> Other |
|-----------------------------|-----------------------------|-----------------------------|---------------------------------|---------------------------------|--------------------------------|

Bank a/c number

with Bank

Name of customers bank

IFSC

or MICR

an amount of Rupees

₹

FREQUENCY  Monthly  Quarterly  Half Yearly  Yearly  As & when presentedDEBIT TYPE  Fixed Amount Maximum Amount

Reference 1

Phone No.

Reference 2

Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD

|      |  |                      |                      |                      |                      |                      |                      |                      |
|------|--|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| From | <input type="text"/>                     | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| To   | <input type="text"/>                     | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Or   | <input type="checkbox"/> Until Cancelled |                      |                      |                      |                      |                      |                      |                      |

Signature Primary Account holder

Signature of Account holder

Signature of Account holder

1. Name as in bank records

2. Name as in bank records

3. Name as in bank records

This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit

## Instructions to fill One Time Mandate (OTM)

- Investors who have already submitted a One Time Mandate (OTM) form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility may fill the form.
- Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned.
- Unit holder(s) need to provide, along with the mandate form, an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. Please mention the Name of the Bank, Branch, and IFSC/MICR code in the OTM form. The Unit holder(s) cheque/ bank account details are subject to third party verification.
- Investors are deemed to have read and understood the terms and conditions of OTM Facility, SIP registration through OTM facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of BNP Paribas Mutual Fund.
- Date and the validity of the mandate should be mentioned in DD/MM/YYYY format.
- Utility Code of the Service Provider will be mentioned by BNP Paribas Mutual Fund
- Amount payable for service or maximum amount per transaction that could be processed in words. The amount in figures should be same as the amount mentioned in words, in case of ambiguity the mandate will be rejected.
- For the convenience of the investors the frequency of the mandate will be "As and When Presented"
- Please affix the Names of customer/s and signature/s as well as seal of Company (where required) and sign the undertaking.

**Declaration:** I/We hereby declare that the particulars provided in this mandate are correct and complete and hereby agree to participate in the NACH/ECS/Direct Debit/Standing Instructions (SI) and make payments through the NACH platform according to the terms and conditions thereof. I/We further hereby agree and acknowledge that I/we will not hold the AMC and/or responsible for any delay and/or failure in debiting my bank account for reasons not attributable to the negligence and/or misconduct on the part of the AMC I/We hereby declare and confirm that, irrespective of my/our registration of the above mobile number in the 'DO NOT DISTURB (DND)', 'or in any similar register maintained under applicable laws, now or subsequent to the date hereof, I/We hereby consent to the Bank communicating with me/us in any manner whatsoever on the said mobile number with respect to the transactions carried out in my/our aforementioned bank account(s). I/We hereby agree to abide by the terms and conditions that may be intimated to me/us by the AMC/Bank with respect to the NACH/ECS/Direct Debit/SI from time to time.

**Authorisation to Bank:** This is to inform that I/We have registered for ECS / NACH (Debit Clearing) / Direct Debit / SI facility and that the payment towards my/our investments in the Schemes of BNP Paribas Mutual Fund shall be made from my/our above mentioned bank account with your Bank. I/We hereby authorize the representatives of BNP Paribas Asset Management India Private Limited, Investment Manager to BNP Paribas Mutual Fund carrying this mandate form to get it verified and executed. I/We authorize the Bank to debit my/our above-mentioned bank account for any charges towards mandate verification, registration, transactions, returns, etc, as applicable for my/our participation in NACH/ ECS/Direct Debit/SI.

---

Name of the entity

Type of address given at KRA  Residential or Business  Residential  Business  Registered Office

PAN

Date of Incorporation  /  /

City of Incorporation

Country of Incorporation

Please tick the applicable tax resident declaration-

1. Is "Entity" a tax resident of any country other than India  Yes  No  
(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below)

| Country | Tax Identification Number ^ | Identification Type (TIN or Other, please specify) |
|---------|-----------------------------|--|
|         |                             |  |
|         |                             |  |
|         |                             |  |
|         |                             |  |

^ In case Tax Identification Number is not available, kindly provide its functional equivalent<sup>5</sup>  
 In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Identification Number or GIIN, etc.  
 In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here \_\_\_\_\_  
 Please refer to para 3(vii) Exemption code for U.S. person under Part D of FATCA Instructions & Definitions.

**FATCA & CRS Declaration**  
Please consult your professional tax advisor for further guidance on FATCA & CRS classification

**PART A (to be filled by Financial Institutions or Direct Reporting NFEs)**

1. We are a  
 Financial Institution<sup>3</sup>  **Global Intermediary Identification Number (GIIN)** \_\_\_\_\_  
 or  
 Direct reporting NFE<sup>4</sup>  Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below  
 (Please tick as appropriate) Name of sponsoring entity \_\_\_\_\_

**GIIN not available (Please tick as appropriate)**  **Applied for**  
 If the entity is a financial institution,  Not required to apply for - please specify 2 digits sub-category<sup>10</sup>    
 Not obtained - Non-participating FI

**PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")**

1. Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) No  Yes  (if yes, please specify any one stock exchange on which the stock is regularly traded)  
 Name of stock exchange \_\_\_\_\_

2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) No  Yes  (if yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)  
 Name of listed company \_\_\_\_\_  
 Nature of relation  Subsidiary of the Listed Company or  Controlled by a Listed Company  
 Name of stock exchange \_\_\_\_\_

3. Is the Entity an active<sup>1</sup> non-financial Entity (NFE) No  Yes   
 Name of Business \_\_\_\_\_  
 Please specify the sub-category of Active NFE  (Mention code - refer 2c of Part D)

4. Is the Entity a passive<sup>2</sup> NFE No  Yes  (If yes, please fill UBO declaration in the next section)  
 Name of Business \_\_\_\_\_

<sup>1</sup> Refer 2 of Part D | <sup>2</sup> Refer 3(ii) of Part D | <sup>3</sup> Refer 1(i) of Part D | <sup>4</sup> Refer 3(vi) of Part D |

**# If passive NFE, please provide below additional details for each of Controlling person. (Please attach additional sheets if necessary)**

| Name and PAN / Any other Identification Number<br>(PAN, Adhar, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others)<br>City of Birth - County of Birth | Occupation Type - Service, Business, Others<br>Nationality<br>Father's Name - Mandatory if PAN is not available | DOB - Date of Birth<br>Gender - Male, Female, Other  |
|---|---|--|
| 1. Name _____<br>PAN _____<br>City of Birth _____<br>Country of Birth _____   | Occupation Type _____<br>Nationality _____<br>Father's Name _____   | DOB <input type="text" value="DD"/> / <input type="text" value="MM"/> / <input type="text" value="YYYY"/><br>Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other |
| 2. Name _____<br>PAN _____<br>City of Birth _____<br>Country of Birth _____   | Occupation Type _____<br>Nationality _____<br>Father's Name _____   | DOB <input type="text" value="DD"/> / <input type="text" value="MM"/> / <input type="text" value="YYYY"/><br>Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other |
| 3. Name _____<br>PAN _____<br>City of Birth _____<br>Country of Birth _____   | Occupation Type _____<br>Nationality _____<br>Father's Name _____   | DOB <input type="text" value="DD"/> / <input type="text" value="MM"/> / <input type="text" value="YYYY"/><br>Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other |

# Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India  
 \* To include US, where controlling person is a US citizen or green card holder  
 ^ In case Tax identification Number is not available, kindly provide functional equivalent

The Central Board of Direct Taxes has notified Rules 114F to 114H as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days.

If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

<sup>§</sup> It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

### PART C : CERTIFICATION

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I / We also confirm that I / We have read and understood that FATCA & CRS Terms and Conditions below and hereby accept the same.

Name

Designation

Date

|           |           |           |
|-----------|-----------|-----------|
| Signature | Signature | Signature |
|-----------|-----------|-----------|

### PART D : FATCA INSTRUCTIONS & DEFINITIONS

(Note : The Guidance Note / notification issued by the CBDT shall prevail in respect to Interpretation of the terms specified in the form)

- 1(i) Financial Institution (FI)** - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
- 1(ii) Depository institution:** is an entity that accepts deposits in the ordinary course of banking or similar business.
- 1(iii) Custodial institution** is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of:
  - (i) The three financial years preceding the year in which determination is made; or
  - (ii) The period during which the entity has been in existence, whichever is less.
- 1(iv) Investment entity** is any entity:
  - (a) The primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
    - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or Individual and collective portfolio management; or
    - (ii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;
  - (b) The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:
    - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
    - (ii) The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 04, 05, 06 and 07 - (refer point 2c.)

- 1(v) Specified Insurance Company:** Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contracts or an Annuity Contract.
- 1(vi) FI not required to apply for GIIN:** Refer Rule 114F(5) of Income Tax Rules, 1962 for the conditions to be satisfied as "non-reporting financial institution and Guidance issued by CBDT in this regard"
  - A. Reasons why FI not required to apply for GIIN:

| Code | Sub-Category  |
|------|---|
| 01   | Government Entity, International Organization or Central Bank   |
| 02   | Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Government Entity, International Organization or Central Bank |
| 03   | Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund  |
| 04   | Entity is an Indian FI society because it is an Investment entity   |
| 05   | Qualified credit card issuer  |
| 06   | Investment Advisors, Investment Managers & Executive Brokers  |
| 07   | Exempt collective investment vehicle  |
| 08   | Trust   |
| 09   | Non-registering local banks   |
| 10   | FFI with only Low-Value Accounts  |
| 11   | Sponsored investment entity and controlled foreign corporation  |
| 12   | Sponsored, Closely Held Investment Vehicle  |

- 2 Active Non-financial entity (NFE) :** (any one of the following): Refer Explanation (A) to 114F(6) of Income Tax Rules, 1962 for details.

| Code | Sub-Category   |
|------|--|
| 01   | Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income; |
| 02   | The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity, the stock of which is regularly traded on an established securities market   |
| 03   | The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;  |



| Code | Sub-Category   |
|------|--|
| 04   | Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buy out fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes  |
| 05   | The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;  |
| 06   | The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than of a Financial Institution;   |
| 07   | The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;   |
| 08   | <p>Any NFE that fulfills all of the following requirements:</p> <ul style="list-style-type: none"> <li>• It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> <li>• It is exempt from income tax in india;</li> <li>• It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> </ul> <p>The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and</p> <p>The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.</p> <p>Explanation - For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:</p> <ul style="list-style-type: none"> <li>(i) an Investor Protection Fund referred to in clause (23EA)</li> <li>(ii) a Credit Guarantee fund Trust for Small Industries referred to in clause 23EB; and</li> <li>(iii) an Investor Protection Fund referred to in clause (23EC), of Section 10 of the Act;</li> </ul> |

### 3. Other definitions

(i) Related Entity

An entity is a 'related entity' or another entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity; or
- (ii) an investment entity defined in clause 1(iv)(b) of these instructions
- (iii) a withholding foreign partnership or withholding foreign trust;

(iii) Passive Income

The term passive income includes income by way of :

- (1) Dividends
- (2) Interest
- (3) Income equivalent to Interest
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- (5) Annuities
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets
- (8) The excess of foreign currency gains over foreign currency losses
- (9) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance Records) Rules, 2005.

In determining the beneficial owner, the procedure specified in the following circular as amended from time to time shall be applied, namely:

- (i) DBOD AML. BC.No.71/14.01.001/2012-13, issued on the 18th January, 2013 by the Reserve Bank of India; or
- (ii) CIR/MIRSD/2/2013, issued on the 24th January, 2013 by the Securities and Exchange Board of India; or
- (iii) IRDA/SDD/GDL/CIR019/02/2013, issued on the 4th February, 2013 by the Insurance Regulatory and Development Authority.

In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, the said expression means the person in equivalent or similar position.

(A) Controlling Person Type:

| Code | Sub-Category                                     |
|------|--|
| 01   | CP of legal person-ownership                     |
| 02   | CP of legal person-other means                   |
| 03   | CP of legal person-senior managing official      |
| 04   | CP of legal arrangement-trust-settlor            |
| 05   | CP of legal arrangement-trust-trustee            |
| 06   | CP of legal arrangement-trust-protector          |
| 07   | CP of legal arrangement-trust-beneficiary        |
| 08   | CP of legal arrangement-trust-other              |
| 09   | CP of legal arrangement-other-settlor equivalent |

| Code | Sub-Category   |
|------|--|
| 10   | CP of legal arrangement-other-trustee equivalent     |
| 11   | CP of legal arrangement-other-protector equivalent   |
| 12   | CP of legal arrangement-other-beneficiary equivalent |
| 13   | CP of legal arrangement-other-other equivalent       |
| 14   | Unknown  |

(v) Specified U.S. person - A U.S. person other than the following:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an Individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in Section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64)
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Direct reporting NFE

A direct reporting NFE means that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

(vii) Exemption code for U.S. persons (Refer 114F(9) of Income Tax Rules, 1962 for details

| Code | Sub-Category  |
|------|---|
| A    | An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)  |
| B    | The United States or any of its agencies or instrumentalities   |
| C    | A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities   |
| D    | A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)  |
| E    | A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)   |
| F    | A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, future, forwards and options) that is registered as such under the laws of the United States or any state |
| G    | A real estate investment trust  |
| H    | A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment Company Act of 1940  |
| I    | A common trust fund as defined in section 584(a)  |
| J    | A bank as defined in section 581  |
| K    | A broker  |
| L    | A trust exempt from tax under section 664 or described in section 4947(a)(1)  |
| M    | A tax exempt trust under a section 403(b) plan or section 457(g) plan   |



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 BNP Paribas House, 1 North Avenue, Maker Maxity, Bandra Kurla Complex,  
 Bandra (East), Mumbai - 400 051, Maharashtra, India.  
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 E-mail: [customer.care@bnpparibasmf.in](mailto:customer.care@bnpparibasmf.in)



**PART I : APPLICANT / INVESTOR DETAILS :**

|               |     |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|---------------|-----|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Investor Name |     |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|               | PAN |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

**PART II : APPLICABLE FOR LISTED COMPANY / ITS SUBSIDIARY COMPANY ONLY**

(i) I / We hereby declare that -

Our Company is Listed Company listed on recognized stock exchange in India

Our Company is a subsidiary of the Listed Company

Our Company is controlled by a Listed Company

(ii) Details of Listed Company^

Stock Exchange on which listed \_\_\_\_\_ security ISIN \_\_\_\_\_

**^ The details of holding / parent Company to be provided in case the applicant / investor is a Subsidiary Company.**

**PART III : APPLICABLE FOR NON-INDIVIDUALS OTHER THAN LISTED COMPANY / ITS SUBSIDIARY COMPANY**

(i) Category [Please tick (✓) applicable category]:

Unlisted Company     Partnership Firm     Limited Liability Partnership Company     Unincorporated association / body of individuals     Public Charitable Trust

Religious Trust     Private Trust     Private Trust created by a Will     Others (Please specify) \_\_\_\_\_

(ii) Details of Ultimate Beneficiary Owner

(In case the space provided is insufficient, please provide the information by attaching separate declaration forms)

|  | 1 | 2 | 3 | 4 |
|--|---|---|---|---|
| Name of UBO [Mandatory] Along with Designation / Position wherever applicable      |   |   |   |   |
| UBO Code [Refer instruction 3]   |   |   |   |   |
| PAN or any other valid ID proof for those where PAN is not applicable <sup>1</sup> |   |   |   |   |
| KYC (Yes/No) <sup>2</sup>  |   |   |   |   |
| Taxpayer Identification Number <sup>3</sup>  |   |   |   |   |
| Country of Tax Residency [CTR]   |   |   |   |   |
| CP / UBO Code [Refer Instruction E]  |   |   |   |   |
| Country of Birth [COB]   |   |   |   |   |
| Date of Birth [dd- mm-yyyy]  |   |   |   |   |
| Country of Permanent Address [CPA]   |   |   |   |   |
| Gender [Male, Female, others]  |   |   |   |   |
| Father's Name  |   |   |   |   |
| Occupation [Service, Business, Others]   |   |   |   |   |
| Percentage of Holding (%) <sup>4</sup>   |   |   |   |   |

<sup>1</sup> If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.

<sup>2</sup> If UBO is not KYC compliant, request to complete KYC formalities and send the intimation to SBFS / Fund. Attach valid address proof

<sup>3</sup> If UBO is resident / citizen of 'other than India' or citizen/tax resident/green card holder of USA, please provide Taxpayer ID Number / US Social Security Number [SSN]

<sup>4</sup> Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

**Note: Attached documents should be self-certified by the UBO and certified by the Applicant/Investor Authorized Signatory/ies.**

**PART IV: DECLARATION**

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief and provided after consulting necessary tax professionals, read & understood the FATCA terms and conditions. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may liable for it. I/We hereby authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / associated parties / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India and other investigation agencies without any obligation of advising me/us of the same. Further, I/We, authorize to share the given information to other SEBI Registered Intermediaries to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information / documentary proof as may be required at your end.

**Authorized Signatories [with Company/Trust/Firm/Body Corporate seal]**

|                      |                      |                      |
|----------------------|----------------------|----------------------|
|                      |                      |                      |
| Authorized Signatory | Authorized Signatory | Authorized Signatory |

Place \_\_\_\_\_ Date 

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

## GENERAL INFORMATION & INSTRUCTIONS

As per SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No. CIR/MIRSD/2/2013 dated January 24, 2013, non- individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs / UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and / or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

### 1. Ultimate Beneficiary Owner [UBO]:

#### A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of / entitlement to:
  - more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
  - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership;
  - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

#### B. For Investors which is a trust:

The identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

#### C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors; viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the client

### 2. KYC requirements

Beneficial Owner(s) is / are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the listed Beneficial Owner(s).

### 3. Controlling Person Type [CP / UBO] Codes:

| UBO Code | Description  |
|----------|--|
| 01       | CP of legal person-ownership                         |
| 02       | CP of legal person-other means                       |
| 03       | CP of legal person-senior managing official          |
| 04       | CP of legal arrangement-trust-settlor                |
| 05       | CP of legal arrangement-trust-trustee                |
| 06       | CP of legal arrangement-trust-protector              |
| 07       | CP of legal arrangement-trust-beneficiary            |
| 08       | CP of legal arrangement-trust-other                  |
| 09       | CP of legal arrangement-other-settlor equivalent     |
| 10       | CP of legal arrangement-other-trustee equivalent     |
| 11       | CP of legal arrangement-other-protector equivalent   |
| 12       | CP of legal arrangement-other-beneficiary equivalent |
| 13       | CP of legal arrangement-other-other equivalent       |
| 14       | Unknown  |



BNP Paribas Asset Management India Private Limited  
 BNP Paribas House, 1 North Avenue, Maker Maxity, Bandra Kurla Complex,  
 Bandra (East), Mumbai - 400 051, Maharashtra, India.  
 Toll Free: 1800 102 2595 • Web : www.bnpparibasmf.in  
 E-mail: customer.care@bnpparibasmf.in



Please (✓)  SIP Registration  SIP Renewal  SIP Cancellation  SIP - Change in Bank Details

| DISTRIBUTOR / BROKER INFORMATION [refer instruction 1(b)] |                                    |                 |                 |                             |          |
|---|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| Name and AMFI Reg. No.                                    | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIN     |
| ARN- ARN:30862  | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

|   |  |   |
|---|--|---|
| First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|---|--|---|

**1. APPLICANT'S INFORMATION (Mandatory, if left blank, the application is liable to be rejected)**

Name of Sole / First Unit Holder  First Name  Middle Name  Last Name

Folio No.  Application No.

Mode of Holding (please ✓)  Single  Joint  Anyone or Survivor PAN (First Unit Holder)

Mobile No. +91  E-mail ID

**2. SYSTEMATIC INVESTMENT PLAN DETAILS**

Scheme / Plan / Option

Frequency (Please ✓)  Weekly SIP  Monthly<sup>#</sup> SIP  Quarterly<sup>#</sup> SIP (Calendar Quarter i.e. January, April, July and October) (#ECS available)

SIP Date Weekly SIP (Monday to Friday): Day of transfer  Monthly and Quarterly SIP: Preferred Debit Date (Any date except 29th, 30th and 31st)

Enrolment Period  Regular From  To   Perpetual From  To  0 1 / 2 0 9 9

Each SIP Amount ₹  No. of instalments  Total Amount ₹  First SIP Instalment via: Cheque No.

Drawn on Bank

Branch  A/c. No.

SIP Top UP (Optional) Top Up Amount\*  Amount in multiples of ₹ 500 only Top Up Frequency  Half Yearly  Yearly\*

**3. DECLARATION & SIGNATURES**

This is to inform that I/We have registered for the RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit / Standing Instruction and that my payment towards my investment in BNP Paribas Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS (Debit Clearing) / Direct Debit / Standing Instruction mandate Form to get it verified & executed. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS (Debit Clearing) / Direct Debit / Standing Instruction. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I /We will also inform BNP Paribas Mutual Fund/ BNP Paribas Asset Management India Limited, about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned hereafter. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the Mutual Fund or the Bank responsible. If the date of debit to my/ our account happens to be a non business day as per the Mutual Fund, execution of the SIP will happen on the day of holiday and allotment of units will happen as per the Terms and Conditions listed in the Offer Document of the Mutual Fund. Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, Unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond Bank's reasonable control and which has the effect of preventing the performance of the contract by the Bank. I/We acknowledge that no separate intimation will be received from Bank in case of non-execution of the instructions for any reasons whatsoever.

| SIGNATURE AS PER BNP PARIBAS MUTUAL FUND<br>(To be signed as per Mode of Holding) |                      |
|---|----------------------|
| Sole/First Applicant/Guardian   | <input type="text"/> |
| Second Applicant<br>(Not applicable if first applicant is minor)                  | <input type="text"/> |
| Third Applicant<br>(Not applicable if first applicant is minor)                   | <input type="text"/> |

| SIGNATURE AS PER BANK RECORDS<br>(To be signed by all holder if Mode of Operation in the Bank is Joint) |                      |
|---|----------------------|
| Sole / First Holder   | <input type="text"/> |
| Second Holder   | <input type="text"/> |
| Third Holder  | <input type="text"/> |

**ECS/NACH/SI Mandate** UMRN  Date

Sponsor Bank Code  Utility Code

Tick (✓)  CREATE  MODIFY  CANCEL I/We hereby authorize  BNP PARIBAS MUTUAL FUND to debit (tick ✓)  SB  CA  CC  SB-NRE  SB-NRO  Other

Bank a/c number

with Bank  Name of customers bank IFSC  or MICR

an amount of Rupees  ₹

FREQUENCY  Mthly  Qtrly  H-Yrly  Yrly  As & when presented DEBIT TYPE  Fixed Amount  Maximum Amount

Reference 1  Phone No.

Reference 2  Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD From  To  Or  Until Cancelled

Signature Primary Account holder  Signature of Account holder  Signature of Account holder

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

## SIP - INSTRUCTIONS

- The SIP Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (□), where boxes have been provided. The SIP Enrolment Form, complete in all respects, should be submitted to any of the Official Points of Acceptance of Transactions.
- A single SIP Enrolment Form can be used for one Scheme / Plan / Option / SIP Date only. Investor should use separate forms for more than one Scheme / Plan / Option.
- Existing unit holders are required to submit only the SIP Enrolment Form. Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing folio number.
- New investors who wish to enroll for SIP are required to fill the Common Application Form and SIP Application Form. New investors are advised to read the Key Information Memorandum(s) and Scheme Information Document carefully before investing and the same are available with the ISCs / distributors.
- To start an SIP, an investor has to provide the specified number of postdated cheques in advance, for the minimum amount for the facility chosen by the investor (as given in instruction no. 9). The 1st cheque can be of any date but the subsequent cheques should be of the same amount and same date. The enrolment form should reach at least 30 days before the due date at any of the Official Points of Acceptance of Transactions.
- Under SIP the investor can for a continuous period of time invest a fixed amount at regular intervals for purchasing additional Units of the Scheme(s) at the Applicable NAV, subject to applicable Load.
- SIP offers investors the following three facilities and the minimum application amount for SIP will be as follows:
  - Weekly Systematic Investment Facility (WSIF):** ₹ 500/- and in multiples of ₹ 1/- thereafter on a weekly basis by providing in advance a minimum of 6 post-dated cheques.
  - Monthly Systematic Investment Facility (MSIF):** ₹ 500/- and in multiples of ₹ 1/- thereafter on a monthly basis by providing for a minimum of 6 installments.
  - Quarterly Systematic Investment Facility (QSIF):** W.e.f. August 1, 2014 ₹ 500/- and in multiples of ₹ 1/- thereafter on a quarterly basis by (i.e., January, April, July, October) providing for a minimum of 6 installments.

The minimum investment amount required under SIP facility of BNP Paribas Long Term Equity Fund for WSIF or MSIF or QSIF will be ₹ 500 and in multiples of ₹ 500 thereafter.

Accordingly, the provision for SIP facility which requires the standing instruction for SIP (including Post Dated Cheque (PDC) or Auto Debit / NACH) to be such that the criteria of minimum application amount of that particular scheme in which investment is intended via SIP facility is complied with, shall no longer be applicable.
- Post-dated cheques for SIP should be dated 1st or 7th or 15th or 25th of a month under WSIF. For MSIF it should be either 1st or 7th or 10th or 15th or 25th or 28th of a month or first month of each quarter under QSIF (e.g. 1st or 7th or 10th or 15th or 25th or 28th of January, April, July and October). In case the date falls on a Non-Business Day or falls during a book closure period, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV subject to the realization of cheques. Units will be allotted on the above applicable dates. If both from date and SIP date is not ticked in the SIP investment application, 7th of every month shall be considered as default SIP date.
- Applicable Load Structure for SIP (For All Schemes):**

**Entry Load:** Nil

The provisions of Exit Load as applicable to the normal investments as on the date of enrollment will be applicable to fresh SIP investments.
- Separate SIP Enrolment Forms are required to be filled for WSIF, MSIF and QSIF.
- The cheques should be drawn in favour of the respective "Scheme / Plan / Option" and crossed "A/c Payee Only" and must be payable at the locations where applications are submitted at the Official Points of Acceptance of Transactions. Unit holders must write the SIP Enrolment Form number, if any, on the reverse of the cheques accompanying the SIP Enrolment Forms. Outstation cheques will not be accepted and applications accompanied by such cheques are liable to be rejected. No cash, money orders or postal orders will be accepted.
- Returned cheque(s) will not be presented again for collection. In case of bouncing of cheque / no credit receipt for SIP for 3 consecutive times, such SIP application shall be cancelled. In case, the reason mentioned by the respective bank for returning the cheque is 'A/C Closed', the SIP will stand cancelled immediately on receipt of this feed from the respective banks.
- Investors have the right to discontinue the SIP facility at any time by sending a written request to any of the Official Points of Acceptance of Transactions. Such notice should be received at least 14 days prior to the due date of the next cheque. On receipt of such request, the SIP facility will be terminated and the remaining un-utilised post-dated cheque(s) will be returned to the investor.
- The enrolment period of SIP will be as per the instruction given by the investor. In case it is instructed to continue SIP "Till instruction to discontinue the SIP is submitted" investors will have to submit SIP cancellation request to discontinue the SIP. In case of any ambiguity in enrolment period or if the end date of SIP is not mentioned, the default period for SIP will be 5 years.
- In case investor has not selected any frequency or in case of any ambiguity, monthly frequency shall be considered as default option. Similarly, 7th day shall be considered as default execution date. In case of any ambiguity in the enrolment form, the SIP enrolment request shall be liable to be rejected.
- In case of minor application, AMC will register standing instructions till the date of the minor attaining majority, though the instructions may be for a period beyond that date. Prior to minor attaining majority, AMC shall send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents to change the status of the account to "major". The account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no fresh transactions shall be permitted till the documents for changing the status are received.
- The Trustee / AMC reserves the right to change / modify the terms of the SIP. The above load structure will be in force till further notice. This load structure is subject to change and may be imposed / modified prospectively from time to time, as may be decided by the Trustee / AMC from time to time.
- If no start date is mentioned by the investors, the SIP will be registered to start from a period after 30 days from the date of submission of the application form.

### The terms and conditions for availing the 'Top-Up SIP' shall be as follows:

- Frequency for Top-Up SIP**
  - For Monthly SIP:**
    - Half Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 6th (sixth) SIP installment.
    - Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 12th (twelfth) SIP installment.
  - For Quarterly SIP:**
    - Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 4th (fourth) SIP installment. In case the investor who has registered under Quarterly SIP opts for Half Yearly Top-Up SIP, the same shall be registered and processed as Yearly Top-Up SIP.
- Minimum Top-Up SIP Amount:**  
₹ 500 and in multiples of ₹ 500 thereafter.
- Default Top-Up SIP Frequency and amount:**  
In case the investor fails to specify either the frequency or amount for Top-Up SIP, the same shall be deemed as Yearly Top-Up SIP and ₹ 500 respectively and the application form shall be processed accordingly. In case the investor fails to specify both, i.e. the frequency for Top-Up SIP and amount for Top-Up SIP, the application form may be processed as conventional SIP, subject to it being complete in all other aspects.
- Top-Up SIP shall be available for SIP Investments only through NACH / ECS (Debit Clearing) / Direct Debit Facility only. Top-Up SIP shall not be available under SIP facility availed by Investors through Standing Instructions / PDCs or investing through Channel Partners or through Stock Exchange Platforms.
- Top-Up SIP facility shall not be available under Weekly SIP option.
- Top-Up SIP facility can be availed by the investors only at the time of registration of SIP or renewal of SIP.
- Investors should note that for modification of any of the details of Top-Up SIP details, the existing SIP with Top-Up facility shall be required to be cancelled and investor would be required to enroll a fresh SIP with modified Top-Up facility details.

**Declaration:** I / We hereby declare that the particulars provided in this mandate are correct and complete and hereby agree to participate in the NACH / ECS / Direct Debit / Standing Instructions (SI) and make payments through the NACH platform according to the terms and conditions thereof. I / We further hereby agree and acknowledge that I / we will not hold the AMC and/or responsible for any delay and / or failure in debiting my bank account for reasons not attributable to the negligence and / or misconduct on the part of the AMC I / We hereby declare and confirm that, irrespective of my / our registration of the above mobile number in the 'DO NOT DISTURB (DND)', 'or in any similar register maintained under applicable laws, now or subsequent to the date hereof, I / We hereby consent to the Bank communicating with me/us in any manner whatsoever on the said mobile number with respect to the transactions carried out in my / our aforementioned bank account(s). I / We hereby agree to abide by the terms and conditions that may be intimated to me / us by the AMC / Bank with respect to the NACH / ECS / Direct Debit / SI from time to time.

**Authorisation to Bank:** This is to inform that I / We have registered for ECS / NACH (Debit Clearing) / Direct Debit / SI facility and that the payment towards my / our investments in the Schemes of BNP Paribas Mutual Fund shall be made from my / our above mentioned bank account with your Bank. I / We hereby authorize the representatives of BNP Paribas Asset Management India Private Limited, Investment Manager to BNP Paribas Mutual Fund carrying this mandate form to get it verified and executed. I / We authorize the Bank to debit my / our above-mentioned bank account for any charges towards mandate verification, registration, transactions, returns, etc, as applicable for my / our participation in NACH / ECS / Direct Debit / SI.

# ELECTRONIC CLEARING SYSTEM (ECS) / AUTO DEBIT / NACH / STANDING INSTRUCTION (SI) - TERMS & CONDITIONS

## SIP payment through Electronic Clearing System (ECS) / NACH / Auto Debit / Standing Instruction (SI) of the Reserve Bank of India (RBI)

- This facility is offered to the investors having bank accounts in **select cities mentioned below**. The bank branch through which you want your SIP Auto-Debits to take place should be a participant in local MICR Clearing.
- SIP Auto-Debit Facility is offered to you using RBI's Electronic Clearing System (ECS) / Auto Debit / NACH / Standing Instruction (SI) for effecting SIP payments. By opting for this facility, you agree to abide by the terms and conditions of ECS / Auto debit / SI Facility of Reserve Bank of India.
- New investors need to submit:
  - SIP Application Form for the chosen Scheme duly filled in
  - Completed SIP Auto Debit Facility Form
  - Cheque for the First Installment of the SIP
  - Cancelled copy of the cheque of the bank whose details have been mentioned in the ECS / Auto debit bank account.
- These details have to be submitted at least 30 days before the first SIP installment date. In addition all the other corporate / other documents as mentioned in the common application form needs to be submitted.
- Existing investors need to mention the Folio Number and submit the following:
  - Completed SIP Auto Debit Facility Form
  - Cheque for the First Installment of the SIP
  - Cancelled copy of the cheque of the bank whose details have been mentioned in the ECS / Auto debit bank account.These details have to be submitted at least 30 days before the first SIP installment date.
- Investors can opt for SIP on a monthly or quarterly basis under the ECS / NACH / Auto debit / SI facility. The first debit will be basis the SIP cheque. In case the chosen date falls on a Non-Business Day, the SIP will be processed on the immediate next Business Day.
- The cheque should be drawn in favour of "the respective Scheme / Plan / Option" as applicable and crossed "A/c. Payee Only".
- A separate SIP Enrolment Form must be filled for each Scheme / Plans, Unit Holders must write the Folio Number on the reverse of the Cheque accompanying the Application Form.
- BNP Paribas Mutual Fund, its Investment Manager, Registrar and other service providers responsible if the transaction is delayed or not effected or your bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS / NACH / Auto debit / SI.
- BNP Paribas Asset Management India Private Limited, its registrars and other service providers shall not be held responsible and liable for any damages / compensation / loss incurred by the investor. For any reason whatsoever the investor assumes the entire risk of using this facility and takes full responsibility.
- Please refer the Key Information Memorandum for applicable NAV, Risk Factors, Load and other information before investing.
- BNP Paribas Mutual Fund / AMC, reserves the right to reject any application inter alia in the absence of fulfillment of regulatory requirements, fulfillment of requirements of the Scheme Information Document / Addendum(s) and furnishing necessary information to the satisfaction of the Mutual Fund / AMC.
- BNP Paribas Asset Management India Private Limited and its service providers reserve the right to disclose the details of the Investors and their transactions using the SIP Auto Debit Facility to third parties for the purposes of verification and execution of the Auto Debit Facility as also for the purpose of law enforcement, fraud prevention, audit and inspection requirement etc. In case of discontinuance of business by the existing ECS / NACH / Auto debit / SI Service Provider / change in ECS / NACH / Auto debit / SI Service Provider, the investor may be required to resubmit a completed SIP Auto Debit Facility Form to the AMC.
- The investor undertakes and agrees that the SIP Auto Debit Facility requested for via this Form is subject to acceptance of the terms and conditions mentioned in the Key Information Memorandum / Scheme Information Document.
- Change of Scheme request in ongoing SIP:**
  - Investor shall have to provide the request for Change of Scheme in ongoing SIP in a pre-specified format.  
The form shall also be made available on website of the Fund ([www.bnpparibasmf.in](http://www.bnpparibasmf.in)). Request received in other than the pre-specified format will be rejected.
  - Change of Scheme shall be available only for ongoing Monthly SIP registrations without Top-Up facility.
- Based on the said request, the SIP in old scheme shall cease and new SIP shall be registered in the new scheme for the balance months/installments based on the details provided by the investor.
- Investors should note that a minimum of six SIP installments must be completed in the old scheme for enabling this feature.
- The request for change of Scheme shall be processed provided criteria like minimum no of installments, amount, etc. are being met as applicable for any new SIP registration and the same shall be registered within 30 days from the date of receipt of the valid request.
- Further, Investors can change the Scheme in an ongoing SIP at any time, provided the minimum of six installments are completed in the old Scheme.
- Transaction charges, if any, shall also be levied under the new scheme.
- In case the request for change of Scheme is rejected, the SIP in old scheme shall continue for the Investor.
- If you have already an existing investor and have provided the post dated cheques and you now wish to avail of the ECS / NACH / Auto debit / SI facility, you will need to submit the following documents atleast 1 month in advance:
  - SIP Auto Debit Facility Form
  - Letter to discontinue and return the existing post dated cheques.
- Change in debit bank account details for SIP transactions:** Investors are requested to note that in case they wish to change their bank account details for any of their ongoing SIP, the following documents should be submitted atleast 30 days in advance of the next SIP debit date: (a) A request letter to change the existing bank account details for SIP transaction mentioning old and new bank account details and details of ongoing SIP transaction. (b) New SIP Auto Debit Facility Form with new bank account details.
- In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not accept/entertain any request for refund of proceeds of first cheque that would have been processed.
- Investors agree that the AMC may discontinue the SIP facility for any investor / folio at its discretion and can advise bank to cancel SI in case one or more debits are rejected and funds not received for any reason.

### Instructions applicable to Standing Instructions (SI)

- The facility of giving Standing Instruction (SI) is available only to the investors having bank account with HDFC Bank Limited.
- The facility of SI shall be available to opt all the frequencies of SIP i.e. weekly, monthly & quarterly.
- Investors should submit the SIP application form atleast 15 working days prior to the intended date of SIP at any of the official point of acceptance of the transactions of the Fund. If the form is not submitted prior to atleast 15 working days, the SIP instalment shall be deducted from the same date of the next month.
- In case of insufficient balance in the investor's account on the date of SIP, the transaction shall be rejected and the AMC / Bank will not retry further to debit the amount from the investor's bank account.
- Investors are requested to provide information of start date & end date of SIP clearly on the application form. Forms with multiple tick options or forms with ambiguity shall be liable to be rejected. Further, no alteration should be made on the SI form. Alterations, if any shall be counter signed / authenticated by the investor, otherwise form shall be liable to be rejected. Further, incomplete form or mutilated form shall be liable to be rejected.
- Investors should note that the signature provided on the Section - "Authorisation of Bank Account Holder" should match exactly with that of signature of the investor in the bank's record otherwise the bank shall reject the SI registration request.
- Investors wishing to cancel / discontinue the SIP would need to give a written request to the Bank / AMC / RTA stating that they wish to discontinue the SIP and request the Bank not to deduct any further amount from their account atleast 15 working days prior to the next execution date. Forms shall be submitted at any of official point of acceptance of the transactions of the Fund.
- For Investors who have availed Standing Instructions facility with HDFC Bank Ltd. and wish to change their bank account details for any of their ongoing SIP, the following documents should be submitted atleast 30 days in advance of the next SIP debit date: (a) A request letter to discontinue such ongoing SIP transaction. (b) New SIP Auto Debit Facility Form with new bank account details

**In case of 3 successive rejections of ECS / NACH / Auto Debit / SI by the respective bank, the SIP shall be cancelled. In case, the reason mentioned in the feed from the respective bank for rejection of ECS / NACH / Auto Debit / SI request is "A/C Closed", the SIP will stand cancelled immediately on receipt of this feed.**

**The Trustee / AMC reserve the right to change / modify the terms of the SIP from time to time on prospective basis**

### Locations for SIP Auto Debit Facility via ECS (Debit Clearing) : As listed by RBI from time to time

\*\* Please note that for locations in Tamil nadu, the transactions will be processed through Chennai RECS.

## Please note that for Tumkur, the transactions will be processed through Bangalore RECS. Going forward for these locations refer to the MICR list published.

The cities in the list may be modified / updated / changed / removed at any time in future entirely at the discretion of BNP Paribas Mutual Fund without assigning any reason or prior notice. If any city is removed from the list, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.

## COMMON CHECKLIST

**Please ensure that :**

- I. Please ensure that your Application Form is complete in all respect and signed by all applicants:
  - Name, Address and Contact Details are mentioned in full.
  - Status of First/Sole Applicant is correctly indicated.
  - Bank Account Details are entered completely and correctly.
  - Permanent Account Number (PAN) of all Applicants is mentioned irrespective of the amount of purchase and proof attached (if not already validated) OR PAN Exempt KYC Reference Number (PEKRN) in case of PAN exempt investment.
  - Please attach proof of KYC Compliance status if not already validated.
  - Appropriate Plan / Option is selected.
  - If units are applied by more than one applicant, Mode of Operation of account is indicated.
- II. Your investment Cheque / DD is drawn in favour of **'the Specific Scheme A/c. PAN'** or **'the Specific Scheme A/c Investor Name'** dated, signed and crossed 'A/c Payee only'. Application Number / Folio No. is mentioned on the reverse of the Cheque/DD.
- III. Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

| Documents |  | Companies / Trusts / Societies/ Partnership Firms / LLP / FIs* | FPI | NRI / OCI / PIO | Minor | Investments through Constituted Attorney |
|-----------|--|--|-----|-----------------|-------|--|
| 1.        | Board/ Committee Resolution / Authority Letter   | ✓  |     |                 |       |  |
| 2.        | List of Authorised Signatories with Specimen Signature(s) ®  | ✓  | ✓   |                 |       | ✓  |
| 3.        | Notarised Power of Attorney  |  |     |                 |       | ✓  |
| 4.        | Account Debit Certificate in case payment is made by DD from NRE / FCNR A/c. where applicable                                |  |     | ✓               |       |  |
| 5.        | PAN Proof  | ✓  | ✓   | ✓               | ✓#    | ✓  |
| 6.        | KYC Acknowledgement Letter / Print out of KYC Compliance Status downloaded from CDSL Ventures Ltd. website (www.cvindia.com) | ✓  | ✓   | ✓               | ✓#    | ✓  |
| 7.        | Proof of Date of Birth   |  |     |                 | ✓     |  |
| 8.        | Proof of Relationship with Guardian  |  |     |                 | ✓     |  |
| 9.        | PIO / OCI Card (as applicable)   |  |     | ✓               |       |  |
| 10.       | Certificate of registration granted by Designated Depository Participant on behalf of SEBI                                   |  | ✓   |                 | ✓     |  |

® Should be original or true copy by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

\* For FIs, copy of SEBI registration certificate should be provided.

# If PAN/PEKRN/KYC proof of Minor is not available, PAN/PEKRN/KYC proof of Guardian should be provided.

## SIP AUTO DEBIT - CHECKLIST

- I. Please ensure that if you are an existing investor, you have quoted your Folio No. in the SIP Application Form.
- II. Investment Scheme / Plan / Option in which you wish to do systematic investments is clearly indicated in the SIP Application Form.
- III. The SIP Amount, the SIP Frequency, your preferred SIP Date and Period are clearly indicated in the SIP Application Form.
- IV. Your First SIP Cheque from the same bank from which you wish your Auto-Debits to happen is enclosed and the cheque details are clearly indicated in the SIP Auto Debit Facility Form.
- V. Your Bank Account Details are correctly and completely furnished including the 9 Digit MICR Code.
- VI. Cancelled Cheque leaf of the Bank Account mentioned in the SIP Auto Debit (ECS) Account to be attached.
- VII. In case of current account, please affix company's seal at columns "ECS DEBIT BANK ACCOUNT DETAILS (MANDATORY)" and AUTHORISATION OF BANK ACCOUNT HOLDER section.
- VIII. Authorisation of Bank Account is signed in the same manner as your signatures in Bank Records.

## MICRO INVESTMENT - CHECKLIST

**Documents required for Micro SIP applications (any one of the following):**

| Ref. No. | Documents  |
|----------|--|
| I.       | Voter Identity Card  |
| II.      | Driving License  |
| III.     | Government / Defence identification card   |
| IV.      | Passport   |
| V.       | Photo Ration Card  |
| VI.      | Photo Debit Card   |
| VII.     | Employee ID cards issued by companies registered with Registrar of Companies   |
| VIII.    | Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament |
| IX.      | ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks.   |
| X.       | Senior Citizen / Freedom Fighter ID card issued by Government.   |
| XI.      | Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI.   |
| XII.     | Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL).  |
| XIII.    | Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.                     |

In addition to the photo identification documents prescribed above, a copy of the proof of address which is self attested and also attested by the ARN Holder will be required.

## CHECKLIST FOR "APPLICATIONS ON BEHALF OF MINOR"

|      |  |
|------|--|
| I.   | Birth certificate of the minor, or School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or Passport of the minor, or Any other suitable proof evidencing the date of birth of the minor / relationship. |
| II.  | Copy of PAN of Guardian.   |
| III. | KYC acknowledgement of Guardian.   |



Enrolment Form No.

| DISTRIBUTOR / BROKER INFORMATION ([refer instruction 1(b)] on page 22) |                                    |                 |                 |                             |          |
|--|------------------------------------|-----------------|-----------------|-----------------------------|----------|
| Name and AMFI Reg. No.   | Sub Agent's Name and AMFI Reg. No. | Bank Serial No. | SBFS Serial No. | Sub-Broker Code             | EUIN     |
| ARN- ARN:30862   | ARN-                               |                 |                 | (As allotted by ARN holder) | E:046606 |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me/ us as this transaction is executed without any interaction or advice by the employee/ relationship manager/ sales person of the above distributor/ sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/ sales person of the distributor/ sub broker.

|              |   |  |   |
|--------------|---|--|---|
| SIGNATURE(S) | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / Guardian / POA Holder | Third Applicant / Guardian / POA Holder |
|              |   |  |   |
|              |   |  |   |

| APPLICANT'S INFORMATION (Mandatory, if left blank, the application is liable to be rejected) |            |                |           |
|--|------------|----------------|-----------|
| Name of Sole / First Applicant   | First Name | Middle Name    | Last Name |
| Folio No.  |            | Mobile No. +91 |           |
| E-mail ID  |            |                |           |

| SYSTEMATIC TRANSFER PLAN DETAILS |                                       |   |  |   |  |  |
|----------------------------------|---------------------------------------|---|--|---|--|--|
| Scheme Name                      | BNP Paribas                           |   |  |   |  |  |
| To Scheme                        | BNP Paribas                           |   |  | <input type="checkbox"/> Growth   | <input type="checkbox"/> Dividend Reinvestment | <input type="checkbox"/> Dividend Payout |
| Transfer Type                    | <input type="checkbox"/> Fixed Amount |   | OR <input type="checkbox"/> Capital Appreciation       |   |  |  |
| Transfer Frequency and Date:     | Daily                                 | Weekly  | Fortnightly  | Monthly   | Quarterly                                      |  |
|                                  | All business days                     | <input type="checkbox"/> 1 <input type="checkbox"/> 7 <input type="checkbox"/> 15 <input type="checkbox"/> 25 | <input type="checkbox"/> 1 <input type="checkbox"/> 15 | <input type="checkbox"/> 1 <input type="checkbox"/> 7 <input type="checkbox"/> 15 <input type="checkbox"/> 25 |  |  |
| Transfer Period:                 | M M Y Y Y Y to M M Y Y Y Y            |   | OR <input type="checkbox"/> Till Balance               |   |  |  |

**DECLARATION**

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme of BNP Paribas Mutual Fund, I / We hereby apply to BNP Paribas Mutual Fund for units of the Scheme and agree to abide by terms and conditions, rules and regulation of the Scheme.

I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I/We hereby declare that I am/ We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme.

I / We confirm that I am / we are not NRIs/PIOs residing in USA and/or Canada

I/We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds /income of mine/the HUF / the Company / Trust/ Partnership only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The abovementioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, the Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules/ guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made/ information provided by me/us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to reject the application / withhold the investments made by me / us and/or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC/ Mutual Fund/ Trustees may deem proper at their sole option. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I hereby confirm that BNP Paribas Mutual Fund/BNP Paribas Asset Management India Private Limited and its empanelled brokers/distributors has/have not given any indicative portfolio and indicative yield in any manner whatsoever.

**Applicable for Foreign tax laws and KYC details:** I/We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I further undertake to advise the AMC /Mutual Fund/ Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC /Mutual Fund/ Trustees with a suitably updated self-declaration within 30 days of such change in circumstances.

**Applicable to NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

If NRI, (please ✓)  Repatriation basis  Non-Repatriation basis

|              |   |
|--------------|---|
| SIGNATURE(S) | First / Sole Applicant / Guardian / POA Holder / Authorised Signatory |
|              | Second Applicant / Guardian / POA Holder                              |
|              | Third Applicant / Guardian / POA Holder                               |
| Date         | D D M M Y Y Y Y   |

**ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)** **BNP Paribas Mutual Fund**

|  |  |
|--|--|
| <b>Systematic Transfer Plan (STP)</b><br>Received from _____ Date: ____ / ____ / ____<br>Mr./Ms./M/s. _____ 'STP' application for transfer of Units;<br><b>From</b> Scheme _____<br>Plan _____ Option _____<br><b>To</b> Scheme _____<br>Plan _____ Option _____<br><input type="checkbox"/> Fixed STF <input type="checkbox"/> Capital Appreciation STF (not for Daily STP) per <input type="checkbox"/> Day <input type="checkbox"/> Week <input type="checkbox"/> Fortnight <input type="checkbox"/> Month <input type="checkbox"/> Quarter | <b>ISC Stamp, Date &amp; Signature</b><br><br><br> |
|--|--|

## STP - Instructions

- The STP Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (☐), where boxes have been provided. To start a STP, the STP Enrolment Form, complete in all respects, must reach atleast 7 working days in advance at any of the Official Points of Transactions.
- A single STP Enrolment Form can be used for one Scheme / Plan / Option only. Investor should use separate forms for more than one Scheme / Plan / Option.
- Investors are advised to read the Key Information Memorandum / Statement of Additional Information / Scheme Information Document of the Transferee Scheme(s) carefully before investing. The Statement of Additional Information / Scheme Information Document / Key Information Memorandum(s) of the respective Scheme(s) are available with the ISCs / distributors and are also available on our website [www.bnpparibasmf.in](http://www.bnpparibasmf.in).
- Unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. The investor under MICRO SIP will not be able to opt for STP facility.
- Facilities available:

STP offers unit holders the following two facilities:

- Fixed Systematic Transfer Facility (FSTF) where investor can issue a standing instruction to transfer sums at Daily / Weekly / Fortnightly / Monthly / Quarterly (calendar quarter) intervals to plans / options within select schemes of the fund.
- Capital Appreciation Systematic Transfer Facility (CASTF) where the investor can issue a standing instruction to transfer the entire capital appreciation from Transferor Scheme at Weekly / Fortnightly / Monthly / Quarterly intervals to designated Scheme(s) of the Fund. Both the facilities will offer transfers at weekly, fortnightly, monthly and quarterly intervals. Unit holder is free to opt for any of the above facilities and also choose the frequency of such transfers.

### 6. Schemes available for Daily STP:

For the purpose of Daily STP following are the eligible Transferor Scheme(s) and Transferee Scheme(s):

**Transferor Scheme(s):** BNP Paribas Overnight Fund (an open ended liquid scheme), BNP Paribas Money Plus Fund (an open ended income scheme) and BNP Paribas Short Term Income Fund (an open ended income scheme).

**Transferee Scheme(s):** BNP Paribas Equity Fund (an open ended equity scheme), BNP Paribas Dividend Yield Fund (an open ended equity scheme), BNP Paribas Midcap Fund (an open ended equity scheme) and BNP Paribas Long Term Equity Fund (an open ended equity linked tax savings scheme)

### 7. Date of transfer / minimum amount of transfer:

| FSTF / CASTF     | Date of transfer  | Minimum amount of transfer*                    |
|------------------|---|--|
| Daily (No CASTF) | Daily Interval (all Business Days) <sup>A</sup>   | Rs. 1000 and in multiples of Re. 1 thereafter  |
| Weekly           | Transfer on 1st, 7th, 15th and 25th of a month  | Rs. 1000 and in multiples of Re. 1 thereafter  |
| Fortnightly      | Transfer on 1st & 15th of a month   | Rs. 1000 and in multiples of Re. 1 thereafter  |
| Monthly          | Transfer on 1st or 7th or 15th or 25th of a month   | Rs. 1000 and in multiples of Re. 1 thereafter. |
| Quarterly        | Transfer on 1st or 7th or 15th or 25th of the first month of a quarter (i.e. January, April, July, October) | Rs. 1000 and in multiples of Re. 1 thereafter. |

<sup>A</sup> Investors should note that in case of Daily STP, the commencement date for transfers shall be the 15th working day from the date of receipt of a valid request and thereafter, transfers shall be effected on all business days at NAV based prices, subject to applicable load. In case the Weekly / Fortnightly / Monthly / Quarterly STP execution date falls on non-business day, the next business day will be considered as date of transfer. In the event of an intervening non-business day STP triggers will not take place and consequently the total number of Daily STP installments opted by the investor will be adjusted to that extent i.e., For e.g. if the investor has opted for 50 installments and if 8 nonbusiness days happen to occur in the intervening period, then only 42 Daily STP installments shall be triggered.

\*The minimum amount of transfer into BNP Paribas Long Term Equity Fund shall be Rs. 1000 & in multiples of Rs. 500 thereafter. BNP Paribas Long Term Equity Fund is an open ended equity linked tax savings scheme with a lock-in period of 3 years from the date of allotment of units. STP for an amount / value of units not in multiples of Rs. 500 shall be deemed as a transfer request for an amount which is equal to the nearest lower multiple of Rs. 500 and the balance amount, if any, shall remain invested in the Transferor Scheme.

An investor will have to opt for a minimum of 20 installments under Daily option, 6 installments under Weekly, Fortnightly, Monthly option and 2 installments for Quarterly STP otherwise enrolment request shall be liable to be rejected.

- An investor has to maintain minimum balance of Rs. 6000 in the opted Transferor Scheme at the time of enrolment of STP. If such minimum balance represented by clear units is not available, the application will be liable to be rejected.
- An investor has to clearly specify the name & the option of the Transferor & Transferee scheme in the enrolment form. If name of the Transferor or Transferee Scheme is not stated or in case of any ambiguity STP enrolment request shall be liable to be rejected. In absence of information, the default option for Transferee scheme shall be growth option.
- Load structure of the Transferor Scheme & Transferee Schemes as on the date of enrolment of STP shall be applicable.
- An investor has to select any one facility i.e. FSTF or CASTF. In case, investor doesn't select any facility or in case of any ambiguity, the STP enrolment request shall be rejected. In case investor has selected any one of the facility but has not selected frequency and / or date or in case of any ambiguity, by default, monthly frequency & 7th day shall be considered as frequency & execution date respectively. **Investors should note that CASTF facility is not available for Daily STP.**
- In FSTF, in case there is no minimum amount (as specified above) available in the unit holder's account for Transfer into Transferee Scheme, the transfer shall not be executed and the request of unit holder will stand withdrawn with immediate effect.  
For Daily STP: If the outstanding balance in "Transferor Scheme" does not cover any of the intermittent Daily STP installment amount, all outstanding units will be liquidated and Daily STP effected for such outstanding balance and Daily STP terminated for subsequent installments. However, if the outstanding balance in "Transferor Scheme" does not cover the last installment under the Daily STP, no transfer shall be effected and Daily STP shall be terminated without effecting the last installment under Daily STP.
- In CASTF, if there is no minimum appreciation amount in the Transferor scheme for the consecutive three installments, the STP request of the unitholder will stand withdrawn with immediate effect. The capital appreciation, if any, will be calculated from the enrolment date of the CASTF under the folio, till the first transfer date [e.g. if the unit holder has been allotted units on the 23rd of September and the date of enrolment for monthly CASTF is the 1st of November and the unit holder has opted for 15th of every month as the transfer date, capital appreciation, if any, will be calculated from the 1st of November to the 15th of November (first transfer date). Subsequent capital appreciation, if any, will be the capital appreciation between the previous CASTF date (where transfer has been processed) and the next CASTF date].
- A request for STP will be treated as a request for redemption from the Transferor scheme and subscription into the selected Transferee scheme(s), option(s) / plan(s), at the applicable NAV, subject to load and statutory levy, if any.
- In case of minor applicant, the guardian can opt for STP only till the date of minor attaining majority. AMC shall suspend the standing instruction of STP enrollment from the date of minor attaining majority by giving adequate prior notice. Further, once the minor attains majority, the guardian will not be able undertake any financial and non-financial transactions including fresh registration of STP and the folio shall be frozen for the further operation till the time requisite documents for changing the status from minor to major is submitted to the Fund.
- STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of unit holder.
- The provision of 'Minimum redemption amount' specified in the Scheme Information Document of Transferor Scheme and 'Minimum application amount' specified in the Scheme Information Documents of the Transferee Schemes will not be applicable for STP.
- Investors can also choose to terminate the STP by giving a written notice at least 7 Business Days in advance to the Official Points of Transactions. In case of Daily STP, termination shall be effected from 8th Business Day of receipt of valid request.
- Investors should note that more than one STP (i.e. daily / weekly / fortnightly / monthly or quarterly STP) can be registered under same Plan / Option of the Transferor Scheme.
- The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.
- The enrolment form is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently.

**THIRD PARTY PAYMENT DECLARATION (Should be enclosed with each payment)**

Payments by : Parent/Grand-Parents/Related Persons Other than the Registered Guardian  
 Payments to : To a Minor Folio only; In consideration of: Natural love and affection or as gift only  
 Maximum Value : Not Exceeding ₹ 50,000/- (each regular purchase or per SIP installment)

**Application and Payment Details (All details below are Mandatory, including relationship, PAN & KYC):**

|                          |  |  |  |  |  |  |  |  |  |  |                      |  |  |  |  |  |  |  |  |  |       |   |   |   |   |   |   |   |   |
|--------------------------|--|--|--|--|--|--|--|--|--|--|----------------------|--|--|--|--|--|--|--|--|--|-------|---|---|---|---|---|---|---|---|
| Folio No.                |  |  |  |  |  |  |  |  |  |  | Application Form No. |  |  |  |  |  |  |  |  |  |       |   |   |   |   |   |   |   |   |
| Beneficial Minor's Name  |  |  |  |  |  |  |  |  |  |  |                      |  |  |  |  |  |  |  |  |  |       |   |   |   |   |   |   |   |   |
| Investment Amount in ₹   |  |  |  |  |  |  |  |  |  |  |                      |  |  |  |  |  |  |  |  |  |       |   |   |   |   |   |   |   |   |
| Payment Cheque No.       |  |  |  |  |  |  |  |  |  |  |                      |  |  |  |  |  |  |  |  |  | Dated | D | D | M | M | Y | Y | Y | Y |
| Cheque Drawn on Bank     |  |  |  |  |  |  |  |  |  |  |                      |  |  |  |  |  |  |  |  |  |       |   |   |   |   |   |   |   |   |
| Cheque Drawn on A/c. No. |  |  |  |  |  |  |  |  |  |  |                      |  |  |  |  |  |  |  |  |  |       |   |   |   |   |   |   |   |   |

**Declaration and Signatures:**

|                         | Parent / Grand-Parents / Related Persons Other than the Registered Guardian  | Guardian of Minor, as registered in the Folio |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |
|-------------------------|--|---|--|--|--|--|--|--|--|--|---|--|--|--|--|--|--|--|--|--|
| Name                    |  |   |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |
| Relationship with Minor |  |   |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |
| Income Tax PAN          |  |   |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |
| KYC Acknowledgement     | <input type="checkbox"/> Attached (Mandatory for any amount)   |   |  |  |  |  |  |  |  |  | <input type="checkbox"/> Attached (Mandatory for any amount)  |  |  |  |  |  |  |  |  |  |
| Declaration             | I hereby declare and confirm the minor stated above is the beneficial owner of the investment details mentioned above and I am providing the funds for these investments on account of my natural love and affection or as gift from my bank account only. |   |  |  |  |  |  |  |  |  | I confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the Minor. |  |  |  |  |  |  |  |  |  |
| Signature               |  |   |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |
| Contact Number          |  |   |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |

**BANKER'S CERTIFICATE in case of Demand Draft / Pay Order / Any Other pre-funded instrument**

To whomsoever it may concern, we hereby confirm the following details regarding the instrument issued by us:

**Instrument Details:**

|                        |                                       |  |  |  |  |  |  |  |  |      |   |   |   |   |   |   |   |   |
|------------------------|---------------------------------------|--|--|--|--|--|--|--|--|------|---|---|---|---|---|---|---|---|
| Instrument Type        | <input type="checkbox"/> Demand Draft | <input type="checkbox"/> Pay Order / Banker's Cheque |  |  |  |  |  |  |  |      |   |   |   |   |   |   |   |   |
| Instrument Number      |                                       |  |  |  |  |  |  |  |  | Date | D | D | M | M | Y | Y | Y | Y |
| Investment Amount in ₹ |                                       |  |  |  |  |  |  |  |  |      |   |   |   |   |   |   |   |   |
| In Favour of / Favours |                                       |  |  |  |  |  |  |  |  |      |   |   |   |   |   |   |   |   |
| Payable At             |                                       |  |  |  |  |  |  |  |  |      |   |   |   |   |   |   |   |   |

**Details of Bank Account Debited for issuing the instrument:**

|                        |  |  |  |  |  |  |  |  |  |  |      |  |  |  |  |  |  |  |  |  |                |  |  |  |  |  |  |  |  |  |  |
|------------------------|--|--|--|--|--|--|--|--|--|--|------|--|--|--|--|--|--|--|--|--|----------------|--|--|--|--|--|--|--|--|--|--|
| Bank Account No.       |  |  |  |  |  |  |  |  |  |  |      |  |  |  |  |  |  |  |  |  | Account Type   |  |  |  |  |  |  |  |  |  |  |
| Account Holder Details |  |  |  |  |  |  |  |  |  |  | Name |  |  |  |  |  |  |  |  |  | Income Tax PAN |  |  |  |  |  |  |  |  |  |  |
| 1                      |  |  |  |  |  |  |  |  |  |  |      |  |  |  |  |  |  |  |  |  |                |  |  |  |  |  |  |  |  |  |  |
| 2                      |  |  |  |  |  |  |  |  |  |  |      |  |  |  |  |  |  |  |  |  |                |  |  |  |  |  |  |  |  |  |  |
| 3                      |  |  |  |  |  |  |  |  |  |  |      |  |  |  |  |  |  |  |  |  |                |  |  |  |  |  |  |  |  |  |  |

**If the issuing Bank branch is outside India:**

We further declare that we are registered as a Bank / branch as mentioned below:

|   |                       |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|---|-----------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Under the Regulator   | Name of the Regulator |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| In the Country  | Country Name          |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Registration No.  | Registration Number   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| We confirm having carried out necessary Customer Due Diligence with regard to the Beneficiary and to the source of the funds received from him, as per the standards of Anti-Money Laundering laws and other applicable relevant laws in our country. |                       |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

**Branch Manager/ Declarant(s)**

Signature \_\_\_\_\_

Name \_\_\_\_\_

Address \_\_\_\_\_ Bank & Branch Seal \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Postal Code \_\_\_\_\_

Country \_\_\_\_\_ Contact Number \_\_\_\_\_

**Important Note:** It is clarified that the bankers certificate suggested above is recommendatory in nature, as there may be existing Bank Letters / Certificates / Declarations, which will confirm to the spirit of the requirements, if all required details are mentioned in the certificate.

**CUSTODIAN ON BEHALF OF AN FII OR CLIENT (Should be enclosed with each Third party payment. See Instruction bv) on page 25)**

To whomsoever it may concern

**Application and Payment Details (All details below are Mandatory)**

|                                      |                                 |  |   |
|--------------------------------------|---------------------------------|--|---|
| Folio No.                            |                                 | Application Form No.                   |   |
| Beneficial Applicant / Investor Name |                                 |  |   |
| Investment Amount in ₹               |                                 |  |   |
| Payment Mode                         | <input type="checkbox"/> Cheque | <input type="checkbox"/> Fund Transfer | <input type="checkbox"/> RTGS <input type="checkbox"/> NEFT |
| Payment Cheque / UTR No.             |                                 | Dated                                  | D D M M Y Y Y Y   |
| Payment from Bank                    |                                 |  |   |
| Payment from A/c. No.                |                                 |  |   |

We further declare that we are registered as a Custodian with SEBI under Registration No. \_\_\_\_\_

We confirm the beneficial owner as stated above and that this payment is issued by us in our capacity to the Applicant / Investor. The source of this payment is from funds provided to us by the Applicant / Investor.

Signature of Declarant(s) : \_\_\_\_\_

Name of Declarant(s) : \_\_\_\_\_

Income Tax PAN : \_\_\_\_\_

Address of Declarant(s) : \_\_\_\_\_

\_\_\_\_\_

City : \_\_\_\_\_ State : \_\_\_\_\_

Postal Code : \_\_\_\_\_ Country : \_\_\_\_\_

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**PAYMENT BY EMPLOYER ON BEHALF OF EMPLOYEE (Under Systematic Investment Plans or Lump sum / one-time subscription, through Payroll deductions or deductions out of expense reimbursements. Should be enclosed with each Third party payment. See Instruction bv) on page 25)**

To whomsoever it may concern

We hereby declare that the Applicant Form No/s. \_\_\_\_\_ for subscription of units in \_\_\_\_\_

\_\_\_\_\_ (Name of the Scheme / Plan / Option) is accompanied by

Cheque No. \_\_\_\_\_ Dated \_\_\_\_\_

Drawn on \_\_\_\_\_ (Name of the Bank / Branch).

We confirm that the beneficial owner(s) of the investment in these units is / are \_\_\_\_\_

\_\_\_\_\_ (Name of the Employee/s, with employee number/s).

who is / are my / our employee/s and am providing the funds for these investments through the payroll deduction or deductions out of expense reimbursements.

Signature of Declarant(s) : \_\_\_\_\_

Name of Declarant(s) : \_\_\_\_\_

Income Tax PAN : \_\_\_\_\_ KYC Acknowledgement attached (Mandatory for any amount)

Address of Declarant(s) : \_\_\_\_\_

\_\_\_\_\_

City : \_\_\_\_\_ State : \_\_\_\_\_

Postal Code : \_\_\_\_\_ Country : \_\_\_\_\_

Signature of Beneficiary (ies) : \_\_\_\_\_

Signature of Beneficiary (ies) : \_\_\_\_\_

Please read the terms & conditions of Internet Personal Identification Number (I-PIN) on this page before signing the application form. Investors are requested to complete this form and send it to your nearest office of BNP Paribas Mutual Fund or Sundaram BNP Paribas Fund Services - Customer Care Centre (hereinafter referred to as "the Registrar"). On receipt of the same, an I-PIN will be sent to you at your registered e mail id.

I-PIN - is a mandatory requirement for transacting online in the Schemes of BNP Paribas Mutual Fund (hereinafter referred to as "the Fund"). The I-PIN will enable you to buy, sell or switch units and also place requests for non-financial transactions, at the click of a button.

We also offer you the facility of receiving electronic Account Statements on your below mentioned email id.

**Investor Information (As per the practice, we will issue I-PIN only to one person)**

|             |  |  |  |  |                            |  |  |  |  |  |  |  |  |  |  |  |  |  |
|-------------|--|--|--|--|----------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Name        |  |  |  |  |                            |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Folio No/s. |  |  |  |  | Permanent Account No (PAN) |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Mobile No.  |  |  |  |  | Email Id                   |  |  |  |  |  |  |  |  |  |  |  |  |  |

**Agreement for Internet Personal Identification Number - I-PIN**

This Agreement is made between BNP Paribas Asset Management India Private Limited, Investment Manager to BNP Paribas Mutual Fund, having its registered office at BNP Paribas House, 1 North Avenue, Maker Maxity, Bandra Kurla Complex, Bandra (East), Mumbai 400 051 (hereinafter referred to as "the AMC") and the investor (whose details are available in this agreement form hereinafter referred to as "the unit holder") (hereinafter referred to as the Agreement). The date of this agreement is indicated in the panel on Investor Information in this form. WITNESSTH:

**AND WHEREAS:** The AMC offers the facility of an Internet Personal Identification Number for the convenience of its unit holders to transact online;

**AND WHEREAS:** The Unitholder has indicated to avail of the I-PIN facility offered by the AMC, subject to the terms and conditions stated in the application form and has for this purpose, indicated to the Registrar the name of his / her / its Bank, Branch account Number;

**AND WHEREAS:** The AMC is willing to extend the I-PIN facility to the unitholder on the terms and conditions as set out herein;

Now THEREFORE, in consideration of the mutual understanding as set forth in this Agreement, the parties hereto agree to the following terms and conditions:

- The I-PIN granted to the Unitholder pursuant to this Agreement shall be communicated to the Unit holder by an email along with user id and password. The Unit holder acknowledges and agrees that the AMC has no responsibility or liability whatsoever for the use of this e mail.
- The following shall be the mode of operation of the I-PIN facility:
  - If the mode of operation is "Single" or "Anyone or Survivor", I-PIN will be issued to the Single investor or to the first unit holder mentioned in the folio as the case may be.
  - If the mode of operation is "Joint", I-PIN will be issued to the first unit holder mentioned in the folio.
  - If the unit holder is a minor, then Natural Guardian or the Legal Guardian shall be eligible to access online transaction facility till the minor attains majority.
  - If the unit holder is a non-individual investor like company, an institution / trust or body corporate, the authorized signatories registered in our records may designate any one of the authorised signatory to access online transaction facility.

The AMC reserves the right to call for additional information that may be required by the AMC from time to time.
- The Unitholder may access the following services through the internet, using the I-PIN facility,
  - Purchase including switch in / additional purchase of existing folios
  - Redemption including switch out
  - Access to NAV information
  - Access to balance information Print Account Statements for the previous and current financial year in a client - independent standard format;
  - Viewing portfolio & transaction inquiry;
  - Links to the other Internet / web-sites of the Fund;
  - Ability to email service requests to the Transfer Agent

The unit holder hereby agrees and confirms that the services mentioned hereinabove are only inclusive list and the AMC / Fund may add or remove any services it may in its absolute discretion decide, which services the unit holder may use the PIN facility to access and utilize.

- The AMC / Fund / Registrar will take best efforts to keep its website updated on a daily basis so as to provide most current information to unitholders. However, the Unitholder

agrees that because of technical issues, it is possible that the website may not be functioning or updated, at times. The Unitholder also agrees that the look and feel of the Web screen and outputs there from may differ based on the nature of the software used by the unitholder to browse the website.

- The AMC / Fund / Registrar shall take best efforts to protect security of the data placed on the Internet. However, the AMC may disclose in strict confidence to the other institutions any personal information as may be reasonably in order to comply with any legal directive, for fraud prevention, statutory reporting, audits or as may be required by regulatory authorities from time to time.
- The AMC / Fund / Registrar shall ask the Unitholder for his / her / its I-PIN and Folio Number before accepting instructions on behalf of the AMC. The AMC / Fund / Registrar may wherever required in the interest of the Unit holder, may request additional confirmation including fax on the instructions received and shall not act until the further confirmation and additional confirmation is received from the Unitholder. In case, if the additional confirmation is sent via fax then fax indemnity provision stated in the SID shall also be applicable.
- The Unit holder shall be responsible for safekeeping of the PIN and shall not disclose his / her / its I-PIN to any person and shall take all possible care to prevent discovery of the PIN by any person. The Unit holder shall not use his / her / its PIN after the disclosure of the same to any third party. In the event of accidental disclosure of the PIN to any third party or if the unitholder forgets his password, the Registrar may at the request of the unitholder and at its absolute discretion issue to the Unitholder a new I-PIN on these terms and conditions or under such terms and conditions, as the AMC / Fund / Registrar may deem fit. The Unitholder shall be fully liable to the AMC / Fund / Registrar for every transaction entered into using the I-PIN facility, whether with or without the knowledge of the Unitholder. The Unitholder hereby agrees to not hold the AMC / Fund / Registrar responsible for any liability, whatsoever against misuse of the I-PIN wherein the unitholder may have incurred a loss / damage.
- The AMC / Fund / Registrar shall not be liable at all for any misuse if any, of any data placed on the Internet, by third parties "hacking" or unauthorized access of the servers or system breakdown or system failure by the AMC or the service providers. The Unit holder shall be solely responsible for ensuring adequate security measures to help prevent unauthorized access or use of the facility to their transactions and bank accounts and the AMC / the Fund / the Registrar shall not be liable of for any such unauthorized access and protection of his computer against any computer virus.
- The AMC / Fund / Registrar may in its absolute discretion decide not to carry out any such transaction where the AMC / Fund / Registrar has reason to believe that the instructions are not genuine or are unclear or are such to raise a doubt, or are otherwise improper or there is ground to believe that the same is in contravention of any statute / laws in India and cannot be put into effect.
- The Unitholder shall at all times indemnify the AMC / Fund / Registrar, its officers, employees, successors and assigns from and against all actions, proceedings, claims and demands whatsoever for or on account of or in relation to any unauthorized use of the I-PIN and from and against all damages, costs, charges and expenses in respect thereof, unless the same is caused by gross negligence, bad faith or willful default on the part of the AMC / Fund / Registrar.
- The Unitholder acknowledges and agrees that the AMC / Fund / Registrar do not guarantee or warrant that Unitholder will not experience any downtime or disruptions in its use of the Service. In the event of such downtime or disruption, the AMC / Fund / Registrar shall use commercially reasonable efforts to reinstate accessibility and operability. The AMC / Fund / Registrar may restrict, suspend, or terminate investor's access to and use of the Service at any time, without notice or liability. The Unitholder agrees that its right to use the Service is non-exclusive, revocable, non-transferable, and non-sub licensable.

12. The AMC shall not be held liable or responsible for any failure to perform any of its obligations under this Agreement if the performance is prevented, hindered or delayed by a Force Majeure Event and in such case its obligations shall be suspended for so long as the Force Majeure Event continues. Force Majeure Event" includes any event due to any cause beyond the reasonable control of either party including, without limitation, unavailability of any communication system including Internet, legal restraints, faults in telecommunication network or network failure, software or hardware error, breach or virus in the processes or payment mechanism, sabotage, fire, flood, explosion, acts of God, civil commotion, strikes or industrial action of any kind, riots, insurrection, war, acts of government, computer hacking, unauthorized access to computer data and storage devices, computer crashes, breach of security and encryption codes.
13. The Unitholder agrees to be bound by any terms of use, disclosures, or disclaimer as displayed by the Registrar and its service providers and that unitholder may affirmatively click through to accept the same. The Investor's use of the Services shall be subject to the terms and conditions of any applicable agreements between Registrar, Service Providers and the AMC / Fund and / or investor. The Unit holder confirms and agree that he shall at all times be bound by any modification and / or variation made to the terms and conditions hereof and as notified on the website. The Fund shall not send any individual notices to the Unit holder of any of the modification and / or variations that have been notified on the website.
14. Unitholder shall not: (A) access or use the Service for any purpose inconsistent with the substance and terms of this Agreement; (B) introduce into the Service any code, virus or mechanism that would impair the Service or AMC's / Fund system, computers or software; (C) use the Service to gain unauthorized access to any system or database; (D) sublicense or otherwise transfer or make available Investor's access to the Service to any third party that is not an Authorized person; (E) disclose to any third party non-public information relating to the content or operations of the Service, which information is confidential and proprietary to the Registrar however the AMC / Registrar / the Fund is entitled to disclose any information or particulars pertaining to the unit holder to any statutory authorities, auditors or as may be required under any law; or (F) remove or obscure any of the Registrars or any Service Provider s trademarks, service marks, or markings of copyright or patent rights contained in the Service.
15. All records of the AMC / the Fund / the Registrar whether in electronic form, magnetic medium, documents or otherwise with respect to instructions received for use of this facility or instructions received through use of this facility shall be conclusive evidence of such instructions and shall be binding on the unit holder. In case of any discrepancy in the details of any transactions carried out in respect of unit holder s account, the unit holder shall intimate the AMC / the Fund / Service provider within 10 calendar days of receipt of account statement or information thereof, failing which the transaction will be deemed to be correct and accepted by the unit holder.
16. This Agreement shall be effective for a continuous period unless terminated in accordance with the terms of this Agreement.
17. The unit holder may terminate the I-PIN facility by, making an application (hereinafter referred to as "the said application") to the AMC / Fund / Registrar in writing and signed by her / him along with other Joint Holders, if the unit holder wishes to terminate this agreement and disable the PIN. The AMC / Fund shall disable the User ID and the PIN granted to such joint unit holders at any time within a period of 10 business days from the date of receipt of the said application.
18. The AMC / Fund is authorized to terminate the PIN facility without prior notice on the occurrence of any event, which in the sole opinion of the AMC / Fund may have a material adverse impact on the designated account or on the operations of the AMC / Fund including but not limited to:
  - Non compliance of terms and conditions of this agreement
  - Death, insolvency, bankruptcy of the unit holder
  - Any other cause arising out of operation of law
  - Closing of unitholder's account
  - Such other reason(s) as the AMC / Fund may, in its sole and absolute discretion deem proper
  - Receipt of a written application from a Joint Unitholder for termination.
19. For the purpose of interpretation, an event having a material abuse impact shall include any event, which in the opinion of the AMC / Fund shall impact the reputation of the Fund, its functioning any potential losses to the AMC / Fund. However, any electronic transactions received by the AMC / Fund / Registrar through the I-PIN allocated to the unitholder before the disabling of the PIN facility shall be considered to be a valid instruction to the AMC / Fund / Registrar to execute such online transaction.
20. All notices, requests and other communications to any Party hereunder shall be in writing and shall be given to AMC at the address stated above. The AMC / the Fund / Registrar shall send such communication on address available in the records. Each such notice, request or communication shall be effective if delivered by air courier service, 72 hours after such communication is delivered to the courier service, shipping charges paid and properly addressed, and if given by any other means, when delivered at the address specified herein.
21. The PIN and all the transactions carried out through PIN are subject to SEBI (Mutual Funds) Regulations, 1996 as amended from time to time, these terms and conditions, other applicable laws, rules and regulations issued by the Government of India and the provisions of scheme related documents read with various addenda issued from time to time.
22. In the case of any disputes, the matter will be referred to arbitration at the first instance and settled through arbitration proceedings as per the rules of the Indian Arbitration and Conciliation Act, 1996. The Place of Arbitration will be at Mumbai. The Courts in Mumbai shall have jurisdiction over all disputes arising out of or in respect of this Agreement.

IN WITNESS WHEREOF, the parties to this Agreement have caused these presents to be executed as of the day and year first above written.

**Signed by**

|              |               |              |                                |
|--------------|---------------|--------------|--------------------------------|
|              |               |              |                                |
| First Holder | Second Holder | Third Holder | Signed for & on behalf of Fund |

**LIST OF OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTIONS**

**For all Schemes of BNP Paribas Mutual Fund**

**AMC INVESTOR SERVICE CENTRES :**

**Mumbai:** 2nd Floor, French Bank Building, 62, Homji Street, Fort, Mumbai - 400 001. **Bengaluru:** Unit No. 205, 2nd Floor, West Wing - Raheja Tower, 26-27, M. G. Road, Bangalore 560 001  
**Chennai:** 3rd Floor, Prince Towers, Door Nos. 25 & 26, College Road, Nungambakkam, Chennai - 600 006. **Kolkata:** 9th Floor Landmark Building, 228A, A.J.C. Bose Road, Kolkata - 700020. **New Delhi:** 8th, Floor, Dr. Gopal Das Bhawan, 28 Barakhamba Road, New Delhi - 110 001. **Pune:** Office No. A-4, Fourth Floor, Deccan Chambers 33/40, Erandwana, Karve Road, Pune - 411 004. **Ahmedabad:** 302, 3rd Floor, VIVA complex, Near Parimal Garden, Ellisbridge, Ahmedabad - 380 006. **Hyderabad-Telangana:** 8-2-618/8 & 9, Unit No. 404, ABK Olbee Plaza, Banjara Hills Road No. 1&11, Hyderabad, Telangana. **Thane:** Shop No. 02, Ground Floor, ShubhJyot CHS, Near Ghantali Temple, Naupada, Thane (West) 400602. **Borivali:** Shop no. 5, Chitalia Enclave Co-op. Hsg. Soc. (Kapoor Apt.), Junction of Punjabi lane & Chandavarkar road, Borivali (W), Mumbai - 400092.

**SBFS CUSTOMER CARE CENTRES :**

**Agra:** First Floor, Sky Tower, 29-F/2 11, Sanjay Palace, Agra – 282002 **Ahmedabad:** 104, First Floor, Shivam Complex, Nr. Silicon Tower, Opp. National Handloom Law Garden, Ellisbridge, Ahmedabad 380006 **Ahmednagar:** 209, 2nd Floor, Adish Plaza, ICICI Home Finance Building (Opp. Dowle Hospital) Nagar Manmad Road, Savedi, Ahmednagar 414003 **Ajmer:** 1st Floor, Adjoining K C Complex Opp: Daulat Bagh, Ajmer 305001 **Akola:** C-13, First Floor, Dakshata Nagar Vyapari Complex Sindh Camp Chowk, Akola 444001 **Aligarh:** Shop No.7 & 22, U.G.F ALIG Corporate Plaza, Marris Road, Aligarh, Uttar Pradesh 202001 **Allahabad:** 1st Floor, Saroj Bhawan, 14/4, Stanley Road, Near Patrike Crossing, Civil Lines, Allahabad 211001 **Alwar:** 29, Harshil Tower, 3 Rd Floor Naru Marg, Keshav Nagar, Alwar 301001 **Amaravathi:** 2nd Floor, Sakshi Complex, Opp. to Rajapeth Police Station, Mudholkar Peth, Badnera Road, Amaravathi – 444605. **Ambala:** I Floor, SCO No 102, Above State Bank of Bikaner & Jaipur, Prem Nagar, Ambala City – 134 003 **Amritsar:** 1st Floor, 27-A, Classic Plaza, Majitha Road, Amritsar Land mark : Above Punjab National Bank, Amritsar 143001 **Anand:** 2, Drashti Arcade, 2nd Floor, Opp. HDFC Bank, Lamphel Road, Anand-388 001, Gujarat **Anantapur:** 1st Floor T Nagarju Complex, D.No. 10/323, Sarojini Road, Opp. To Vasunderadevi Hospital, Near Clock Towers, Anantapur 515001 **Asansol:** 1st Floor, Above United Bank of India, B.B. College More, Ushagram East, G.T. Road, P.O. Asansol, Dist. Burdwan, Asansol 713303 **Aurangabad:** 1st Floor, Plot no. 26, Keshavningshpura, (Old Ashoka Aurangabad Hotel), Behind ABC Complex & District Court, Adalat Road, Aurangabad 431005. **Balasoar:** First Floor, Choudhury Niwas, P O – Sahadeb Khuntha Near Bus Stand, Balasoar - 756001 **Baroda:** 518 & 519, Centre Point, R C Dutt Road Alkapuri, Baroda 390005 **Bareilly:** II Floor, 116, Civil Lines, Circuit House Road, ICICI Bank Building, Bareilly 243001. **Belgaum:** 1st Floor, Shop No.8, Mujawar Arcade, Mujawar Compound P B Road, Nehru Nagar, Belgaum 590010 **Bellary:** Flat No.3 & 9, 1st Floor, Ward No.16, T.S. No.52 Near Clock Tower Circle, Bellary 583101 **Bengaluru:** S-403, Manipal Centre, 47, Dickenson Road, Bengaluru 560042 **Berhampur:** 1st Floor, Alakananda Enclave, 1st Lane, Gajapati Nagar, Ganjam Dist, Berhampur 760010 **Bhagalpur:** Ground Floor, Behind Rani Sinha Road, Opp- Church Gate, Jagdispur, Bhagalpur 812001 **Bharuch:** No.230-232, 2nd Floor, Aditya Complex, Kasak Circle, Bharuch 392002 **Bhatinda:** 1st Floor, 3038 - A Guru Kanshi Marg, Bhatinda 151001 **Bhavnagar:** 204, Shoppers Plaza, Parimal Chowk, Waghawadi Road, Bhavnagar - 364001 **Bhilai:** No. 36/3, Second Floor, Nehru Nagar, (Above ING Vysya Bank, Bhilai, Durg District, - 490020 **Bhilwara:** Second Floor, Budh Plaza Opp: Circuit House, Basant Vihar, Bhilwara 311001 **Bhopal:** Plot No. 6, VnV Plaza, 3rd Floor Zone II M P Nagar, Bhopal 462011 **Bhubaneswar:** 2nd Floor, Banadev Bhawan, A/108, Saheed Nagar, Khurda Dt, Bhubaneswar 751007 **Bhuj:** Office No.7, First Floor, Royal Plaza, Plot No.2 & 3, Near Shiv K. pa Nagar, Bhuj-Mirzapur Highway, Bhuj 370001 **Bikaner:** 2nd Floor, Chugh Mansion, Opp. DRM Office, Modern Market, Bikaner 334001 **Bokaro:** 1st Floor, Ms. Ranju Automobiles Pvt. Ltd Main Road, Chas, Bokaro 827013 **Burdwan:** No. 5B, M.V Apartment, 35 G.T. Road, Parbihatia, Po: Snipally, Burdwan - 713103 **Chandigarh-Mohali:** SCO 56-57, 2nd Floor, Phase-II, Above HDFC Bank, Mohali – 160072 **Chembur:** Flat No. 313, Swastik Chambers 3rd Floor, Sion-Trombay Road, Chembur, Mumbai 400071 **Chennai:** Ground Floor, 19, Patulus Road, Chennai 600002 **Coimbatore:** No.62, First Floor, 'Time Square' Balasundaram Road, A.T.T. Colony, (Near RTO Office), Coimbatore 641012 **Cuddapah:** 1st Floor, Lalithamma Building, Ngo's Colony, Upstairs Union Bank Of India, Cuddapah 516002 **Cuttack:** First Floor, Kailash Plaza, (above Yes Bank), Link Road, Cuttack, Orissa – 753012 **Davangere:** # 268/3, Jayavibhava Nilaya, First Floor, Opp Ram & Co Provision Stores, 4th Main, P.J.Extension, Davanagere 577002 **Dehradun:** Shiva Palace, IInd Floor 57 / 19, Rajpur road, Dehra Dun 248001 **Dhanbad:** 1st Floor, Shop No.107, Sree Ram Plaza, Dhanbad 826001 **Durgapur:** Sri Chaitanya Complex, 2nd Floor, Bengal Ambuja Phase II, Ambetkar Sarani, City Centre, Durgapur MC 713216 **Ernakulam:** 1st Floor, Welfare Services Centre, Ponnuruni, Vytilla PO, Ernakulam 682019 **Erode:** Ms. URT Tower, No. 139/1, Perundurai Road, Erode – 638011 **Faridabad:** SCO 107, 1st Floor, Sector - 16, Behind Sagar Cinema, Above J & K Bank, Faridabad 121002 **Ghaziabad:** 1st Floor, FF - 31, Konark Building, GDA Market, RDC, Ghaziabad 201001 **Gorakhpur:** C/160/30, First Floor, Naipar Kothi, Esmaleipur, Near Charauhya Gola Chowk, Gorakhpur – 273 001, Uttar Pradesh **Guntur:** 1st Floor, Sai Srinivasa Building, Opp. Nelagiri Super Market, Main Road, Lakshmi Pures, Guntur 522007 **Gurgaon:** III Floor, SCO No 62, Old Judicial complex, Civil lines, Gurgaon – 122 001, Haryana **Guwahati:** Door No. 3C, Dihang Arcade, G.S Road, 3rd fl oor, Dist: Kamrup, Near-Goenka Ready Mats – 781005. **Gwalior:** 1st Floor, 44 City Centre, Narayan Krishna Madhav Rao Scindia Road, Gwalior 474002 **Hisar:** Shop No. 46 DSB, First Floor, Red Square Market, Hisar, - 125001 **Hosur:** "SAI PRABHA" Towers, Denkanikotta Road, Opp. Dhanam Super Market, Hosur - 635109 **Hubli:** 1st Floor, Centre Point 107, 108 New Cotton Market, Sanje Vani Press, Hubli 580029 **Hyderabad-Somajiguda:** Sri Sai Goverdhan Kunj, Flat No-401, 4th Floor, GHMC No-7-397/101, 118, Opp. Dominos, S. R. Nagar, Hyderabad – 500038 **Indore:** Mezzanine Floor, MZ – 22, Bansi Trade Centre, No.581/5, M G Road, 452001 **Jabalpur:** Second Floor, "Digamber Tower", 936, Wright Town, Pandit Bhawani Prasad Ward, Jabalpur, Madhya Pradesh 482002 **Jaipur:** 205, 2nd Floor, Sangam Towers, Church Road, OFF. M I Road, Jaipur 302001 **Jalandhar:** Shop no 43 & 44, Fifth Floor, City Square Building, GT Road, Jalandhar 144001 **Jalgaon:** 2nd Floor, India Plaza Complex, Vivekananda Nagar, Swatantry Chowk, Jilha Peth, Jalgaon 425001 **Jammu:** Sadhana Commercial Building, No 27, A/c Gandhi Nagar Jammu 180001 **Jamnagar:** 404, Corporate House, Opp. To St. Ann's High School, Pandit Nehru Marg, Jamnagar 361008 **Jamshedpur:** 3rd Floor, Madhukunj Building, Q-Road, Bistupur, Jamshedpur - 831001 **Jhansi:** Shop No. 5, Narayan Plaza, JOJO House, Infront of Employment Exchange, Gwalior Road, Jhansi – 284001 **Jodhpur:** 201, 202, Second Floor, Mody Arcade Chopasnani Road, Near Bombay Motors, Jodhpur 342003 **Junagadh:** 1st Floor, Shop No.212, Amka Arcade, M G Road, Junagadh 362001 **Kakinada:** 2nd Floor, D. No.5-1-61, Opp. Brindhavan Lodge, Main Road, Suryra Rao Peta, Kakinada 533001 **Kancheepuram:** 24, Anna Indira Gandhi Salai (Nellukara Street), Kanchipuram 631502 **Kannur:** "The Centrium", 2nd Floor, Near Kalyan Silks, Kannothonmal, Chowa P.O, Kannur- 670006 **Kanpur:** 217 , 2nd Floor "Kan Chamber", 14/113 Civil Lines (Near Krishna Tower), Kanpur 208001 **Karim Nagar:** 1st Floor, House No: 8-6-17/2A Hyderabad Road, Above Mahi Motors, Kotihampur, Karimnagar 505001 **Karur:** SRN Towers, IInd Floor, TS No.208/1, 1st Cross, Cova Road, Sengunthapuram, Karur 639001 **Kolhapur:** Office No.12, 2nd Floor, R.D. Vichare C plex (Gemstone), Near Central Bus Stand, New Shahupuri, Karveer Maharashtra, Kolhapur - 416001 **Kolkata:** "Chowringhee Court", 2nd Floor, Unit No.33, 55/55/1, Chowringhee Road, Opp. Nehru Children Museum, Kolkata 700071 **Kollam:** 1st Floor, KMK Complex, Second Milestone, Kilkollur, Kollam 691004 **Kota:** Second Floor, Above Reebok Showroom, 393, Shopping Centre, Nr. Ghode wala Baba Circle, Kota – 324007 **Kottayam:** 1st Floor, Kytharam Complex, Union Club Junction, Kottayam 686001 **Kozhikode:** No.5/3249-H, First Floor, Century Plaza Building, Behind KTC Petrol Pump, Indira Gandhi Road(Mavoor Road), Kozhikode 673001 **Kumbakonam:** 1st Floor, Nalli Plaza, Old Door No.34834A, T S R Big Street, Kumbakonam 612001 **Kurnool:** DPLR Plaza, 2nd Floor, Upstairs SBI (Old Town-branch), Opp: Children's Park, Eswar Nagar, Kurnool 518004 **Latur:** Sanmaan, First Floor, Opp to Amba Mata Mandali, Chandra Nagar, Latur 413512 **Lucknow:** 303, Third Floor, Sky Hi Chambers, 11/5, Park Road, Lucknow - 226 001, Uttar Pradesh **Ludhiana:** S C O-13, 1st Floor, Shanghai Towers, Feroz Gandhi Market, Ludhiana 141001 **Madgaon:** Second Floor, Saldana Business Tower, Wing A, 212, Near Mapusa Court, Mapusa - Goa 403507 **Madurai:** 37, Krishna Rao Tank Street, (Tvs Co-operative Store) Madurai, 625001 **Mangalore:** 2nd Floor, Krishna Prasad Bldg. Above Pabbas Ice Cream Parlour, Nr. Mangalore City Corporation, Lal Bagh, Mangalore 575003 **Mathura:** 3rd Floor, Shop No.330, Dwarikadheesh Plaza, Mohalla Brijnagar, Junction Road, Sonkh Adda, Mathura 281001 **Mehsana:** FF/01, Sigma Oasis, Nr. Rajkamal Petrol Pump, Besides Hdfc Bank, Highway Road, Mehsana - 384002 **Moradabad:** 2nd Floor, Office No.3, Vallabh Complex, Near PMS School Civil Lines, Moradabad 244001 **Mumbai:** No. 114, 1st floor, Commerce House, 140, Nagindas Master Road, Fort, Mumbai 400023 **Muzaffarpur:** Saroj Complex, Ground Floor, Diwan Road, Musahri Ramna, Muzaffarpur – 842002 **Mysore:** Venjay, Edifice, II Floor, No.37, JLB Road Chamaraapuram, Mysore 570005 **Nagpur:** 110-111, Shri Mohini Complex, Opp. Kasturchand Park 345, Kingsway R d, Nagpur 440001 **Nasik:** First Floor, Padma-Vishva Regency, Behind Saroj Travels, Next to Manavta Cancer Hospital Mumbai Naka, Nasik, Dindori 422001 **Navsari:** 1st Floor, Shop No.116, Manohar Complex, Opp. Dabu Hospital, Fuwara, Navsari 396445 **Nellore:** 24/1677, Brahmanandapuram, Dargamitta, Nellore 524003 **New Delhi:** 605, Sixth Floor 'Ashoka Estate Building', 24, Barakhamba Road, New Delhi 110001 **Palakkad:** First Floor, 15/513 (50), Akshaya Foundation, Stadium Bye-Pass Road, Palakkad 678001 **Panipat:** 1st Floor, No.75, BMK Market, G.T.Road, Panipat, 132103 **Patiala:** Third Floor, SCO-107, New Leela Bhawan, Near Yes Bank, Patiala – 147001 **Patna:** R.K. Estate, 2nd Floor, Opposite of Indira Gandhi institute of Medical & Science, Rajya Bazar, Bailey Road, Patna - 800014 **Pondicherry:** Ms Royal Enclave, Plot No. 19, 100 Feet Road, Muddalairpet-Pondicherry - 605004 **Pune:** 1st Floor, 'Mantri Vertex' Law College Road, Pune 411004 **Raichur:** Sai Ram complex, 1-10-38, Station Road Opp. Ram Mandir, Raichur 584101 **Raipur:** 203, 2nd Floor, Vanijaya Bhavan, Devendra Nagar Road, Raipur 492001 **Rajmahendry:** AMT Plaza, C18, Second Floor, D. No. 76-2-29, Ganuga Street, Gandhipuram- 2, Rajamundry - 533103 **Rajkot:** 201, TITAN Complex, 2nd Floor, Kalawad Road, Near G T Seth School, Opp. to Punjabi Honda, Rajkot 360005 **Ranchi:** 1st Floor, Amarnath Complex, New Daily Market, Ranchi. 834001 **Ratlam:** 2nd Floor, 16/45, Ratlam Plaza, Block NO.C, Opp. ICICI Bank, Ratlam 457001 **Rohtak:** 2nd Floor, Shop No.5-B, Gopal Complex, Civil Road, Rohtak 124001 **Rourkela:** Plot No. 309/P, 2nd Floor, Uditi Nagar, Opp. Ambekar Chowk, Dist-Sundergarh, Rourkela, Odisha 769012 **Sagar:** 1st Floor, Singhai Buildings, 10, Civil Lines, Sagar - 470002 **Salem-City:** Sri Vari Shopping Mall , First Floor No 2191 New Bus Stand Road , Meyyanoor Salem 636004 **Sambalpur:** 1st Floor Sabat Complex, Near WESCO Office, Main Road, Athinapalli Sambalpur Odisha - 768004 **Sangli:** Shiv Ratna Complex, S4, 3rd Floor, CST No.1047B, College Corner North Sri Ji Nagar, Madhavnagar 416416 **Satna:** 2nd Floor, Collectorate Road, Civil Line, (Above M/S. Siddhivayak Motors), Satna, Satna 485001 **Shimla:** 1st Floor, Hameer House, Lower Chakker, Shimla 171005 **Shimoga:** Srija Archade, 1st Parallel Road, 1st Cross, Opp. Uma Dental Clinic Road, Jayanagar, Shimoga – 577201 **Sholapur:** 786, Maruti Tele Sankul, South Kasba, Shinde Chowk, North Sholapur 413007 **Siliguri:** Shree Radha Complex, Block-B 2nd Floor, Iskon Mandir Road Siliguri, dt Darjeeling 734001. **Surat:** No. 607, 21st Century Business Centre, Near Udhan Darwaja, Ring Road, Surat - 395002 **SurendraNagar:** 2nd Floor, Rudraksh, Plot No. 328, Opp. to New Age Industries, Wadhwan Road, Surendra Nagar – 363035 **Thiruvananthapuram:** 2nd Floor, All Plaza Building, Opp. Tennis Club, Kaudiar, Thiruvananthapuram 695003 **Thrissur:** Second Floor, R V Trade Centre, Patturakkal Junction, Near Karthiyani Nursing Home, Thoranur Road, Thrissur 680022 **Tirunelveli:** No 985/1-C2, 1D, Indira Complex, South Bye pass Road, Opp. Passport Offi ce, Tirunelveli - 627005. **Tirupathi:** Door No 2/89, 1st Floor, Tiruchanur Road, Sreenivasapuram, Tirupathi 517503 **Tirupur:** 70/74, J.G Nagar, K.S.N Complex, 2nd Street, 60 Feet Road, Near North RTO, Tirupur - 641602. **Trichy:** Bus Plaza, 2nd Floor, Front No.5-G, Lawsons Road, Contonment, Trichy 620001 **Udaipur:** 4th Floor, Plot No. 32/1105, Centre Point, Opp. B.N. College, Udaipur - 313001 **Udupi:** Second Floor, Andrade Arcade, Near Syndicate Bank, Catholic Centre, K M Marg, Udupi – 576101 **Valsad:** 2nd Floor, Shop No.216, Trade Centre, Station Road, Valsad. 396001 **Vapi:** Shop No.19 & 20, 1st Floor, Walden Plaza, Imran Nagar, Opp. to SBI, Daman - Silvassa Road, Vapi 396191 **Varanasi:** Flat no.7, 2nd Floor, Rama Kunj, C-32-22/17 Ram Singh Rana Nagar Colony, Canit Siga Road, Varanasi 221002 **Vijayawada:** # 40-1-126, First Floor, Vasanth Plaza, Chandramoulipuram, Benz Circle, Vi yawada 520010 **Vellore:** First Floor, 141/3 M.P Sarathi Nagar, Vellore District Bus Owner Association Building, Chennai-Bangalore Bypass Road, Vellore - 632012 **Visakhapatnam:** D NO 47-10-13, 1st Floor, Redanan Regency, Near Diamond Park, Dwaraka Nagar, Vishakapatnam 530016 **Warrangal:** D.N.O - 15-1-422/A/B, 2nd Floor, S.V.S Legend, Beside Industrial Estate Kaman, S.V.P Road, Warangal 506002 **YamunaNagar:** SCO-103, 1st Floor, Sector 17, Huda Jagadhari By Pass Road, Jagadhari, Yamunanagar 135001.

**For BNP Paribas Overnight Fund and BNP Paribas Money Plus Fund**

**AMC INVESTOR SERVICE CENTRES :**

**Mumbai:** 2nd Floor, French Bank Building, 62, Homji Street, Fort, Mumbai - 400 001. **Bengaluru:** Unit No. 205, 2nd Floor, West Wing - Raheja Tower, 26-27, M. G. Road, Bangalore 560 001  
**Chennai:** 3rd Floor, Prince Towers, Door Nos. 25 & 26, College Road, Nungambakkam, Chennai - 600 006. **Kolkata:** 9th Floor Landmark Building, 228A, A.J.C. Bose Road, Kolkata - 700020. **New Delhi:** 8th, Floor, Dr. Gopal Das Bhawan, 28 Barakhamba Road, New Delhi - 110 001. **Pune:** Office No. A-4, Fourth Floor, Deccan Chambers 33/40, Erandwana, Karve Road, Pune - 411 004. **Ahmedabad:** 302, 3rd Floor, VIVA complex, Near Parimal Garden, Ellisbridge, Ahmedabad - 380 006. **Hyderabad-Telangana:** 8-2-618/8 & 9, Unit No. 404, ABK Olbee Plaza, Banjara Hills Road No. 1&11, Hyderabad, Telangana. **Thane:** Shop No. 02, Ground Floor, ShubhJyot CHS, Near Ghantali Temple, Naupada, Thane (West) 400602. **Borivali:** Shop no. 5, Chitalia Enclave Co-op. Hsg. Soc. (Kapoor Apt.), Junction of Punjabi lane & Chandavarkar road, Borivali (W), Mumbai - 400092.

**SBFS CUSTOMER CARE CENTRES :**

**Ahmedabad:** 104, Shivam Complex, Nr. Silicon Tower, Opp. National Handloom, Law Garden, Ellisbridge, Ahmedabad 380 006. **Baroda:** 518 & 519, Centre Point, R C Dutt Road, Alkapuri, Vadodara 390 005. **Bengaluru:** # 186 1st Cross, 2nd floor Hosur Main Road Wilson Garden, Near to Lalbagh gate Hopcoms and opposite to Blue dart courier, Bangalore 560 027. **Bhubaneswar:** 2nd Floor, Banadev Bhawan, A/108, Saheed Nagar, Khurda Dt, Bhubaneswar 751 007. **Chandigarh- Mohali :** S.C.O 56-57, 2nd Floor, Phase-II, Above HDFC Bank, Mohali 160 072. **Chembur:** Flat No. 313, Swastik Chambers, 3rd Floor, Sion-Trombay Road, Chembur, Mumbai 400 071. **Chennai - Patulus Road:** Ground Floor, 19, Patulus Road, Chennai 600 002. **Coimbatore:** No.62, First Floor, 'Time Square' Balasundaram Road, A.T.T. Colony, (Near RTO Office), Coimbatore 641 018. **Durgapur:** Sri Chaitanya Complex, 2nd Floor, Bengal Ambuja Phase II, Ambetkar Sarani, City Centre, Durgapur MC 713 216. **Ernakulam-Vytilla- Kochi:** 1st Floor, Welfare Services Centre, Ponnuruni, Vytilla PO, Ernakulam 682 019. **Hyderabad-Somajiguda:** Sri Sai Goverdhan Kunj, Flat No-401, 4th Floor, GHMC NO-7-397/101, 118, Opp Dominos, S. R Nagar, Hyderabad 500 038. **Indore:** Mezzanine Floor, MZ – 22, Bansi Trade Centre, No.581/5, M G Road, Indore 452 001. **Jaipur CV:** 205, 2nd Floor, Sangam Towers, Church Road, OFF. M I Road, Jaipur 302 001. **Kanpur:** 217, 2nd Floor "KAN Chamber", 14/113 Civil Lines (Near Krishna Tower), Kanpur 208 001. **Kolkata:** "Chowringhee Court", Unit No.33, 2nd Floor, 55/55/1, Chowringhee Road, (Opp.Nehru Children Museum), Kolkata 700 071. **Lucknow:** 303, Third Floor, Sky Hi Chambers, 11/5, Park Road, Lucknow 226 001. **Ludhiana:** S C O-13, 1st Floor, Shanghai Towers, Feroz Gandhi Market, Ludhiana 141 001. **Madgaon:** Second Floor, Saldana Business Tower, Wing A, 212, Near Mapusa Court, Mapusa 403 507. **Madurai - City:** 37, Krishna Rao Tank Street, (Tvs Co-Operative Store), Madurai 625 001. **Mangalore:** 2nd Floor, Krishna Prasad Bldg. Above Pabbas Ice Cream Parlour, Nr. Mangalore City Corporation, Lal Bagh, Mangalore 575 003. **Mumbai Fort:** No: 114, 1st floor, Commerce House, 140, Nagindas Master Road, Fort, Mumbai 400 023. **NAGPUR:** 110-111, Shri Mohini Complex, Opp. Kasturchand Park, 345, Kingsway Road, Nagpur 440 001. **New Delhi:** 605, Sixth Floor, Ashoka Estate Building, 24, Barakhamba Road, New Delhi 110 001. **Patna (SBFS):** R. K. Estate, 2nd floor, Opposite of Indira Gandhi institute of Medical & Science, Rajya Bazar, Bailey Road, Patna 800 014. **Pune:** 1st Floor, 'Mantri Vertex' Law College Road, Pune 411 004. **Vijayawada - Benz Circle:** # 40-1-126, First Floor, Vasanth Plaza, Chandramoulipuram, Benz Circle, Vijayawada 520 010. **Visakhapatnam (CV):** D No 47-10-13, 1st Floor, Redanan Regency, Near Diamond Park, Dwaraka Nagar, Vishakapatnam 530 016.



**BNP PARIBAS  
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